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BY THE COMPTROLLER GENERAL

Report To The Congress

OF THE UNITED STATES

Fraud In Government Programs:

--How Extensive Is It?

--How Can It Be Controlled?

Volume III

This is the third volume of a three-volume report on the results of a statistical analysis of fraud and other illegal activities against the Government at 21 Federal agencies. In volume I (AFMD-81-57), GAO discussed overall findings, conclusions, and recommendations. Volume II (AFMD-81-73) presented a detailed statistical analysis of the data GAO collected. This volume provides fraud profiles for each agency based on statistical data on over 77,000 fraud cases covering a 2-1/2 year period.



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COMPTROLLER GENERAL OF THE UNITED STATES
WASHINGTON D.C. 20548

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To The President of the Senate and the
Speaker of the House of Representatives

This is the third volume of a three-volume report on the results of a statistical analysis of fraud and other illegal activities affecting 21 Federal agencies during the 2-1/2 years from October 1, 1976, through March 31, 1979. In volume I (AFMD-81-57) we discussed the overall results of our work including our findings, conclusions, and recommendations. Volume II (AFMD-81-73) presented a detailed statistical analysis of the data we collected.

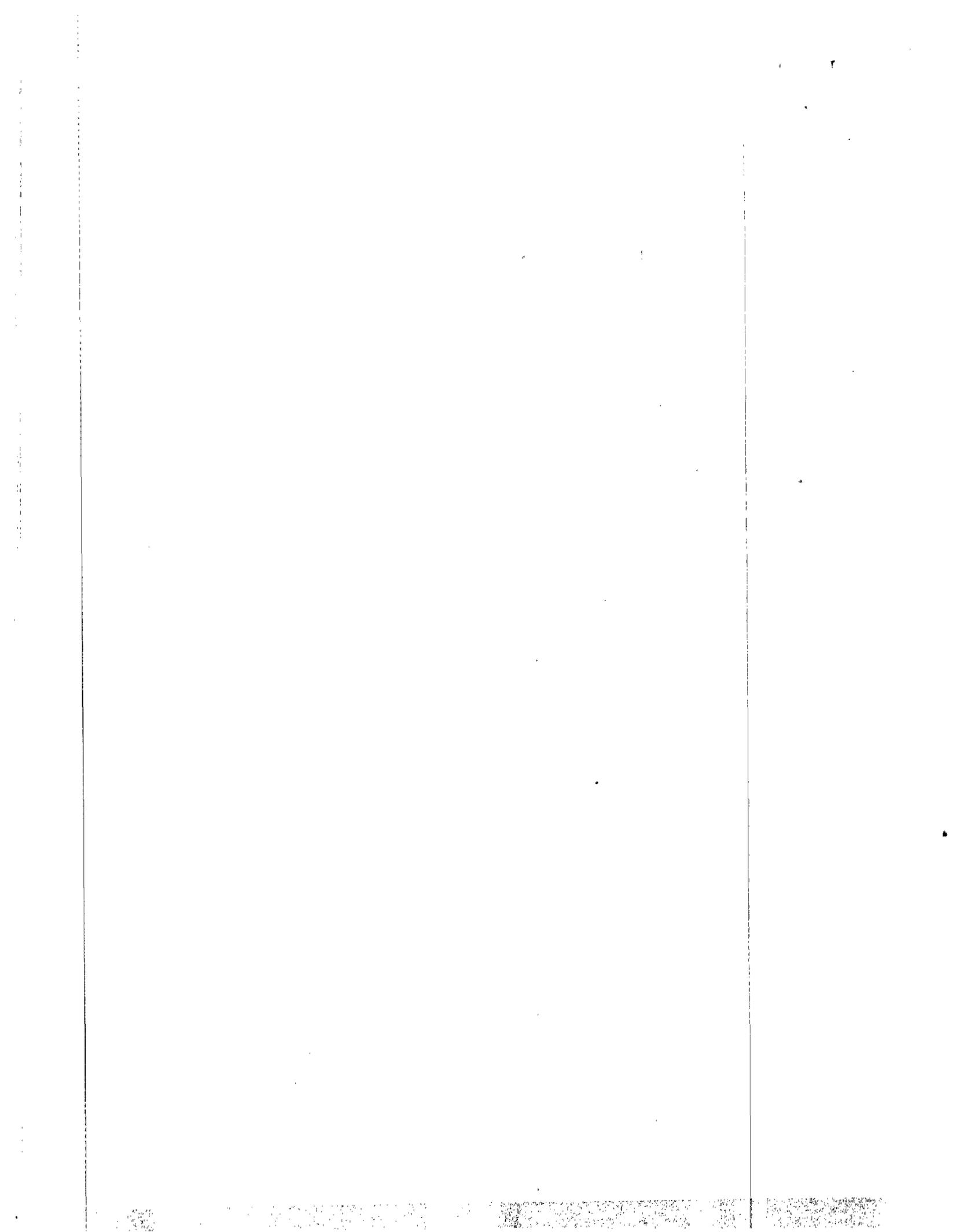
This volume provides fraud profiles for each agency based on data we collected from a statistical sample of 3,227 fraud cases identified by Federal agencies over the 2-1/2 year period. The sample represents a total universe of 77,211 cases. We believe these data will be useful to people especially interested in the activities of individual agencies. To facilitate the use of this volume, we have printed the pages on tearsheets.

Please be cautious in using these data. We did not attempt to evaluate the investigative procedures used or the evidence developed. Also, we did not independently develop lists of fraud cases, but selected our sample from lists of cases provided by each agency. Thus, conclusions that one agency has better fraud detection systems than another because it discovered more cases are not necessarily valid. It also would be incorrect to conclude that because one agency has identified more fraud than another it is more prone to fraud, less well managed, or otherwise not fulfilling its responsibilities. If the number of fraud cases at any agency seems high, it may be because the agency is more conscious of fraud and abuse occurring in its programs and is more alert in detecting and investigating it than other agencies are. It might also mean that the programs or activities of some agencies are more susceptible to fraud than those of other agencies.

Copies of this report are being sent to the Director of the Office of Management and Budget and the heads of the agencies covered in the study.

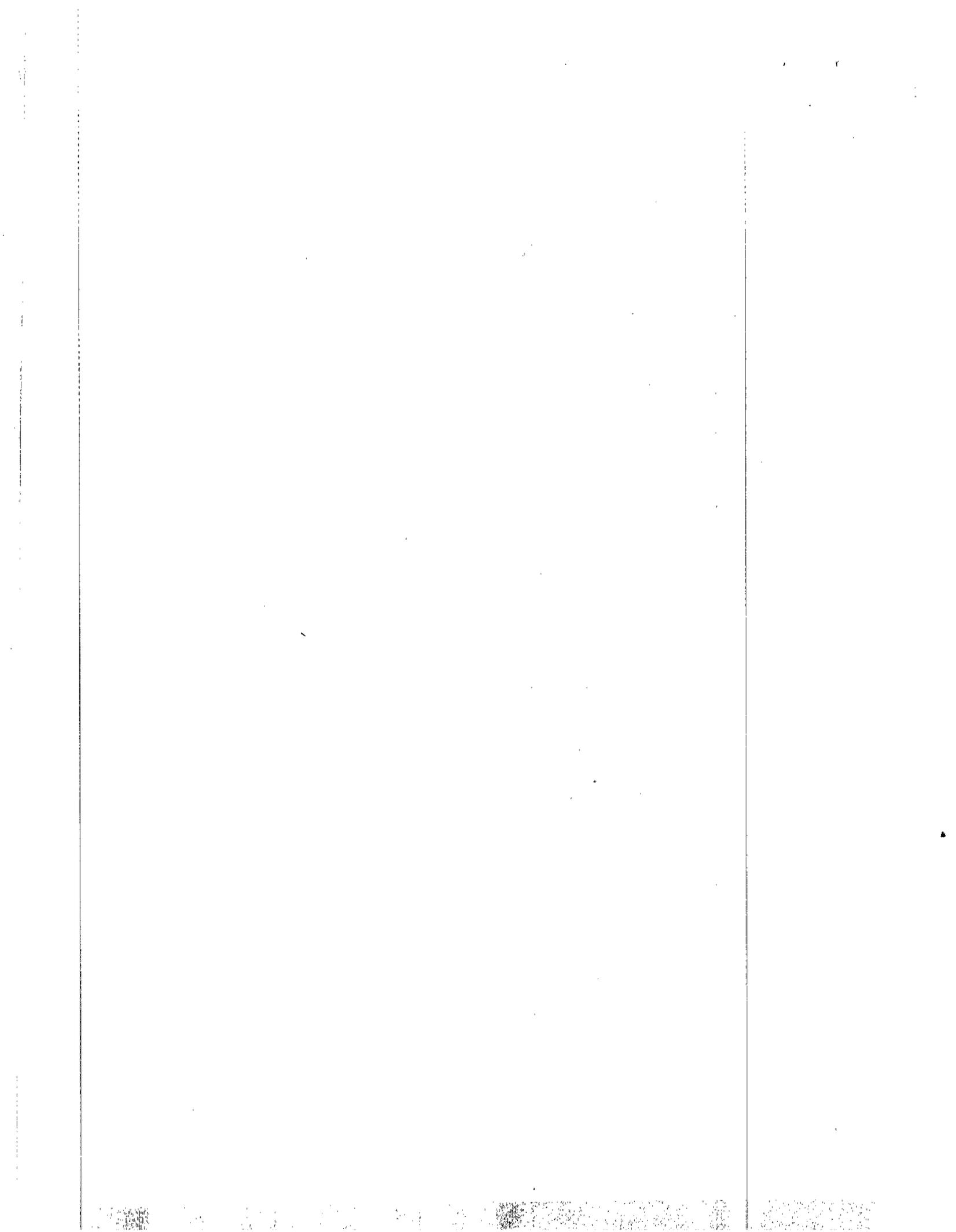
Charles A. Bowsher

Comptroller General
of the United States



C o n t e n t s

	<u>Page</u>
<u>Fraud Profile</u>	
Department of Agriculture	1
Department of Commerce	3
Community Services Administration	5
Department of Defense (Other)	7
Defense Logistics Agency	9
Department of the Army	11
Department of the Navy	13
Department of Energy	15
Environmental Protection Agency	17
General Services Administration	19
Department of Health, Education, and Welfare	21
Department of Housing and Urban Development	23
Department of the Interior	25
Department of Labor	27
Law Enforcement Assistance Administration	29
U. S. Postal Service	31
Small Business Administration	33
Social Security Administration	35
Department of Transportation	37
Department of the Treasury (Internal Revenue Service and Customs only)	39
Veterans Administration	41



Department of Agriculture

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

8,571

2. Functional areas in which fraud occurred.

<u>29</u>	Procurement - award
<u>0</u>	Property disposition
<u>83</u>	Payroll
<u>0</u>	Grants
<u>6,843</u>	Financial assistance to individuals (excludes loans)
<u>374</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>415</u>	Loans
<u>0</u>	Loan guarantees
<u>663</u>	Personnel
<u>0</u>	Inventory control/property management
<u>0</u>	Mail service
<u>0</u>	Cash control
<u>0</u>	Procurement - monitoring
<u>111</u>	Travel
<u>28</u>	Administrative services
<u>0</u>	Training and education
<u>0</u>	Personal property management
<u>28</u>	Other
<u>0</u>	Unknown

3. Principal types of fraud

<u>83</u>	Work hour abuses
<u>111</u>	Private use of government property
<u>55</u>	Forgery
<u>111</u>	Kickbacks/Bribes
<u>1,437</u>	False statements
<u>28</u>	Non-performance of contract terms
<u>193</u>	Theft
<u>0</u>	Extortion
<u>6,026</u>	Food Stamp irregularities
<u>528</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>6,060</u>	Less than 3 months
<u>633</u>	3 to 6 months
<u>291</u>	6 months to one year
<u>402</u>	1 to 2 years
<u>166</u>	More than 2 years
<u>1,020</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>5,529</u>	Less than 3 months
<u>653</u>	3 to 6 months
<u>312</u>	6 months to one year
<u>332</u>	1 to 2 years
<u>304</u>	More than 2 years
<u>1,442</u>	Unknown

6. How the alleged fraud was discovered.

<u>5,652</u>	Routine audit, inspection, investigation, or review
<u>892</u>	Specially requested audit, inspection, or review
<u>498</u>	Federal employee (knowledge gained through work)
<u>28</u>	Former federal employee
<u>28</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>367</u>	Private individual (not former employee)
<u>362</u>	Informant
<u>0</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>0</u>	Law enforcement investigation - state & local
<u>636</u>	Other
<u>111</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>0</u>	Less than \$100
<u>276</u>	\$101 - \$1,000
<u>580</u>	\$1,001 - \$10,000
<u>166</u>	\$10,001 - \$100,000
<u>0</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>0</u>	Over \$1 million
<u>221</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>526</u>	Federal Government employees, alone and with others
<u>83</u>	State and local government employees
<u>28</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

1/As discussed in volume II of this report, our information on fraud is based on a statistical projection of data collected on a sample of 3,227 cases representing a universe of 77,211. In some instances the figures in this report do not add to the totals presented due to rounding and weighting of the data.

<u>28</u>	Corporate recipient(s) of Federal Government financial assistance
<u>829</u>	Individual recipient(s) of Federal Government financial assistance
<u>663</u>	Other individual citizens
<u>6,360</u>	Other corporate or business entities (such as lending institutions)
<u>28</u>	Unknown
<u>0</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>28</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>7,939</u>	Closed (Includes cases officially closed by the agency)
<u>632</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.	
<u>4,716</u>	Administrative action only
<u>387</u>	Legal action only
<u>193</u>	Administrative and legal action
<u>1,841</u>	Unknown
<u>754</u>	No action taken - suspect identified
<u>28</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

<u>249</u>	No action taken
<u>55</u>	Federal employee dismissed

<u>83</u>	Federal employee suspended
<u>52</u>	Federal employee issued warning letter
<u>0</u>	Federal employee issued letter of counseling
<u>0</u>	Federal employee issued oral warning
<u>0</u>	Federal employee demoted
<u>28</u>	Federal employee transferred
<u>28</u>	Formal loss recovery plan agreed to
<u>0</u>	Extra duty (military)
<u>0</u>	Employee resigned pending dismissal
<u>0</u>	Other
<u>0</u>	Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

<u>2,056</u>	Suspended from doing business with the Federal Government
<u>0</u>	Debarred from doing business with the Federal Government
<u>0</u>	Contract/grant cancelled
<u>1,722</u>	Issued warning and they agreed to take corrective action
<u>193</u>	Formal loss recovery plan agreed to
<u>975</u>	No action taken
<u>55</u>	Negotiating reimbursement
<u>0</u>	Declared ineligible for program participation under status claimed
<u>0</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>83</u>	Other
<u>625</u>	Unknown

13. Type of legal action or prosecution taken.

<u>0</u>	Civil prosecution
<u>553</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>28</u>	Pre-trial diversion
<u>0</u>	Court martial

14. Outcome of civil proceedings.

<u>0</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>0</u>	Acquittal
<u>553</u>	Conviction (guilty plea, nolo contendere)
<u>0</u>	Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Department of Commerce

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

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| <u>0</u> | Property disposition | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | Payroll | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>129</u> | Grants | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Financial assistance to individuals (excludes loans) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Enforcement (i.e. Customs, IRS) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Provision of health care or social services | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Loans | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Loan guarantees | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | Personnel | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | Inventory control/property management | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Mail service | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Cash control | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Procurement - monitoring | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Travel | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | Administrative services | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Training and education | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Personal property management | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Other | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Unknown | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | Work hour abuses | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | Private use of government property | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Forgery | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Kickbacks/Bribes | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>145</u> | False statements | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Non-performance of contract terms | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>12</u> | Theft | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Extortion | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Food Stamp irregularities | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Miscellaneous fraud | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>27</u> | Less than 3 months | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>39</u> | 3 to 6 months | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>12</u> | 6 months to one year | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | 1 to 2 years | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | More than 2 years | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>94</u> | Unknown | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>31</u> | Less than 3 months | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>43</u> | 3 to 6 months | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>12</u> | 6 months to one year | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>12</u> | 1 to 2 years | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | More than 2 years | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>78</u> | Unknown | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>20</u> | Routine audit, inspection, investigation, or review | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | Specially requested audit, inspection, or review | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>106</u> | Federal employee (knowledge gained through work) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Former federal employee | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | Contractor personnel | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | Grantee personnel (excludes state or local government personnel) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Former grantee personnel | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Private individual (not former employee) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Informant | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Consumer complaint form | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Unsuccessful bidder | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | News media | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Congressional Committee Member - Staff | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Alleged victim | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Confession by perpetrator | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Law enforcement investigation - state & local | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>12</u> | Other | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>12</u> | Unknown | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | Less than \$100 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | \$101 - \$1,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | \$1,001 - \$10,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>8</u> | \$10,001 - \$100,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | \$100,001 - \$500,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | \$500,001 - \$1 million | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Over \$1 million | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>12</u> | Monetary loss - amount not available | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>31</u> | Federal Government employees alone and with others | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | State and local government employees | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>133</u> | Federal contractor(s)/grantee(s) personnel - other than state and local governments | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

2 Corporate recipient(s) of Federal Government financial assistance
 0 Individual recipient(s) of Federal Government financial assistance
 4 Other individual citizens
 4 Other corporate or business entities (such as lending institutions)
 0 Unknown
 0 State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
 0 Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
 0 Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
 0 Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

 161 Closed (Includes cases officially closed by the agency)
 23 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

 110 Administrative action only
 0 Legal action only
 4 Administrative and legal action
 31 Unknown
 16 No action taken - suspect identified
 0 No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

 2 No action taken
 4 Federal employee dismissed

 4 Federal employee suspended
 0 Federal employee issued warning letter
 0 Federal employee issued letter of counseling
 0 Federal employee issued oral warning
 0 Federal employee demoted
 0 Federal employee transferred
 4 Formal loss recovery plan agreed to
 0 Extra duty (military)
 0 Employee resigned pending dismissal
 0 Other
 4 Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

 0 Suspended from doing business with the Federal Government
 0 Debarred from doing business with the Federal Government
 4 Contract/grant cancelled
 31 Issued warning and they agreed to take corrective action
 0 Formal loss recovery plan agreed to
 8 No action taken
 4 Negotiating reimbursement
 43 Declared ineligible for program participation under status claimed
 0 Employee/enlistee dismissed; employment/enlistment denied
 4 Other
 20 Unknown

13. Type of legal action or prosecution taken.

 0 Civil prosecution
 4 Criminal prosecution
 0 Civil and criminal prosecution
 0 Pre-trial diversion
 0 Court martial

14. Outcome of civil proceedings.

 0 Judgment for plaintiff
 0 Judgment for the defendant
 0 Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

 0 Acquittal
 4 Conviction (guilty plea, nolo contendere)
 0 Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Community Services Administration

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

70

2. Functional areas in which fraud occurred.

<u>4</u>	Procurement - award
<u>0</u>	Property disposition
<u>3</u>	Payroll
<u>35</u>	Grants
<u>2</u>	Financial assistance to individuals (excludes loans)
<u>0</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>0</u>	Loans
<u>0</u>	Loan guarantees
<u>10</u>	Personnel
<u>2</u>	Inventory control/property management
<u>0</u>	Mail service
<u>3</u>	Cash control
<u>6</u>	Procurement - monitoring
<u>5</u>	Travel
<u>0</u>	Administrative services
<u>0</u>	Training and education
<u>0</u>	Personal property management
<u>0</u>	Other
<u>0</u>	Unknown

3. Principal types of fraud

<u>4</u>	Work hour abuses
<u>0</u>	Private use of government property
<u>0</u>	Forgery
<u>5</u>	Kickbacks/Bribes
<u>8</u>	False statements
<u>23</u>	Non-performance of contract terms
<u>6</u>	Theft
<u>1</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>23</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>13</u>	Less than 3 months
<u>2</u>	3 to 6 months
<u>6</u>	6 months to one year
<u>10</u>	1 to 2 years
<u>23</u>	More than 2 years
<u>16</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>6</u>	Less than 3 months
<u>6</u>	3 to 6 months
<u>6</u>	6 months to one year
<u>13</u>	1 to 2 years
<u>21</u>	More than 2 years
<u>18</u>	Unknown

6. How the alleged fraud was discovered.

<u>1</u>	Routine audit, inspection, investigation, or review
<u>3</u>	Specially requested audit, inspection, or review
<u>20</u>	Federal employee (knowledge gained through work)
<u>0</u>	Former federal employee
<u>0</u>	Contractor personnel
<u>17</u>	Grantee personnel (excludes state or local government personnel)
<u>9</u>	Former grantee personnel
<u>5</u>	Private individual (not former employee)
<u>1</u>	Informant
<u>0</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>5</u>	News media
<u>4</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>0</u>	Law enforcement investigation - state & local
<u>4</u>	Other
<u>1</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>1</u>	Less than \$100
<u>4</u>	\$101 - \$1,000
<u>7</u>	\$1,001 - \$10,000
<u>16</u>	\$10,001 - \$100,000
<u>6</u>	\$100,001 - \$500,000
<u>2</u>	\$500,001 - \$1 million
<u>1</u>	Over \$1 million
<u>21</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>8</u>	Federal Government employees alone and with others
<u>1</u>	State and local government employees
<u>61</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

<u>0</u>	Corporate recipient(s) of Federal Government financial assistance
<u>0</u>	Individual recipient(s) of Federal Government financial assistance
<u>0</u>	Other individual citizens
<u>0</u>	Other corporate or business entities (such as lending institutions)
<u>0</u>	Unknown
<u>0</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>48</u>	Closed (Includes cases officially closed by the agency)
<u>22</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>40</u>	Administrative action only
<u>0</u>	Legal action only
<u>2</u>	Administrative and legal action
<u>0</u>	Unknown
<u>6</u>	No action taken - suspect identified
<u>0</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. ^{B/}

<u>1</u>	No action taken
<u>2</u>	Federal employee dismissed

<u>0</u>	Federal employee suspended
<u>2</u>	Federal employee issued warning letter
<u>1</u>	Federal employee issued letter of counseling
<u>0</u>	Federal employee issued oral warning
<u>0</u>	Federal employee demoted
<u>0</u>	Federal employee transferred
<u>1</u>	Formal loss recovery plan agreed to
<u>0</u>	Extra duty (military)
<u>0</u>	Employee resigned pending dismissal
<u>1</u>	Other
<u>2</u>	Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. ^{a/}

<u>0</u>	Suspended from doing business with the Federal Government
<u>0</u>	Debarred from doing business with the Federal Government
<u>8</u>	Contract/grant cancelled
<u>17</u>	Issued warning and they agreed to take corrective action
<u>6</u>	Formal loss recovery plan agreed to
<u>5</u>	No action taken
<u>3</u>	Negotiating reimbursement
<u>0</u>	Declared ineligible for program participation under status claimed
<u>0</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>1</u>	Other
<u>7</u>	Unknown

13. Type of legal action or prosecution taken.

<u>0</u>	Civil prosecution
<u>2</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>0</u>	Pre-trial diversion
<u>0</u>	Court martial

14. Outcome of civil proceedings.

<u>0</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>0</u>	Acquittal
<u>2</u>	Conviction (guilty plea, nolo contendere)
<u>0</u>	Other

^{a/} In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Department of Defense 1/

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

- | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|---------------------|----------|----------------------|----------|---------|----------|--------|----------|--|----------|---------------------------------|----------|---|----------|-------|----------|-----------------|----------|-----------|----------|---------------------------------------|----------|--------------|----------|--------------|----------|--------------------------|----------|--------|----------|-------------------------|----------|------------------------|----------|------------------------------|----------|-------|----------|---------|----------|------------------|----------|------------------------------------|----------|---------|----------|------------------|----------|------------------|----------|-----------------------------------|----------|-------|----------|-----------|----------|---------------------------|----------|---------------------|----------|--------------------|----------|---------------|----------|----------------------|----------|--------------|----------|-------------------|----------|---------|---|----------|--------------------|----------|---------------|----------|----------------------|----------|--------------|----------|-------------------|----------|---------|----------|---|----------|--|----------|--|----------|-------------------------|----------|----------------------|----------|--|----------|--------------------------|----------|--|----------|-----------|----------|-------------------------|----------|---------------------|----------|------------|----------|--|----------|----------------|----------|---------------------------|----------|---|----------|-------|----------|---------|----------|-----------------|----------|-----------------|----------|--------------------|----------|----------------------|----------|-----------------------|----------|-------------------------|----------|------------------|----------|--------------------------------------|----------|--|----------|--------------------------------------|----------|---|
| <p>1. Number of fraud or other illegal activity cases in the universe.</p> <p style="text-align: center;"><u>5</u></p> <p>2. Functional areas in which fraud occurred.</p> <table border="0"> <tr><td><u>0</u></td><td>Procurement - award</td></tr> <tr><td><u>0</u></td><td>Property disposition</td></tr> <tr><td><u>0</u></td><td>Payroll</td></tr> <tr><td><u>0</u></td><td>Grants</td></tr> <tr><td><u>0</u></td><td>Financial assistance to individuals (excludes loans)</td></tr> <tr><td><u>0</u></td><td>Enforcement (i.e. Customs, IRS)</td></tr> <tr><td><u>4</u></td><td>Provision of health care or social services</td></tr> <tr><td><u>0</u></td><td>Loans</td></tr> <tr><td><u>0</u></td><td>Loan guarantees</td></tr> <tr><td><u>1</u></td><td>Personnel</td></tr> <tr><td><u>0</u></td><td>Inventory control/property management</td></tr> <tr><td><u>0</u></td><td>Mail service</td></tr> <tr><td><u>0</u></td><td>Cash control</td></tr> <tr><td><u>0</u></td><td>Procurement - monitoring</td></tr> <tr><td><u>0</u></td><td>Travel</td></tr> <tr><td><u>0</u></td><td>Administrative services</td></tr> <tr><td><u>0</u></td><td>Training and education</td></tr> <tr><td><u>0</u></td><td>Personal property management</td></tr> <tr><td><u>0</u></td><td>Other</td></tr> <tr><td><u>0</u></td><td>Unknown</td></tr> </table> <p>3. Principal types of fraud</p> <table border="0"> <tr><td><u>0</u></td><td>Work hour abuses</td></tr> <tr><td><u>0</u></td><td>Private use of government property</td></tr> <tr><td><u>0</u></td><td>Forgery</td></tr> <tr><td><u>0</u></td><td>Kickbacks/Bribes</td></tr> <tr><td><u>1</u></td><td>False statements</td></tr> <tr><td><u>0</u></td><td>Non-performance of contract terms</td></tr> <tr><td><u>0</u></td><td>Theft</td></tr> <tr><td><u>0</u></td><td>Extortion</td></tr> <tr><td><u>0</u></td><td>Food Stamp irregularities</td></tr> <tr><td><u>4</u></td><td>Miscellaneous fraud</td></tr> </table> <p>4. The period of time over which the alleged fraudulent activity took place.</p> <table border="0"> <tr><td><u>3</u></td><td>Less than 3 months</td></tr> <tr><td><u>1</u></td><td>3 to 6 months</td></tr> <tr><td><u>0</u></td><td>6 months to one year</td></tr> <tr><td><u>1</u></td><td>1 to 2 years</td></tr> <tr><td><u>0</u></td><td>More than 2 years</td></tr> <tr><td><u>0</u></td><td>Unknown</td></tr> </table> | <u>0</u> | Procurement - award | <u>0</u> | Property disposition | <u>0</u> | Payroll | <u>0</u> | Grants | <u>0</u> | Financial assistance to individuals (excludes loans) | <u>0</u> | Enforcement (i.e. Customs, IRS) | <u>4</u> | Provision of health care or social services | <u>0</u> | Loans | <u>0</u> | Loan guarantees | <u>1</u> | Personnel | <u>0</u> | Inventory control/property management | <u>0</u> | Mail service | <u>0</u> | Cash control | <u>0</u> | Procurement - monitoring | <u>0</u> | Travel | <u>0</u> | Administrative services | <u>0</u> | Training and education | <u>0</u> | Personal property management | <u>0</u> | Other | <u>0</u> | Unknown | <u>0</u> | Work hour abuses | <u>0</u> | Private use of government property | <u>0</u> | Forgery | <u>0</u> | Kickbacks/Bribes | <u>1</u> | False statements | <u>0</u> | Non-performance of contract terms | <u>0</u> | Theft | <u>0</u> | Extortion | <u>0</u> | Food Stamp irregularities | <u>4</u> | Miscellaneous fraud | <u>3</u> | Less than 3 months | <u>1</u> | 3 to 6 months | <u>0</u> | 6 months to one year | <u>1</u> | 1 to 2 years | <u>0</u> | More than 2 years | <u>0</u> | Unknown | <p>5. The period of time between occurrence and discovery of the fraudulent activity.</p> <table border="0"> <tr><td><u>0</u></td><td>Less than 3 months</td></tr> <tr><td><u>2</u></td><td>3 to 6 months</td></tr> <tr><td><u>1</u></td><td>6 months to one year</td></tr> <tr><td><u>1</u></td><td>1 to 2 years</td></tr> <tr><td><u>1</u></td><td>More than 2 years</td></tr> <tr><td><u>0</u></td><td>Unknown</td></tr> </table> <p>6. How the alleged fraud was discovered.</p> <table border="0"> <tr><td><u>0</u></td><td>Routine audit, inspection, investigation, or review</td></tr> <tr><td><u>3</u></td><td>Specially requested audit, inspection, or review</td></tr> <tr><td><u>2</u></td><td>Federal employee (knowledge gained through work)</td></tr> <tr><td><u>0</u></td><td>Former federal employee</td></tr> <tr><td><u>0</u></td><td>Contractor personnel</td></tr> <tr><td><u>0</u></td><td>Grantee personnel (excludes state or local government personnel)</td></tr> <tr><td><u>0</u></td><td>Former grantee personnel</td></tr> <tr><td><u>0</u></td><td>Private individual (not former employee)</td></tr> <tr><td><u>0</u></td><td>Informant</td></tr> <tr><td><u>0</u></td><td>Consumer complaint form</td></tr> <tr><td><u>0</u></td><td>Unsuccessful bidder</td></tr> <tr><td><u>0</u></td><td>News media</td></tr> <tr><td><u>0</u></td><td>Congressional Committee Member - Staff</td></tr> <tr><td><u>0</u></td><td>Alleged victim</td></tr> <tr><td><u>0</u></td><td>Confession by perpetrator</td></tr> <tr><td><u>0</u></td><td>Law enforcement investigation - state & local</td></tr> <tr><td><u>0</u></td><td>Other</td></tr> <tr><td><u>0</u></td><td>Unknown</td></tr> </table> <p>7. Estimate of amount of loss incurred by Federal Government</p> <table border="0"> <tr><td><u>0</u></td><td>Less than \$100</td></tr> <tr><td><u>2</u></td><td>\$101 - \$1,000</td></tr> <tr><td><u>1</u></td><td>\$1,001 - \$10,000</td></tr> <tr><td><u>0</u></td><td>\$10,001 - \$100,000</td></tr> <tr><td><u>0</u></td><td>\$100,001 - \$500,000</td></tr> <tr><td><u>0</u></td><td>\$500,001 - \$1 million</td></tr> <tr><td><u>0</u></td><td>Over \$1 million</td></tr> <tr><td><u>1</u></td><td>Monetary loss - amount not available</td></tr> </table> <p>8. Participants in the alleged fraudulent activity.</p> <table border="0"> <tr><td><u>2</u></td><td>Federal Government employees alone and with others</td></tr> <tr><td><u>0</u></td><td>State and local government employees</td></tr> <tr><td><u>0</u></td><td>Federal contractor(s)/grantee(s) personnel - other than state and local governments</td></tr> </table> | <u>0</u> | Less than 3 months | <u>2</u> | 3 to 6 months | <u>1</u> | 6 months to one year | <u>1</u> | 1 to 2 years | <u>1</u> | More than 2 years | <u>0</u> | Unknown | <u>0</u> | Routine audit, inspection, investigation, or review | <u>3</u> | Specially requested audit, inspection, or review | <u>2</u> | Federal employee (knowledge gained through work) | <u>0</u> | Former federal employee | <u>0</u> | Contractor personnel | <u>0</u> | Grantee personnel (excludes state or local government personnel) | <u>0</u> | Former grantee personnel | <u>0</u> | Private individual (not former employee) | <u>0</u> | Informant | <u>0</u> | Consumer complaint form | <u>0</u> | Unsuccessful bidder | <u>0</u> | News media | <u>0</u> | Congressional Committee Member - Staff | <u>0</u> | Alleged victim | <u>0</u> | Confession by perpetrator | <u>0</u> | Law enforcement investigation - state & local | <u>0</u> | Other | <u>0</u> | Unknown | <u>0</u> | Less than \$100 | <u>2</u> | \$101 - \$1,000 | <u>1</u> | \$1,001 - \$10,000 | <u>0</u> | \$10,001 - \$100,000 | <u>0</u> | \$100,001 - \$500,000 | <u>0</u> | \$500,001 - \$1 million | <u>0</u> | Over \$1 million | <u>1</u> | Monetary loss - amount not available | <u>2</u> | Federal Government employees alone and with others | <u>0</u> | State and local government employees | <u>0</u> | Federal contractor(s)/grantee(s) personnel - other than state and local governments |
| <u>0</u> | Procurement - award | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Property disposition | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Payroll | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Grants | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Financial assistance to individuals (excludes loans) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Enforcement (i.e. Customs, IRS) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Provision of health care or social services | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Loans | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Loan guarantees | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>1</u> | Personnel | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Inventory control/property management | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Mail service | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Cash control | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Procurement - monitoring | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Travel | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Administrative services | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Training and education | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Personal property management | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Other | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Unknown | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Work hour abuses | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Private use of government property | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Forgery | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Kickbacks/Bribes | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>1</u> | False statements | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Non-performance of contract terms | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Theft | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Extortion | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Food Stamp irregularities | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>4</u> | Miscellaneous fraud | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>3</u> | Less than 3 months | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>1</u> | 3 to 6 months | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | 6 months to one year | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>1</u> | 1 to 2 years | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | More than 2 years | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Unknown | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Less than 3 months | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>2</u> | 3 to 6 months | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>1</u> | 6 months to one year | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>1</u> | 1 to 2 years | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>1</u> | More than 2 years | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Unknown | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Routine audit, inspection, investigation, or review | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>3</u> | Specially requested audit, inspection, or review | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>2</u> | Federal employee (knowledge gained through work) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Former federal employee | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Contractor personnel | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Grantee personnel (excludes state or local government personnel) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Former grantee personnel | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Private individual (not former employee) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Informant | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Consumer complaint form | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Unsuccessful bidder | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | News media | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Congressional Committee Member - Staff | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Alleged victim | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Confession by perpetrator | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Law enforcement investigation - state & local | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Other | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Unknown | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Less than \$100 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>2</u> | \$101 - \$1,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>1</u> | \$1,001 - \$10,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | \$10,001 - \$100,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | \$100,001 - \$500,000 | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | \$500,001 - \$1 million | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Over \$1 million | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>1</u> | Monetary loss - amount not available | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>2</u> | Federal Government employees alone and with others | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | State and local government employees | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| <u>0</u> | Federal contractor(s)/grantee(s) personnel - other than state and local governments | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |

1/These cases were investigated by the Defense Investigative Service and involved activities under the direct responsibility of DOD rather than an individual service.

0 Corporate recipient(s) of Federal Government financial assistance
1 Individual recipient(s) of Federal Government financial assistance
2 Other individual citizens
0 Other corporate or business entities (such as lending institutions)
0 Unknown
0 State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
0 Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
0 Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
0 Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

4 Closed (Includes cases officially closed by the agency)
1 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

3 Administrative action only
0 Legal action only
0 Administrative and legal action
1 Unknown
0 No action taken - suspect identified
0 No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

0 No action taken
1 Federal employee dismissed

0 Federal employee suspended
0 Federal employee issued warning letter
0 Federal employee issued letter of counseling
0 Federal employee issued oral warning
0 Federal employee demoted
0 Federal employee transferred
0 Formal loss recovery plan agreed to
0 Extra duty (military)
0 Employee resigned pending dismissal
0 Other
0 Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

0 Suspended from doing business with the Federal Government
0 Debarred from doing business with the Federal Government
0 Contract/grant cancelled
0 Issued warning and they agreed to take corrective action
2 Formal loss recovery plan agreed to
0 No action taken
0 Negotiating reimbursement
0 Declared ineligible for program participation under status claimed
0 Employee/enlistee dismissed; employment/enlistment denied
0 Other
0 Unknown

13. Type of legal action or prosecution taken.

0 Civil prosecution
0 Criminal prosecution
0 Civil and criminal prosecution
0 Pre-trial diversion
0 Court martial

14. Outcome of civil proceedings.

0 Judgment for plaintiff
0 Judgment for the defendant
0 Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

0 Acquittal
0 Conviction (guilty plea, nolo contendere)
0 Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Defense Logistics Agency

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

639

2. Functional areas in which fraud occurred.

<u>25</u>	Procurement - award
<u>224</u>	Property disposition
<u>6</u>	Payroll
<u>0</u>	Grants
<u>0</u>	Financial assistance to individuals (excludes loans)
<u>0</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>0</u>	Loans
<u>0</u>	Loan guarantees
<u>6</u>	Personnel
<u>221</u>	Inventory control/property management
<u>3</u>	Mail service
<u>5</u>	Cash control
<u>141</u>	Procurement - monitoring
<u>6</u>	Travel
<u>3</u>	Administrative services
<u>0</u>	Training and education
<u>0</u>	Personal property management
<u>0</u>	Other
<u>0</u>	Unknown

3. Principal types of fraud

<u>6</u>	Work hour abuses
<u>3</u>	Private use of government property
<u>0</u>	Forgery
<u>11</u>	Kickbacks/Bribes
<u>31</u>	False statements
<u>45</u>	Non-performance of contract terms
<u>493</u>	Theft
<u>11</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>19</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>484</u>	Less than 3 months
<u>30</u>	3 to 6 months
<u>19</u>	6 months to one year
<u>28</u>	1 to 2 years
<u>44</u>	More than 2 years
<u>33</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>492</u>	Less than 3 months
<u>33</u>	3 to 6 months
<u>14</u>	6 months to one year
<u>28</u>	1 to 2 years
<u>44</u>	More than 2 years
<u>27</u>	Unknown

6. How the alleged fraud was discovered.

<u>38</u>	Routine audit, inspection, investigation, or review
<u>30</u>	Specially requested audit, inspection, or review
<u>429</u>	Federal employee (knowledge gained through work)
<u>0</u>	Former federal employee
<u>52</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>8</u>	Private individual (not former employee)
<u>41</u>	Informant
<u>0</u>	Consumer complaint form
<u>8</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>11</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>11</u>	Law enforcement investigation - state & local
<u>3</u>	Other
<u>5</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>125</u>	Less than \$100
<u>202</u>	\$101 - \$1,000
<u>137</u>	\$1,001 - \$10,000
<u>17</u>	\$10,001 - \$100,000
<u>6</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>0</u>	Over \$1 million
<u>111</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>131</u>	Federal Government employees alone and with others
<u>0</u>	State and local government employees
<u>100</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

<u>0</u>	Corporate recipient(s) of Federal Government financial assistance
<u>0</u>	Individual recipient(s) of Federal Government financial assistance
<u>25</u>	Other individual citizens
<u>0</u>	Other corporate or business entities (such as lending institutions)
<u>384</u>	Unknown
<u>0</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>630</u>	Closed (Includes cases officially closed by the agency)
<u>9</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>106</u>	Administrative action only
<u>16</u>	Legal action only
<u>30</u>	Administrative and legal action
<u>36</u>	Unknown
<u>61</u>	No action taken - suspect identified
<u>382</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. ^{a/}

<u>41</u>	No action taken
<u>14</u>	Federal employee dismissed

<u>0</u>	Federal employee suspended
<u>11</u>	Federal employee issued warning letter
<u>0</u>	Federal employee issued letter of counseling
<u>6</u>	Federal employee issued oral warning
<u>5</u>	Federal employee demoted
<u>8</u>	Federal employee transferred
<u>14</u>	Formal loss recovery plan agreed to
<u>0</u>	Extra duty (military)
<u>0</u>	Employee resigned pending dismissal
<u>0</u>	Other
<u>11</u>	Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. ^{a/}

<u>3</u>	Suspended from doing business with the Federal Government
<u>25</u>	Debarred from doing business with the Federal Government
<u>6</u>	Contract/grant cancelled
<u>14</u>	Issued warning and they agreed to take corrective action
<u>44</u>	Formal loss recovery plan agreed to
<u>74</u>	No action taken
<u>0</u>	Negotiating reimbursement
<u>0</u>	Declared ineligible for program participation under status claimed
<u>0</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>3</u>	Other
<u>14</u>	Unknown

13. Type of legal action or prosecution taken.

<u>0</u>	Civil prosecution
<u>41</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>0</u>	Pre-trial diversion
<u>5</u>	Court martial

14. Outcome of civil proceedings.

<u>0</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>3</u>	Acquittal
<u>44</u>	Conviction (guilty plea, nolo contendere)
<u>0</u>	Other

^{a/} In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Department of the Army

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

15,941

2. Functional areas in which fraud occurred.

<u>13</u>	Procurement - award
<u>196</u>	Property disposition
<u>422</u>	Payroll
<u>0</u>	Grants
<u>0</u>	Financial assistance to individuals (excludes loans)
<u>13</u>	Enforcement (i.e. Customs, IRS)
<u>53</u>	Provision of health care or social services
<u>13</u>	Loans
<u>0</u>	Loan guarantees
<u>594</u>	Personnel
<u>6,182</u>	Inventory control/property management
<u>98</u>	Mail service
<u>423</u>	Cash control
<u>137</u>	Procurement - monitoring
<u>494</u>	Travel
<u>39</u>	Administrative services
<u>0</u>	Training and education
<u>6,539</u>	Personal property management
<u>640</u>	Other
<u>85</u>	Unknown

3. Principal types of fraud

<u>119</u>	Work hour abuses
<u>85</u>	Private use of government property
<u>428</u>	Forgery
<u>92</u>	Kickbacks/Bribes
<u>1,125</u>	False statements
<u>26</u>	Non-performance of contract terms
<u>12,932</u>	Theft
<u>26</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>1,108</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>14,873</u>	Less than 3 months
<u>386</u>	3 to 6 months
<u>230</u>	6 months to one year
<u>66</u>	1 to 2 years
<u>151</u>	More than 2 years
<u>235</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>14,464</u>	Less than 3 months
<u>747</u>	3 to 6 months
<u>322</u>	6 months to one year
<u>119</u>	1 to 2 years
<u>164</u>	More than 2 years
<u>124</u>	Unknown

6. How the alleged fraud was discovered.

<u>288</u>	Routine audit, inspection, investigation, or review
<u>296</u>	Specially requested audit, inspection, or review
<u>6,974</u>	Federal employee (knowledge gained through work)
<u>13</u>	Former federal employee
<u>0</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>587</u>	Private individual (not former employee)
<u>177</u>	Informant
<u>0</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>6,951</u>	Alleged victim
<u>79</u>	Confession by perpetrator
<u>209</u>	Law enforcement investigation - state & local
<u>275</u>	Other
<u>79</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>1,570</u>	Less than \$100
<u>5,016</u>	\$101 - \$1,000
<u>1,630</u>	\$1,001 - \$10,000
<u>53</u>	\$10,001 - \$100,000
<u>0</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>0</u>	Over \$1 million
<u>705</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>5,530</u>	Federal Government employees alone and with others
<u>0</u>	State and local government employees
<u>39</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

<u>0</u>	Corporate recipient(s) of Federal Government financial assistance
<u>26</u>	Individual recipient(s) of Federal Government financial assistance
<u>412</u>	Other individual citizens
<u>85</u>	Other corporate or business entities (such as lending institutions)
<u>9,845</u>	Unknown
<u>0</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>15,843</u>	Closed (Includes cases officially closed by the agency)
<u>98</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>3,329</u>	Administrative action only
<u>280</u>	Legal action only
<u>750</u>	Administrative and legal action
<u>280</u>	Unknown
<u>1,456</u>	No action taken - suspect identified
<u>2,747</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

<u>1,429</u>	No action taken
<u>973</u>	Federal employee dismissed

<u>541</u>	Federal employee suspended
<u>465</u>	Federal employee issued warning letter
<u>79</u>	Federal employee issued letter of counseling
<u>412</u>	Federal employee issued oral warning
<u>1,266</u>	Federal employee demoted
<u>328</u>	Federal employee transferred
<u>1,599</u>	Formal loss recovery plan agreed to
<u>809</u>	Extra duty (military)
<u>0</u>	Employee resigned pending dismissal
<u>750</u>	Other
<u>359</u>	Unknown

12. Types of administrative action taken against non-federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

<u>0</u>	Suspended from doing business with the Federal Government
<u>0</u>	Debarred from doing business with the Federal Government
<u>0</u>	Contract/grant cancelled
<u>13</u>	Issued warning and they agreed to take corrective action
<u>98</u>	Formal loss recovery plan agreed to
<u>549</u>	No action taken
<u>0</u>	Negotiating reimbursement
<u>13</u>	Declared ineligible for program participation under status claimed
<u>211</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>53</u>	Other
<u>288</u>	Unknown

13. Type of legal action or prosecution taken.

<u>0</u>	Civil prosecution
<u>333</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>0</u>	Pre-trial diversion
<u>698</u>	Court martial

14. Outcome of civil proceedings.

<u>0</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>182</u>	Acquittal
<u>848</u>	Conviction (guilty plea, nolo contendere)
<u>0</u>	Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Department of the Navy

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

17,799

2. Functional areas in which fraud occurred.

<u>55</u>	Procurement - award
<u>87</u>	Property disposition
<u>800</u>	Payroll
<u>0</u>	Grants
<u>28</u>	Financial assistance to individuals (excludes loans)
<u>0</u>	Enforcement (i.e. Customs, IRS)
<u>28</u>	Provision of health care or social services
<u>0</u>	Loans
<u>0</u>	Loan guarantees
<u>1,282</u>	Personnel
<u>10,109</u>	Inventory control/property management
<u>28</u>	Mail service
<u>607</u>	Cash control
<u>114</u>	Procurement - monitoring
<u>331</u>	Travel
<u>138</u>	Administrative services
<u>0</u>	Training and education
<u>3,382</u>	Personal property management
<u>756</u>	Other
<u>55</u>	Unknown

3. Principal types of fraud

<u>253</u>	Work hour abuses
<u>111</u>	Private use of government property
<u>532</u>	Forgery
<u>28</u>	Kickbacks/Bribes
<u>1,324</u>	False statements
<u>55</u>	Non-performance of contract terms
<u>13,385</u>	Theft
<u>87</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>2,026</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>15,844</u>	Less than 3 months
<u>674</u>	3 to 6 months
<u>367</u>	6 months to one year
<u>256</u>	1 to 2 years
<u>142</u>	More than 2 years
<u>516</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>15,343</u>	Less than 3 months
<u>848</u>	3 to 6 months
<u>363</u>	6 months to one year
<u>477</u>	1 to 2 years
<u>138</u>	More than 2 years
<u>630</u>	Unknown

6. How the alleged fraud was discovered.

<u>773</u>	Routine audit, inspection, investigation, or review
<u>276</u>	Specially requested audit, inspection, or review
<u>9,601</u>	Federal employee (knowledge gained through work)
<u>55</u>	Former federal employee
<u>226</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>950</u>	Private individual (not former employee)
<u>769</u>	Informant
<u>0</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>3,023</u>	Alleged victim
<u>276</u>	Confession by perpetrator
<u>228</u>	Law enforcement investigation - state & local
<u>1,135</u>	Other
<u>398</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>1,982</u>	Less than \$100
<u>6,846</u>	\$101 - \$1,000
<u>2,124</u>	\$1,001 - \$10,000
<u>288</u>	\$10,001 - \$100,000
<u>0</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>0</u>	Over \$1 million
<u>1,128</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>6,924</u>	Federal Government employees alone and with others
<u>0</u>	State and local government employees
<u>55</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

28 Corporate recipient(s) of Federal Government financial assistance
0 Individual recipient(s) of Federal Government financial assistance
1,206 Other individual citizens
0 Other corporate or business entities (such as lending institutions)
9,585 Unknown
0 State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
0 Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
0 Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
0 Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

16,881 Closed (Includes cases officially closed by the agency)
918 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

5,321 Administrative action only
0 Legal action only
339 Administrative and legal action
757 Unknown
1,455 No action taken - suspect identified
9,009 No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. ^{a/}

1,399 No action taken
603 Federal employee dismissed

114 Federal employee suspended
0 Federal employee issued warning letter
0 Federal employee issued letter of counseling
28 Federal employee issued oral warning
83 Federal employee demoted
114 Federal employee transferred
2,187 Formal loss recovery plan agreed to
0 Extra duty (military)
0 Employee resigned pending dismissal
87 Other
2,062 Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. ^{a/}

0 Suspended from doing business with the Federal Government
0 Debarred from doing business with the Federal Government
0 Contract/grant cancelled
28 Issued warning and they agreed to take corrective action
229 Formal loss recovery plan agreed to
307 No action taken
0 Negotiating reimbursement
0 Declared ineligible for program participation under status claimed
55 Employee/enlistee dismissed; employment/enlistment denied
142 Other
1,041 Unknown

13. Type of legal action or prosecution taken.

0 Civil prosecution
284 Criminal prosecution
0 Civil and criminal prosecution
0 Pre-trial diversion
55 Court martial

14. Outcome of civil proceedings.

0 Judgment for plaintiff
0 Judgment for the defendant
0 Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

0 Acquittal
311 Conviction (guilty plea, nolo contendere)
28 Other

^{a/} In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Department of Energy

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

624

2. Functional areas in which fraud occurred.

<u>18</u>	Procurement - award
<u>1</u>	Property disposition
<u>19</u>	Payroll
<u>0</u>	Grants
<u>0</u>	Financial assistance to individuals (excludes loans)
<u>8</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>0</u>	Loans
<u>0</u>	Loan guarantees
<u>9</u>	Personnel
<u>507</u>	Inventory control/property management
<u>0</u>	Mail service
<u>2</u>	Cash control
<u>14</u>	Procurement - monitoring
<u>23</u>	Travel
<u>14</u>	Administrative services
<u>0</u>	Training and education
<u>0</u>	Personal property management
<u>6</u>	Other
<u>2</u>	Unknown

3. Principal types of fraud

<u>20</u>	Work hour abuses
<u>19</u>	Private use of government property
<u>6</u>	Forgery
<u>15</u>	Kickbacks/Bribes
<u>34</u>	False statements
<u>6</u>	Non-performance of contract terms
<u>489</u>	Theft
<u>5</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>30</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>495</u>	Less than 3 months
<u>18</u>	3 to 6 months
<u>24</u>	6 months to one year
<u>6</u>	1 to 2 years
<u>14</u>	More than 2 years
<u>67</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>409</u>	Less than 3 months
<u>14</u>	3 to 6 months
<u>30</u>	6 months to one year
<u>11</u>	1 to 2 years
<u>13</u>	More than 2 years
<u>148</u>	Unknown

6. How the alleged fraud was discovered.

<u>37</u>	Routine audit, inspection, investigation, or review
<u>10</u>	Specially requested audit, inspection, or review
<u>52</u>	Federal employee (knowledge gained through work)
<u>2</u>	Former federal employee
<u>481</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>7</u>	Private individual (not former employee)
<u>23</u>	Informant
<u>0</u>	Consumer complaint form
<u>1</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>0</u>	Law enforcement investigation - state & local
<u>4</u>	Other
<u>7</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>174</u>	Less than \$100
<u>247</u>	\$101 - \$1,000
<u>70</u>	\$1,001 - \$10,000
<u>12</u>	\$10,001 - \$100,000
<u>0</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>0</u>	Over \$1 million
<u>61</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>51</u>	Federal Government employees alone and with others
<u>0</u>	State and local government employees
<u>107</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

<u>0</u>	Corporate recipient(s) of Federal Government financial assistance
<u>0</u>	Individual recipient(s) of Federal Government financial assistance
<u>6</u>	Other individual citizens
<u>3</u>	Other corporate or business entities (such as lending institutions)
<u>457</u>	Unknown
<u>0</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>607</u>	Closed (Includes cases officially closed by the agency)
<u>17</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>36</u>	Administrative action only
<u>22</u>	Legal action only
<u>1</u>	Administrative and legal action
<u>1</u>	Unknown
<u>101</u>	No action taken - suspect identified
<u>446</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

<u>22</u>	No action taken
<u>2</u>	Federal employee dismissed

<u>3</u>	Federal employee suspended
<u>4</u>	Federal employee issued warning letter
<u>3</u>	Federal employee issued letter of counseling
<u>5</u>	Federal employee issued oral warning
<u>1</u>	Federal employee demoted
<u>0</u>	Federal employee transferred
<u>0</u>	Formal loss recovery plan agreed to
<u>0</u>	Extra duty (military)
<u>1</u>	Employee resigned pending dismissal
<u>2</u>	Other
<u>1</u>	Unknown

12. Types of administrative action taken against non-federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

<u>0</u>	Suspended from doing business with the Federal Government
<u>0</u>	Debarred from doing business with the Federal Government
<u>3</u>	Contract/grant cancelled
<u>1</u>	Issued warning and they agreed to take corrective action
<u>5</u>	Formal loss recovery plan agreed to
<u>115</u>	No action taken
<u>0</u>	Negotiating reimbursement
<u>0</u>	Declared ineligible for program participation under status claimed
<u>0</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>2</u>	Other
<u>1</u>	Unknown

13. Type of legal action or prosecution taken.

<u>0</u>	Civil prosecution
<u>22</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>1</u>	Pro-trial diversion
<u>0</u>	Court martial

14. Outcome of civil proceedings.

<u>0</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>0</u>	Acquittal
<u>22</u>	Conviction (guilty plea, nolo contendere)
<u>0</u>	Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Environmental Protection Agency

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

140

2. Functional areas in which fraud occurred.

<u>14</u>	Procurement - award
<u>0</u>	Property disposition
<u>16</u>	Payroll
<u>3</u>	Grants
<u>3</u>	Financial assistance to individuals (excludes loans)
<u>11</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>0</u>	Loans
<u>0</u>	Loan guarantees
<u>0</u>	Personnel
<u>19</u>	Inventory control/property management
<u>0</u>	Mail service
<u>3</u>	Cash control
<u>27</u>	Procurement - monitoring
<u>38</u>	Travel
<u>3</u>	Administrative services
<u>0</u>	Training and education
<u>0</u>	Personal property management
<u>0</u>	Other
<u>3</u>	Unknown

3. Principal types of fraud

<u>11</u>	Work hour abuses
<u>19</u>	Private use of government property
<u>0</u>	Forgery
<u>14</u>	Kickbacks/Bribes
<u>52</u>	False statements
<u>8</u>	Non-performance of contract terms
<u>16</u>	Theft
<u>0</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>19</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>69</u>	Less than 3 months
<u>8</u>	3 to 6 months
<u>11</u>	6 months to one year
<u>11</u>	1 to 2 years
<u>25</u>	More than 2 years
<u>16</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>55</u>	Less than 3 months
<u>14</u>	3 to 6 months
<u>11</u>	6 months to one year
<u>8</u>	1 to 2 years
<u>19</u>	More than 2 years
<u>33</u>	Unknown

6. How the alleged fraud was discovered.

<u>16</u>	Routine audit, inspection, investigation, or review
<u>16</u>	Specially requested audit, inspection, or review
<u>71</u>	Federal employee (knowledge gained through work)
<u>0</u>	Former federal employee
<u>3</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>11</u>	Private individual (not former employee)
<u>14</u>	Informant
<u>0</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>0</u>	Law enforcement investigation - state & local
<u>6</u>	Other
<u>3</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>8</u>	Less than \$100
<u>27</u>	\$101 - \$1,000
<u>16</u>	\$1,001 - \$10,000
<u>11</u>	\$10,001 - \$100,000
<u>3</u>	\$100,001 - \$500,000
<u>3</u>	\$500,001 - \$1 million
<u>0</u>	Over \$1 million
<u>27</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>66</u>	Federal Government employees <i>alone and with others</i>
<u>33</u>	State and local government employees
<u>36</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

0 Corporate recipient(s) of Federal Government financial assistance

0 Individual recipient(s) of Federal Government financial assistance

5 Other individual citizens

0 Other corporate or business entities (such as lending institutions)

0 Unknown

0 State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance

0 Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance

0 Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance

0 Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

126 Closed (Includes cases officially closed by the agency)

14 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

82 Administrative action only

21 Legal action only

5 Administrative and legal action

0 Unknown

27 No action taken - suspect identified

0 No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

11 No action taken

5 Federal employee dismissed

5 Federal employee suspended

5 Federal employee issued warning letter

0 Federal employee issued letter of counseling

14 Federal employee issued oral warning

0 Federal employee demoted

0 Federal employee transferred

27 Formal loss recovery plan agreed to

0 Extra duty (military)

3 Employee resigned pending dismissal

0 Other

5 Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

0 Suspended from doing business with the Federal Government

0 Debarred from doing business with the Federal Government

0 Contract/grant cancelled

5 Issued warning and they agreed to take corrective action

25 Formal loss recovery plan agreed to

30 No action taken

0 Negotiating reimbursement

0 Declared ineligible for program participation under status claimed

0 Employee/enlistee dismissed; employment/enlistment denied

5 Other

8 Unknown

13. Type of legal action or prosecution taken.

3 Civil prosecution

17 Criminal prosecution

0 Civil and criminal prosecution

3 Pre-trial diversion

0 Court martial

14. Outcome of civil proceedings.

0 Judgment for plaintiff

0 Judgment for the defendant

3 Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

0 Acquittal

8 Conviction (guilty plea, nolo contendere)

3 Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

General Services Administration

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

1,126

2. Functional areas in which fraud occurred.

<u>38</u>	Procurement - award
<u>17</u>	Property disposition
<u>27</u>	Payroll
<u>0</u>	Grants
<u>1</u>	Financial assistance to individuals (excludes loans)
<u>1</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>0</u>	Loans
<u>0</u>	Loan guarantees
<u>5</u>	Personnel
<u>899</u>	Inventory control/property management
<u>5</u>	Mail service
<u>6</u>	Cash control
<u>110</u>	Procurement - monitoring
<u>4</u>	Travel
<u>13</u>	Administrative services
<u>0</u>	Training and education
<u>0</u>	Personal property management
<u>0</u>	Other
<u>0</u>	Unknown

3. Principal types of fraud

<u>13</u>	Work hour abuses
<u>45</u>	Private use of government property
<u>9</u>	Forgery
<u>42</u>	Kickbacks/Bribes
<u>38</u>	False statements
<u>21</u>	Non-performance of contract terms
<u>907</u>	Theft
<u>2</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>48</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>931</u>	Less than 3 months
<u>23</u>	3 to 6 months
<u>56</u>	6 months to one year
<u>24</u>	1 to 2 years
<u>51</u>	More than 2 years
<u>41</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>890</u>	Less than 3 months
<u>37</u>	3 to 6 months
<u>50</u>	6 months to one year
<u>21</u>	1 to 2 years
<u>44</u>	More than 2 years
<u>84</u>	Unknown

6. How the alleged fraud was discovered.

<u>64</u>	Routine audit, inspection, investigation, or review
<u>46</u>	Specially requested audit, inspection, or review
<u>846</u>	Federal employee (knowledge gained through work)
<u>9</u>	Former federal employee
<u>31</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>17</u>	Private individual (not former employee)
<u>19</u>	Informant
<u>0</u>	Consumer complaint form
<u>4</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>1</u>	Confession by perpetrator
<u>1</u>	Law enforcement investigation - state & local
<u>31</u>	Other
<u>57</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>127</u>	Less than \$100
<u>613</u>	\$101 - \$1,000
<u>137</u>	\$1,001 - \$10,000
<u>33</u>	\$10,001 - \$100,000
<u>76</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>5</u>	Over \$1 million
<u>137</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>198</u>	Federal Government employees alone and with others
<u>0</u>	State and local government employees
<u>71</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

0 Corporate recipient(s) of Federal Government financial assistance
1 Individual recipient(s) of Federal Government financial assistance
49 Other individual citizens
1 Other corporate or business entities (such as lending institutions)
807 Unknown
0 State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
0 Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
0 Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
0 Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

1,076 Closed (Includes cases officially closed by the agency)
50 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

106 Administrative action only
63 Legal action only
45 Administrative and legal action
0 Unknown
78 No action taken - suspect identified
785 No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

75 No action taken
22 Federal employee dismissed

35 Federal employee suspended
75 Federal employee issued warning letter
0 Federal employee issued letter of counseling
1 Federal employee issued oral warning
9 Federal employee demoted
4 Federal employee transferred
12 Formal loss recovery plan agreed to
0 Extra duty (military)
9 Employee resigned pending dismissal
1 Other
6 Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

4 Suspended from doing business with the Federal Government
4 Debarred from doing business with the Federal Government
10 Contract/grant cancelled
11 Issued warning and they agreed to take corrective action
20 Formal loss recovery plan agreed to
132 No action taken
2 Negotiating reimbursement
0 Declared ineligible for program participation under status claimed
0 Employee/enlistee dismissed; employment/enlistment denied
9 Other
18 Unknown

13. Type of legal action or prosecution taken.

4 Civil prosecution
25 Criminal prosecution
0 Civil and criminal prosecution
9 Pro-trial diversion
0 Court martial

14. Outcome of civil proceedings.

4 Judgment for plaintiff
0 Judgment for the defendant
0 Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

9 Acquittal
86 Conviction (guilty plea, nolo contendere)
0 Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Department of Health, Education and Welfare

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

279
2. Functional areas in which fraud occurred.

<u>6</u>	Procurement - award
<u>0</u>	Property disposition
<u>11</u>	Payroll
<u>74</u>	Grants
<u>34</u>	Financial assistance to individuals (excludes loans)
<u>0</u>	Enforcement (i.e. Customs, IRS)
<u>108</u>	Provision of health care or social services
<u>6</u>	Loans
<u>23</u>	Loan guarantees
<u>6</u>	Personnel
<u>0</u>	Inventory control/property management
<u>0</u>	Mail service
<u>0</u>	Cash control
<u>0</u>	Procurement - monitoring
<u>6</u>	Travel
<u>0</u>	Administrative services
<u>6</u>	Training and education
<u>0</u>	Personal property management
<u>0</u>	Other
<u>0</u>	Unknown
3. Principal types of fraud

<u>11</u>	Work hour abuses
<u>0</u>	Private use of government property
<u>17</u>	Forgery
<u>6</u>	Kickbacks/Bribes
<u>182</u>	False statements
<u>23</u>	Non-performance of contract terms
<u>17</u>	Theft
<u>11</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>11</u>	Miscellaneous fraud
4. The period of time over which the alleged fraudulent activity took place.

<u>40</u>	Less than 3 months
<u>17</u>	3 to 6 months
<u>40</u>	6 months to one year
<u>40</u>	1 to 2 years
<u>57</u>	More than 2 years
<u>86</u>	Unknown
5. The period of time between occurrence and discovery of the fraudulent activity.

<u>17</u>	Less than 3 months
<u>11</u>	3 to 6 months
<u>34</u>	6 months to one year
<u>57</u>	1 to 2 years
<u>57</u>	More than 2 years
<u>103</u>	Unknown
6. How the alleged fraud was discovered.

<u>86</u>	Routine audit, inspection, investigation, or review
<u>0</u>	Specially requested audit, inspection, or review
<u>80</u>	Federal employee (knowledge gained through work)
<u>0</u>	Former federal employee
<u>0</u>	Contractor personnel
<u>29</u>	Grantee personnel (excludes state or local government personnel)
<u>6</u>	Former grantee personnel
<u>23</u>	Private individual (not former employee)
<u>0</u>	Informant
<u>0</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>0</u>	Law enforcement investigation - state & local
<u>51</u>	Other
<u>6</u>	Unknown
7. Estimate of amount of loss incurred by Federal Government

<u>11</u>	Less than \$100
<u>57</u>	\$101 - \$1,000
<u>57</u>	\$1,001 - \$10,000
<u>34</u>	\$10,001 - \$100,000
<u>6</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>11</u>	Over \$1 million
<u>63</u>	Monetary loss - amount not available
8. Participants in the alleged fraudulent activity.

<u>40</u>	Federal Government employees <i>alone and with others</i>
<u>0</u>	State and local government employees
<u>29</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

<u>0</u>	Corporate recipient(s) of Federal Government financial assistance
<u>86</u>	Individual recipient(s) of Federal Government financial assistance
<u>29</u>	Other individual citizens
<u>80</u>	Other corporate or business entities (such as lending institutions)
<u>6</u>	Unknown
<u>0</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>11</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>274</u>	Closed (Includes cases officially closed by the agency)
<u>5</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>143</u>	Administrative action only
<u>57</u>	Legal action only
<u>6</u>	Administrative and legal action
<u>0</u>	Unknown
<u>68</u>	No action taken - suspect identified
<u>0</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

<u>17</u>	No action taken
<u>11</u>	Federal employee dismissed

<u>0</u>	Federal employee suspended
<u>6</u>	Federal employee issued warning letter
<u>0</u>	Federal employee issued letter of counseling
<u>0</u>	Federal employee issued oral warning
<u>0</u>	Federal employee demoted
<u>0</u>	Federal employee transferred
<u>0</u>	Formal loss recovery plan agreed to
<u>0</u>	Extra duty (military)
<u>0</u>	Employee resigned pending dismissal
<u>0</u>	Other
<u>6</u>	Unknown

12. Types of administrative action taken against non-federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

<u>0</u>	Suspended from doing business with the Federal Government
<u>0</u>	Debarred from doing business with the Federal Government
<u>6</u>	Contract/grant cancelled
<u>0</u>	Issued warning and they agreed to take corrective action
<u>57</u>	Formal loss recovery plan agreed to
<u>114</u>	No action taken
<u>11</u>	Negotiating reimbursement
<u>0</u>	Declared ineligible for program participation under status claimed
<u>0</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>6</u>	Other
<u>46</u>	Unknown

13. Type of legal action or prosecution taken.

<u>6</u>	Civil prosecution
<u>46</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>11</u>	Pre-trial diversion
<u>0</u>	Court martial

14. Outcome of civil proceedings.

<u>6</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>0</u>	Acquittal
<u>46</u>	Conviction (guilty plea, nolo contendere)
<u>0</u>	Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Department of Housing and Urban Development

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.		5. The period of time between occurrence and discovery of the fraudulent activity.	
<u>1,665</u>		<u>344</u> Less than 3 months	
2. Functional areas in which fraud occurred.		<u>472</u> 3 to 6 months	
<u>0</u> Procurement - award		<u>258</u> 6 months to one year	
<u>29</u> Property disposition		<u>373</u> 1 to 2 years	
<u>0</u> Payroll		<u>344</u> More than 2 years	
<u>29</u> Grants		<u>172</u> Unknown	
<u>402</u> Financial assistance to individuals (excludes loans)		6. How the alleged fraud was discovered.	
<u>0</u> Enforcement (i.e. Customs, IRS)		<u>373</u> Routine audit, inspection, investigation, or review	
<u>0</u> Provision of health care or social services		<u>57</u> Specially requested audit, inspection, or review	
<u>29</u> Loans		<u>373</u> Federal employee (knowledge gained through work)	
<u>861</u> Loan guarantees		<u>29</u> Former federal employee	
<u>57</u> Personnel		<u>258</u> Contractor personnel	
<u>0</u> Inventory control/property management		<u>0</u> Grantee personnel (excludes state or local government personnel)	
<u>0</u> Mail service		<u>0</u> Former grantee personnel	
<u>29</u> Cash control		<u>316</u> Private individual (not former employee)	
<u>57</u> Procurement - monitoring		<u>86</u> Informant	
<u>29</u> Travel		<u>0</u> Consumer complaint form	
<u>0</u> Administrative services		<u>0</u> Unsuccessful bidder	
<u>0</u> Training and education		<u>0</u> News media	
<u>0</u> Personal property management		<u>0</u> Congressional Committee Member - Staff	
<u>144</u> Other		<u>0</u> Alleged victim	
<u>0</u> Unknown		<u>0</u> Confession by perpetrator	
3. Principal types of fraud		<u>0</u> Law enforcement investigation - state & local	
<u>29</u> Work hour abuses		<u>144</u> Other	
<u>29</u> Private use of government property		<u>29</u> Unknown	
<u>57</u> Forgery		7. Estimate of amount of loss incurred by Federal Government	
<u>29</u> Kickbacks/Bribes		<u>0</u> Less than \$100	
<u>1,091</u> False statements		<u>201</u> \$101 - \$1,000	
<u>115</u> Non-performance of contract terms		<u>258</u> \$1,001 - \$10,000	
<u>115</u> Theft		<u>86</u> \$10,001 - \$100,000	
<u>86</u> Extortion		<u>0</u> \$100,001 - \$500,000	
<u>0</u> Food Stamp irregularities		<u>0</u> \$500,001 - \$1 million	
<u>115</u> Miscellaneous fraud		<u>144</u> Over \$1 million	
4. The period of time over which the alleged fraudulent activity took place.		<u>144</u> Monetary loss - amount not available	
<u>775</u> Less than 3 months		8. Participants in the alleged fraudulent activity.	
<u>144</u> 3 to 6 months		<u>173</u> Federal Government employees <i>alone and with others</i>	
<u>115</u> 6 months to one year		<u>115</u> State and local government employees	
<u>230</u> 1 to 2 years		<u>29</u> Federal contractor(s)/grantee(s) personnel - other than state and local governments	
<u>287</u> More than 2 years			
<u>115</u> Unknown			

<u>0</u>	Corporate recipient(s) of Federal Government financial assistance
<u>718</u>	Individual recipient(s) of Federal Government financial assistance
<u>57</u>	Other individual citizens
<u>144</u>	Other corporate or business entities (such as lending institutions)
<u>0</u>	Unknown
<u>29</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>57</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>144</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>1,608</u>	Closed (Includes cases officially closed by the agency)
<u>57</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>890</u>	Administrative action only
<u>29</u>	Legal action only
<u>57</u>	Administrative and legal action
<u>0</u>	Unknown
<u>632</u>	No action taken - suspect identified
<u>0</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

<u>57</u>	No action taken
<u>0</u>	Federal employee dismissed

<u>0</u>	Federal employee suspended
<u>115</u>	Federal employee issued warning letter
<u>0</u>	Federal employee issued letter of counseling
<u>0</u>	Federal employee issued oral warning
<u>0</u>	Federal employee demoted
<u>0</u>	Federal employee transferred
<u>29</u>	Formal loss recovery plan agreed to
<u>0</u>	Extra duty (military)
<u>0</u>	Employee resigned pending dismissal
<u>0</u>	Other
<u>0</u>	Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

<u>86</u>	Suspended from doing business with the Federal Government
<u>29</u>	Debarred from doing business with the Federal Government
<u>0</u>	Contract/grant cancelled
<u>316</u>	Issued warning and they agreed to take corrective action
<u>144</u>	Formal loss recovery plan agreed to
<u>632</u>	No action taken
<u>86</u>	Negotiating reimbursement
<u>0</u>	Declared ineligible for program participation under status claimed
<u>0</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>86</u>	Other
<u>86</u>	Unknown

13. Type of legal action or prosecution taken.

<u>0</u>	Civil prosecution
<u>86</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>0</u>	Pre-trial diversion
<u>0</u>	Court martial

14. Outcome of civil proceedings.

<u>0</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>0</u>	Acquittal
<u>86</u>	Conviction (guilty plea, nolo contendere)
<u>0</u>	Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Department of Interior

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

143

2. Functional areas in which fraud occurred.

<u>9</u>	Procurement - award
<u>1</u>	Property disposition
<u>15</u>	Payroll
<u>0</u>	Grants
<u>0</u>	Financial assistance to individuals (excludes loans)
<u>3</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>3</u>	Loans
<u>0</u>	Loan guarantees
<u>3</u>	Personnel
<u>64</u>	Inventory control/property management
<u>0</u>	Mail service
<u>15</u>	Cash control
<u>9</u>	Procurement - monitoring
<u>6</u>	Travel
<u>6</u>	Administrative services
<u>0</u>	Training and education
<u>0</u>	Personal property management
<u>3</u>	Other
<u>3</u>	Unknown

3. Principal types of fraud

<u>12</u>	Work hour abuses
<u>64</u>	Private use of government property
<u>0</u>	Forgery
<u>0</u>	Kickbacks/Bribes
<u>12</u>	False statements
<u>0</u>	Non-performance of contract terms
<u>39</u>	Theft
<u>0</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>15</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>100</u>	Less than 3 months
<u>6</u>	3 to 6 months
<u>12</u>	6 months to one year
<u>6</u>	1 to 2 years
<u>3</u>	More than 2 years
<u>15</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>106</u>	Less than 3 months
<u>9</u>	3 to 6 months
<u>9</u>	6 months to one year
<u>3</u>	1 to 2 years
<u>6</u>	More than 2 years
<u>9</u>	Unknown

6. How the alleged fraud was discovered.

<u>12</u>	Routine audit, inspection, investigation, or review
<u>0</u>	Specially requested audit, inspection, or review
<u>82</u>	Federal employee (knowledge gained through work)
<u>3</u>	Former federal employee
<u>0</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>30</u>	Private individual (not former employee)
<u>12</u>	Informant
<u>0</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>0</u>	Law enforcement investigation - state & local
<u>3</u>	Other
<u>0</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>24</u>	Less than \$100
<u>39</u>	\$101 - \$1,000
<u>9</u>	\$1,001 - \$10,000
<u>6</u>	\$10,001 - \$100,000
<u>0</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>0</u>	Over \$1 million
<u>15</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>137</u>	Federal Government employees alone and with others
<u>0</u>	State and local government employees
<u>3</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

<u>0</u>	Corporate recipient(s) of Federal Government financial assistance
<u>0</u>	Individual recipient(s) of Federal Government financial assistance
<u>0</u>	Other individual citizens
<u>0</u>	Other corporate or business entities (such as lending institutions)
<u>3</u>	Unknown
<u>0</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>127</u>	Closed (Includes cases officially closed by the agency)
<u>16</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>109</u>	Administrative action only
<u>0</u>	Legal action only
<u>0</u>	Administrative and legal action
<u>0</u>	Unknown
<u>18</u>	No action taken - suspect identified
<u>0</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

<u>18</u>	No action taken
<u>15</u>	Federal employee dismissed

<u>18</u>	Federal employee suspended
<u>9</u>	Federal employee issued warning letter
<u>18</u>	Federal employee issued letter of counseling
<u>15</u>	Federal employee issued oral warning
<u>0</u>	Federal employee demoted
<u>6</u>	Federal employee transferred
<u>39</u>	Formal loss recovery plan agreed to
<u>0</u>	Extra duty (military)
<u>9</u>	Employee resigned pending dismissal
<u>1</u>	Other
<u>6</u>	Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

<u>0</u>	Suspended from doing business with the Federal Government
<u>0</u>	Debarred from doing business with the Federal Government
<u>3</u>	Contract/grant cancelled
<u>3</u>	Issued warning and they agreed to take corrective action
<u>3</u>	Formal loss recovery plan agreed to
<u>3</u>	No action taken
<u>0</u>	Negotiating reimbursement
<u>0</u>	Declared ineligible for program participation under status claimed
<u>0</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>0</u>	Other
<u>0</u>	Unknown

13. Type of legal action or prosecution taken.

<u>0</u>	Civil prosecution
<u>0</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>0</u>	Pre-trial diversion
<u>0</u>	Court martial

14. Outcome of civil proceedings.

<u>0</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>0</u>	Acquittal
<u>0</u>	Conviction (guilty plea, nolo contendere)
<u>0</u>	Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Department of Labor

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

430

2. Functional areas in which fraud occurred.

<u>0</u>	Procurement - award
<u>0</u>	Property disposition
<u>44</u>	Payroll
<u>28</u>	Grants
<u>226</u>	Financial assistance to individuals (excludes loans)
<u>0</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>0</u>	Loans
<u>0</u>	Loan guarantees
<u>45</u>	Personnel
<u>0</u>	Inventory control/property management
<u>0</u>	Mail service
<u>2</u>	Cash control
<u>0</u>	Procurement - monitoring
<u>0</u>	Travel
<u>2</u>	Administrative services
<u>82</u>	Training and education
<u>0</u>	Personal property management
<u>0</u>	Other
<u>0</u>	Unknown

3. Principal types of fraud

<u>28</u>	Work hour abuses
<u>0</u>	Private use of government property
<u>37</u>	Forgery
<u>0</u>	Kickbacks/Bribes
<u>280</u>	False statements
<u>49</u>	Non-performance of contract terms
<u>0</u>	Theft
<u>15</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>21</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>38</u>	Less than 3 months
<u>51</u>	3 to 6 months
<u>108</u>	6 months to one year
<u>100</u>	1 to 2 years
<u>56</u>	More than 2 years
<u>78</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>56</u>	Less than 3 months
<u>46</u>	3 to 6 months
<u>53</u>	6 months to one year
<u>147⁹</u>	1 to 2 years
<u>57</u>	More than 2 years
<u>80</u>	Unknown

6. How the alleged fraud was discovered.

<u>75</u>	Routine audit, inspection, investigation, or review
<u>0</u>	Specially requested audit, inspection, or review
<u>171</u>	Federal employee (knowledge gained through work)
<u>0</u>	Former federal employee
<u>0</u>	Contractor personnel
<u>55</u>	Grantee personnel (excludes state or local government personnel)
<u>11</u>	Former grantee personnel
<u>4</u>	Private individual (not former employee)
<u>0</u>	Informant
<u>0</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>16</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>45</u>	Law enforcement investigation - state & local
<u>46</u>	Other
<u>4</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>2</u>	Less than \$100
<u>41</u>	\$101 - \$1,000
<u>155</u>	\$1,001 - \$10,000
<u>72</u>	\$10,001 - \$100,000
<u>12</u>	\$100,001 - \$500,000
<u>16</u>	\$500,001 - \$1 million
<u>0</u>	Over \$1 million
<u>119</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>183</u>	Federal Government employees alone and with others
<u>35</u>	State and local government employees
<u>101</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

<u>0</u>	Corporate recipient(s) of Federal Government financial assistance
<u>76</u>	Individual recipient(s) of Federal Government financial assistance
<u>14</u>	Other individual citizens
<u>0</u>	Other corporate or business entities (such as lending institutions)
<u>0</u>	Unknown
<u>9</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>12</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>356</u>	Closed (Includes cases officially closed by the agency)
<u>74</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>116</u>	Administrative action only
<u>12</u>	Legal action only
<u>34</u>	Administrative and legal action
<u>0</u>	Unknown
<u>194</u>	No action taken - suspect identified
<u>0</u>	No action taken - suspect unknown

11. Types of administrative action taken against federal employees. ^{d/}

<u>85</u>	No action taken
<u>28</u>	Federal employee dismissed

<u>0</u>	Federal employee suspended
<u>0</u>	Federal employee issued warning letter
<u>0</u>	Federal employee issued letter of counseling
<u>0</u>	Federal employee issued oral warning
<u>0</u>	Federal employee demoted
<u>0</u>	Federal employee transferred
<u>42</u>	Formal loss recovery plan agreed to
<u>0</u>	Extra duty (military)
<u>0</u>	Employee resigned pending dismissal
<u>0</u>	Other
<u>0</u>	Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. ^{a/}

<u>7</u>	Suspended from doing business with the Federal Government
<u>0</u>	Debarred from doing business with the Federal Government
<u>7</u>	Contract/grant cancelled
<u>7</u>	Issued warning and they agreed to take corrective action
<u>19</u>	Formal loss recovery plan agreed to
<u>121</u>	No action taken
<u>0</u>	Negotiating reimbursement
<u>42</u>	Declared ineligible for program participation under status claimed
<u>0</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>2</u>	Other
<u>3</u>	Unknown

13. Type of legal action or prosecution taken.

<u>0</u>	Civil prosecution
<u>46</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>0</u>	Pre-trial diversion
<u>0</u>	Court martial

14. Outcome of civil proceedings.

<u>0</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>0</u>	Acquittal
<u>46</u>	Conviction (guilty plea, nolo contendere)
<u>0</u>	Other

^{d/} In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Law Enforcement Assistance Administration

Department of Justice

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

56

2. Functional areas in which fraud occurred.

<u>3</u>	Procurement - award
<u>0</u>	Property disposition
<u>3</u>	Payroll
<u>13</u>	Grants
<u>0</u>	Financial assistance to individuals (excludes loans)
<u>0</u>	Enforcement (i.e. Customs, IRS)
<u>3</u>	Provision of health care or social services
<u>0</u>	Loans
<u>0</u>	Loan guarantees
<u>5</u>	Personnel
<u>5</u>	Inventory control/property management
<u>0</u>	Mail service
<u>5</u>	Cash control
<u>3</u>	Procurement - monitoring
<u>8</u>	Travel
<u>3</u>	Administrative services
<u>5</u>	Training and education
<u>0</u>	Personal property management
<u>0</u>	Other
<u>0</u>	Unknown

3. Principal types of fraud

<u>0</u>	Work hour abuses
<u>0</u>	Private use of government property
<u>3</u>	Forgery
<u>5</u>	Kickbacks/Bribes
<u>13</u>	False statements
<u>16</u>	Non-performance of contract terms
<u>8</u>	Theft
<u>0</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>11</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>13</u>	Less than 3 months
<u>3</u>	3 to 6 months
<u>19</u>	6 months to one year
<u>8</u>	1 to 2 years
<u>8</u>	More than 2 years
<u>5</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>5</u>	Less than 3 months
<u>8</u>	3 to 6 months
<u>8</u>	6 months to one year
<u>19</u>	1 to 2 years
<u>8</u>	More than 2 years
<u>8</u>	Unknown

6. How the alleged fraud was discovered.

<u>19</u>	Routine audit, inspection, investigation, or review
<u>0</u>	Specially requested audit, inspection, or review
<u>5</u>	Federal employee (knowledge gained through work)
<u>0</u>	Former federal employee
<u>0</u>	Contractor personnel
<u>3</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>8</u>	Private individual (not former employee)
<u>3</u>	Informant
<u>0</u>	Consumer complaint form
<u>3</u>	Unsuccessful bidder
<u>3</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>0</u>	Law enforcement investigation - state & local
<u>14</u>	Other
<u>0</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>3</u>	Less than \$100
<u>13</u>	\$101 - \$1,000
<u>8</u>	\$1,001 - \$10,000
<u>16</u>	\$10,001 - \$100,000
<u>0</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>0</u>	Over \$1 million
<u>13</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>3</u>	Federal Government employees alone and with others
<u>29</u>	State and local government employees
<u>19</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

- 0 Corporate recipient(s) of Federal Government financial assistance
- 0 Individual recipient(s) of Federal Government financial assistance
- 3 Other individual citizens
- 0 Other corporate or business entities (such as lending institutions)
- 3 Unknown
- 0 State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
- 0 Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
- 0 Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
- 0 Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

- 46 Closed (Includes cases officially closed by the agency)
- 10 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

- 19 Administrative action only
- 3 Legal action only
- 3 Administrative and legal action
- 0 Unknown
- 19 No action taken - suspect identified
- 3 No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. *a/*

- 0 No action taken
- 0 Federal employee dismissed

- 0 Federal employee suspended
- 0 Federal employee issued warning letter
- 0 Federal employee issued letter of counseling
- 0 Federal employee issued oral warning
- 0 Federal employee demoted
- 0 Federal employee transferred
- 0 Formal loss recovery plan agreed to
- 0 Extra duty (military)
- 0 Employee resigned pending dismissal
- 0 Other
- 0 Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. *a/*

- 0 Suspended from doing business with the Federal Government
- 0 Debarred from doing business with the Federal Government
- 3 Contract/grant cancelled
- 5 Issued warning and they agreed to take corrective action
- 11 Formal loss recovery plan agreed to
- 21 No action taken
- 5 Negotiating reimbursement
- 0 Declared ineligible for program participation under status claimed
- 0 Employee/enlistee dismissed; employment/enlistment denied
- 0 Other
- 0 Unknown

13. Type of legal action or prosecution taken.

- 0 Civil prosecution
- 5 Criminal prosecution
- 0 Civil and criminal prosecution
- 0 Pre-trial diversion
- 0 Court martial

14. Outcome of civil proceedings.

- 0 Judgment for plaintiff
- 0 Judgment for the defendant
- 0 Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

- 3 Acquittal
- 3 Conviction (guilty plea, nolo contendere)
- 0 Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

U.S. Postal Service

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

11,161

2. Functional areas in which fraud occurred.

<u>13</u>	Procurement - award
<u>0</u>	Property disposition
<u>409</u>	Payroll
<u>0</u>	Grants
<u>0</u>	Financial assistance to individuals (excludes loans)
<u>0</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>0</u>	Loans
<u>0</u>	Loan guarantees
<u>108</u>	Personnel
<u>882</u>	Inventory control/property management
<u>7,674</u>	Mail service
<u>2,075</u>	Cash control
<u>0</u>	Procurement - monitoring
<u>0</u>	Travel
<u>0</u>	Administrative services
<u>0</u>	Training and education
<u>0</u>	Personal property management
<u>0</u>	Other
<u>0</u>	Unknown

3. Principal types of fraud

<u>296</u>	Work hour abuses
<u>83</u>	Private use of government property
<u>107</u>	Forgery
<u>0</u>	Kickbacks/Bribes
<u>325</u>	False statements
<u>14</u>	Non-performance of contract terms
<u>7,783</u>	Theft
<u>0</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>2,552</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>7,948</u>	Less than 3 months
<u>798</u>	3 to 6 months
<u>624</u>	6 months to one year
<u>536</u>	1 to 2 years
<u>244</u>	More than 2 years
<u>1,012</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>8,201</u>	Less than 3 months
<u>976</u>	3 to 6 months
<u>538</u>	6 months to one year
<u>268</u>	1 to 2 years
<u>192</u>	More than 2 years
<u>987</u>	Unknown

6. How the alleged fraud was discovered.

<u>2,224</u>	Routine audit, inspection, investigation, or review
<u>835</u>	Specially requested audit, inspection, or review
<u>3,952</u>	Federal employee (knowledge gained through work)
<u>0</u>	Former federal employee
<u>176</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>2,834</u>	Private individual (not former employee)
<u>237</u>	Informant
<u>338</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>0</u>	Law enforcement investigation - state & local
<u>314</u>	Other
<u>258</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>1,667</u>	Less than \$100
<u>3,626</u>	\$101 - \$1,000
<u>799</u>	\$1,001 - \$10,000
<u>153</u>	\$10,001 - \$100,000
<u>0</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>28</u>	Over \$1 million
<u>1,314</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>6,514</u>	Federal Government employees alone and with others
<u>122</u>	State and local government employees
<u>567</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

<u>0</u>	Corporate recipient(s) of Federal Government financial assistance
<u>0</u>	Individual recipient(s) of Federal Government financial assistance
<u>1,550</u>	Other individual citizens
<u>329</u>	Other corporate or business entities (such as lending institutions)
<u>2,080</u>	Unknown
<u>0</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>10,693</u>	Closed (Includes cases officially closed by the agency)
<u>468</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>4,504</u>	Administrative action only
<u>252</u>	Legal action only
<u>2,133</u>	Administrative and legal action
<u>187</u>	Unknown
<u>1,757</u>	No action taken - suspect identified
<u>1,861</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

<u>1,257</u>	No action taken
<u>2,053</u>	Federal employee dismissed

<u>977</u>	Federal employee suspended
<u>207</u>	Federal employee issued warning letter
<u>243</u>	Federal employee issued letter of counseling
<u>304</u>	Federal employee issued oral warning
<u>197</u>	Federal employee demoted
<u>214</u>	Federal employee transferred
<u>1,315</u>	Formal loss recovery plan agreed to
<u>0</u>	Extra duty (military)
<u>695</u>	Employee resigned pending dismissal
<u>327</u>	Other
<u>23</u>	Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

<u>23</u>	Suspended from doing business with the Federal Government
<u>0</u>	Debarred from doing business with the Federal Government
<u>93</u>	Contract/grant cancelled
<u>834</u>	Issued warning and they agreed to take corrective action
<u>1,234</u>	Formal loss recovery plan agreed to
<u>1,044</u>	No action taken
<u>77</u>	Negotiating reimbursement
<u>0</u>	Declared ineligible for program participation under status claimed
<u>0</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>126</u>	Other
<u>57</u>	Unknown

13. Type of legal action or prosecution taken.

<u>0</u>	Civil prosecution
<u>1,917</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>419</u>	Pre-trial diversion
<u>55</u>	Court martial

14. Outcome of civil proceedings.

<u>0</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>74</u>	Acquittal
<u>1,818</u>	Conviction (guilty plea, nolo contendere)
<u>74</u>	Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Small Business Administration

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

692

2. Functional areas in which fraud occurred.

<u>27</u>	Procurement - award
<u>0</u>	Property disposition
<u>0</u>	Payroll
<u>0</u>	Grants
<u>0</u>	Financial assistance to individuals (excludes loans)
<u>0</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>359</u>	Loans
<u>262</u>	Loan guarantees
<u>23</u>	Personnel
<u>3</u>	Inventory control/property management
<u>0</u>	Mail service
<u>3</u>	Cash control
<u>0</u>	Procurement - monitoring
<u>15</u>	Travel
<u>0</u>	Administrative services
<u>0</u>	Training and education
<u>0</u>	Personal property management
<u>0</u>	Other
<u>0</u>	Unknown

3. Principal types of fraud

<u>5</u>	Work hour abuses
<u>13</u>	Private use of government property
<u>1</u>	Forgery
<u>27</u>	Kickbacks/Bribes
<u>235</u>	False statements
<u>10</u>	Non-performance of contract terms
<u>5</u>	Theft
<u>12</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>383</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>413</u>	Less than 3 months
<u>64</u>	3 to 6 months
<u>39</u>	6 months to one year
<u>30</u>	1 to 2 years
<u>46</u>	More than 2 years
<u>101</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>173</u>	Less than 3 months
<u>117</u>	3 to 6 months
<u>117</u>	6 months to one year
<u>110</u>	1 to 2 years
<u>88</u>	More than 2 years
<u>86</u>	Unknown

6. How the alleged fraud was discovered.

<u>24</u>	Routine audit, inspection, investigation, or review
<u>12</u>	Specially requested audit, inspection, or review
<u>412</u>	Federal employee (knowledge gained through work)
<u>0</u>	Former federal employee
<u>3</u>	Contractor personnel
<u>10</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>109</u>	Private individual (not former employee)
<u>32</u>	Informant
<u>0</u>	Consumer complaint form
<u>10</u>	Unsuccessful bidder
<u>0</u>	News media
<u>1</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>0</u>	Law enforcement investigation - state & local
<u>51</u>	Other
<u>29</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>16</u>	Less than \$100
<u>3</u>	\$101 - \$1,000
<u>139</u>	\$1,001 - \$10,000
<u>317</u>	\$10,001 - \$100,000
<u>39</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>1</u>	Over \$1 million
<u>19</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>95</u>	Federal Government employees alone and with others
<u>0</u>	State and local government employees
<u>10</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

517 Corporate recipient(s) of Federal Government financial assistance
58 Individual recipient(s) of Federal Government financial assistance
0 Other individual citizens
11 Other corporate or business entities (such as lending institutions)
1 Unknown
0 State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
0 Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
0 Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
0 Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

690 Closed (Includes cases officially closed by the agency)
2 Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

487 Administrative action only
49 Legal action only
18 Administrative and legal action
13 Unknown
123 No action taken - suspect identified
0 No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

40 No action taken
11 Federal employee dismissed

5 Federal employee suspended
8 Federal employee issued warning letter
3 Federal employee issued letter of counseling
0 Federal employee issued oral warning
3 Federal employee demoted
3 Federal employee transferred
0 Formal loss recovery plan agreed to
0 Extra duty (military)
22 Employee resigned pending dismissal
10 Other
3 Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

0 Suspended from doing business with the Federal Government
0 Debarred from doing business with the Federal Government
0 Contract/grant cancelled
0 Issued warning and they agreed to take corrective action
80 Formal loss recovery plan agreed to
177 No action taken
0 Negotiating reimbursement
29 Declared ineligible for program participation under status claimed
0 Employee/enlistee dismissed; employment/enlistment denied
0 Other
352 Unknown

13. Type of legal action or prosecution taken.

10 Civil prosecution
47 Criminal prosecution
0 Civil and criminal prosecution
10 Pre-trial diversion
0 Court martial

14. Outcome of civil proceedings.

10 Judgment for plaintiff
0 Judgment for the defendant
0 Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

3 Acquittal
44 Conviction (guilty plea, nolo contendere)
0 Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Social Security Administration

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

13,147

2. Functional areas in which fraud occurred.

<u>0</u>	Procurement - award
<u>0</u>	Property disposition
<u>0</u>	Payroll
<u>0</u>	Grants
<u>13,147</u>	Financial assistance to individuals (excludes loans)
<u>0</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>0</u>	Loans
<u>0</u>	Loan guarantees
<u>0</u>	Personnel
<u>0</u>	Inventory control/property management
<u>0</u>	Mail service
<u>0</u>	Cash control
<u>0</u>	Procurement - monitoring
<u>0</u>	Travel
<u>0</u>	Administrative services
<u>0</u>	Training and education
<u>0</u>	Personal property management
<u>0</u>	Other
<u>0</u>	Unknown

3. Principal types of fraud

<u>0</u>	Work hour abuses
<u>0</u>	Private use of government property
<u>287</u>	Forgery
<u>0</u>	Kickbacks/Bribes
<u>12,045</u>	False statements
<u>0</u>	Non-performance of contract terms
<u>594</u>	Theft
<u>221</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>0</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>1,672</u>	Less than 3 months
<u>1,322</u>	3 to 6 months
<u>2,327</u>	6 months to one year
<u>2,727</u>	1 to 2 years
<u>4,421</u>	More than 2 years
<u>609</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>1,458</u>	Less than 3 months
<u>1,090</u>	3 to 6 months
<u>1,946</u>	6 months to one year
<u>3,216</u>	1 to 2 years
<u>4,541</u>	More than 2 years
<u>896</u>	Unknown

6. How the alleged fraud was discovered.

<u>7,778</u>	Routine audit, inspection, investigation, or review
<u>187</u>	Specially requested audit, inspection, or review
<u>709</u>	Federal employee (knowledge gained through work)
<u>0</u>	Former federal employee
<u>0</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>1,932</u>	Private individual (not former employee)
<u>582</u>	Informant
<u>0</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>628</u>	Confession by perpetrator
<u>47</u>	Law enforcement investigation - state & local
<u>803</u>	Other
<u>481</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>295</u>	Less than \$100
<u>3,692</u>	\$101 - \$1,000
<u>6,205</u>	\$1,001 - \$10,000
<u>415</u>	\$10,001 - \$100,000
<u>0</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>0</u>	Over \$1 million
<u>783</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>74</u>	Federal Government employees alone and with others
<u>0</u>	State and local government employees
<u>0</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

<u>0</u>	Corporate recipient(s) of Federal Government financial assistance
<u>11,262</u>	Individual recipient(s) of Federal Government financial assistance
<u>1,738</u>	Other individual citizens
<u>0</u>	Other corporate or business entities (such as lending institutions)
<u>0</u>	Unknown
<u>0</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>74</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>11,657</u>	Closed (Includes cases officially closed by the agency)
<u>1,490</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>8,854</u>	Administrative action only
<u>636</u>	Legal action only
<u>147</u>	Administrative and legal action
<u>0</u>	Unknown
<u>2,020</u>	No action taken - suspect identified
<u>0</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

<u>0</u>	No action taken
<u>0</u>	Federal employee dismissed

<u>0</u>	Federal employee suspended
<u>0</u>	Federal employee issued warning letter
<u>0</u>	Federal employee issued letter of counseling
<u>0</u>	Federal employee issued oral warning
<u>0</u>	Federal employee demoted
<u>0</u>	Federal employee transferred
<u>0</u>	Formal loss recovery plan agreed to
<u>0</u>	Extra duty (military)
<u>0</u>	Employee resigned pending dismissal
<u>0</u>	Other
<u>0</u>	Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

<u>0</u>	Suspended from doing business with the Federal Government
<u>0</u>	Debarred from doing business with the Federal Government
<u>0</u>	Contract/grant cancelled
<u>93</u>	Issued warning and they agreed to take corrective action
<u>4,654</u>	Formal loss recovery plan agreed to
<u>2,656</u>	No action taken
<u>1,524</u>	Negotiating reimbursement
<u>2,653</u>	Declared ineligible for program participation under status claimed
<u>0</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>454</u>	Other
<u>2,345</u>	Unknown

13. Type of legal action or prosecution taken.

<u>0</u>	Civil prosecution
<u>636</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>147</u>	Pre-trial diversion
<u>0</u>	Court martial

14. Outcome of civil proceedings.

<u>0</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>0</u>	Acquittal
<u>636</u>	Conviction (guilty plea, nolo contendere)
<u>0</u>	Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Department of Transportation

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

548

2. Functional areas in which fraud occurred.

<u>26</u>	Procurement - award
<u>2</u>	Property disposition
<u>88</u>	Payroll
<u>4</u>	Grants
<u>4</u>	Financial assistance to individuals (excludes loans)
<u>14</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>0</u>	Loans
<u>0</u>	Loan guarantees
<u>61</u>	Personnel
<u>232</u>	Inventory control/property management
<u>8</u>	Mail service
<u>16</u>	Cash control
<u>18</u>	Procurement - monitoring
<u>57</u>	Travel
<u>12</u>	Administrative services
<u>0</u>	Training and education
<u>0</u>	Personal property management
<u>4</u>	Other
<u>0</u>	Unknown

3. Principal types of fraud

<u>62</u>	Work hour abuses
<u>47</u>	Private use of government property
<u>6</u>	Forgery
<u>31</u>	Kickbacks/Bribes
<u>142</u>	False statements
<u>8</u>	Non-performance of contract terms
<u>212</u>	Theft
<u>4</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>37</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>379</u>	Less than 3 months
<u>33</u>	3 to 6 months
<u>18</u>	6 months to one year
<u>45</u>	1 to 2 years
<u>36</u>	More than 2 years
<u>36</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>314</u>	Less than 3 months
<u>45</u>	3 to 6 months
<u>45</u>	6 months to one year
<u>53</u>	1 to 2 years
<u>40</u>	More than 2 years
<u>51</u>	Unknown

6. How the alleged fraud was discovered.

<u>59</u>	Routine audit, inspection, investigation, or review
<u>34</u>	Specially requested audit, inspection, or review
<u>354</u>	Federal employee (knowledge gained through work)
<u>8</u>	Former federal employee
<u>10</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>28</u>	Private individual (not former employee)
<u>14</u>	Informant
<u>0</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>0</u>	Law enforcement investigation - state & local
<u>12</u>	Other
<u>28</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>59</u>	Less than \$100
<u>117</u>	\$101 - \$1,000
<u>61</u>	\$1,001 - \$10,000
<u>30</u>	\$10,001 - \$100,000
<u>4</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>0</u>	Over \$1 million
<u>156</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>391</u>	Federal Government employees alone and with others
<u>20</u>	State and local government employees
<u>16</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

<u>6</u>	Corporate recipient(s) of Federal Government financial assistance
<u>0</u>	Individual recipient(s) of Federal Government financial assistance
<u>28</u>	Other individual citizens
<u>0</u>	Other corporate or business entities (such as lending institutions)
<u>87</u>	Unknown
<u>0</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>514</u>	Closed (Includes cases officially closed by the agency)
<u>34</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>297</u>	Administrative action only
<u>4</u>	Legal action only
<u>16</u>	Administrative and legal action
<u>0</u>	Unknown
<u>113</u>	No action taken - suspect identified
<u>83</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

<u>115</u>	No action taken
<u>25</u>	Federal employee dismissed

<u>37</u>	Federal employee suspended
<u>37</u>	Federal employee issued warning letter
<u>14</u>	Federal employee issued letter of counseling
<u>14</u>	Federal employee issued oral warning
<u>6</u>	Federal employee demoted
<u>2</u>	Federal employee transferred
<u>71</u>	Formal loss recovery plan agreed to
<u>8</u>	Extra duty (military)
<u>4</u>	Employee resigned pending dismissal
<u>14</u>	Other
<u>68</u>	Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

<u>0</u>	Suspended from doing business with the Federal Government
<u>0</u>	Debarred from doing business with the Federal Government
<u>2</u>	Contract/grant cancelled
<u>4</u>	Issued warning and they agreed to take corrective action
<u>2</u>	Formal loss recovery plan agreed to
<u>38</u>	No action taken
<u>0</u>	Negotiating reimbursement
<u>0</u>	Declared ineligible for program participation under status claimed
<u>4</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>18</u>	Other
<u>36</u>	Unknown

13. Type of legal action or prosecution taken.

<u>0</u>	Civil prosecution
<u>8</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>0</u>	Pre-trial diversion
<u>12</u>	Court martial

14. Outcome of civil proceedings.

<u>0</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>0</u>	Acquittal
<u>16</u>	Conviction (guilty plea, nolo contendere)
<u>4</u>	Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Department of the Treasury

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

1,994

2. Functional areas in which fraud occurred.

<u>19</u>	Procurement - award
<u>20</u>	Property disposition
<u>208</u>	Payroll
<u>0</u>	Grants
<u>19</u>	Financial assistance to individuals (excludes loans)
<u>784</u>	Enforcement (i.e. Customs, IRS)
<u>0</u>	Provision of health care or social services
<u>0</u>	Loans
<u>0</u>	Loan guarantees
<u>529</u>	Personnel
<u>309</u>	Inventory control/property management
<u>3</u>	Mail service
<u>27</u>	Cash control
<u>11</u>	Procurement - monitoring
<u>2</u>	Travel
<u>62</u>	Administrative services
<u>0</u>	Training and education
<u>0</u>	Personal property management
<u>0</u>	Other
<u>0</u>	Unknown

3. Principal types of fraud

<u>214</u>	Work hour abuses
<u>133</u>	Private use of government property
<u>60</u>	Forgery
<u>429</u>	Kickbacks/Bribes
<u>571</u>	False statements
<u>0</u>	Non-performance of contract terms
<u>251</u>	Theft
<u>0</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>336</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>1,213</u>	Less than 3 months
<u>182</u>	3 to 6 months
<u>161</u>	6 months to one year
<u>48</u>	1 to 2 years
<u>186</u>	More than 2 years
<u>204</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>1,012</u>	Less than 3 months
<u>200</u>	3 to 6 months
<u>217</u>	6 months to one year
<u>109</u>	1 to 2 years
<u>173</u>	More than 2 years
<u>283</u>	Unknown

6. How the alleged fraud was discovered.

<u>659</u>	Routine audit, inspection, investigation, or review
<u>126</u>	Specially requested audit, inspection, or review
<u>885</u>	Federal employee (knowledge gained through work)
<u>1</u>	Former federal employee
<u>15</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>146</u>	Private individual (not former employee)
<u>58</u>	Informant
<u>19</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>0</u>	Confession by perpetrator
<u>0</u>	Law enforcement investigation - state & local
<u>63</u>	Other
<u>21</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>119</u>	Less than \$100
<u>295</u>	\$101 - \$1,000
<u>100</u>	\$1,001 - \$10,000
<u>20</u>	\$10,001 - \$100,000
<u>19</u>	\$100,001 - \$500,000
<u>1</u>	\$500,001 - \$1 million
<u>0</u>	Over \$1 million
<u>654</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>1,298</u>	Federal Government employees alone and with others
<u>0</u>	State and local government employees
<u>0</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

<u>0</u>	Corporate recipient(s) of Federal Government financial assistance
<u>0</u>	Individual recipient(s) of Federal Government financial assistance
<u>232</u>	Other individual citizens
<u>174</u>	Other corporate or business entities (such as lending institutions)
<u>271</u>	Unknown
<u>0</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>19</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>1,852</u>	Closed (Includes cases officially closed by the agency)
<u>142</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>760</u>	Administrative action only
<u>124</u>	Legal action only
<u>7</u>	Administrative and legal action
<u>0</u>	Unknown
<u>729</u>	No action taken - suspect identified
<u>232</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. ^{a/}

<u>602</u>	No action taken
<u>119</u>	Federal employee dismissed

<u>103</u>	Federal employee suspended
<u>256</u>	Federal employee issued warning letter
<u>0</u>	Federal employee issued letter of counseling
<u>122</u>	Federal employee issued oral warning
<u>29</u>	Federal employee demoted
<u>20</u>	Federal employee transferred
<u>82</u>	Formal loss recovery plan agreed to
<u>0</u>	Extra duty (military)
<u>27</u>	Employee resigned pending dismissal
<u>1</u>	Other
<u>0</u>	Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. ^{a/}

<u>0</u>	Suspended from doing business with the Federal Government
<u>0</u>	Debarred from doing business with the Federal Government
<u>0</u>	Contract/grant cancelled
<u>0</u>	Issued warning and they agreed to take corrective action
<u>0</u>	Formal loss recovery plan agreed to
<u>351</u>	No action taken
<u>0</u>	Negotiating reimbursement
<u>0</u>	Declared ineligible for program participation under status claimed
<u>39</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>78</u>	Other
<u>0</u>	Unknown

13. Type of legal action or prosecution taken.

<u>0</u>	Civil prosecution
<u>137</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>0</u>	Pre-trial diversion
<u>0</u>	Court martial

14. Outcome of civil proceedings.

<u>0</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>23</u>	Acquittal
<u>108</u>	Conviction (guilty plea, nolo contendere)
<u>0</u>	Other

^{a/} In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

AGENCY PROFILE

Veterans Administration

The following statistics are for closed cases and open cases where some administrative or legal action was taken or no action was taken because no suspect could be identified.

1. Number of fraud or other illegal activity cases in the universe.

1,996

2. Functional areas in which fraud occurred.

<u>0</u>	Procurement - award
<u>1</u>	Property disposition
<u>2</u>	Payroll
<u>0</u>	Grants
<u>558</u>	Financial assistance to individuals (excludes loans)
<u>0</u>	Enforcement (i.e. Customs, IRS)
<u>59</u>	Provision of health care or social services
<u>12</u>	Loans
<u>1,249</u>	Loan guarantees
<u>1</u>	Personnel
<u>17</u>	Inventory control/property management
<u>5</u>	Mail service
<u>21</u>	Cash control
<u>0</u>	Procurement - monitoring
<u>31</u>	Travel
<u>1</u>	Administrative services
<u>38</u>	Training and education
<u>0</u>	Personal property management
<u>0</u>	Other
<u>0</u>	Unknown

3. Principal types of fraud

<u>6</u>	Work hour abuses
<u>4</u>	Private use of government property
<u>254</u>	Forgery
<u>0</u>	Kickbacks/Bribes
<u>1,555</u>	False statements
<u>0</u>	Non-performance of contract terms
<u>61</u>	Theft
<u>18</u>	Extortion
<u>0</u>	Food Stamp irregularities
<u>100</u>	Miscellaneous fraud

4. The period of time over which the alleged fraudulent activity took place.

<u>853</u>	Less than 3 months
<u>99</u>	3 to 6 months
<u>524</u>	6 months to one year
<u>301</u>	1 to 2 years
<u>176</u>	More than 2 years
<u>44</u>	Unknown

5. The period of time between occurrence and discovery of the fraudulent activity.

<u>339</u>	Less than 3 months
<u>173</u>	3 to 6 months
<u>340</u>	6 months to one year
<u>377</u>	1 to 2 years
<u>512</u>	More than 2 years
<u>255</u>	Unknown

6. How the alleged fraud was discovered.

<u>424</u>	Routine audit, inspection, investigation, or review
<u>21</u>	Specially requested audit, inspection, or review
<u>382</u>	Federal employee (knowledge gained through work)
<u>0</u>	Former federal employee
<u>29</u>	Contractor personnel
<u>0</u>	Grantee personnel (excludes state or local government personnel)
<u>0</u>	Former grantee personnel
<u>234</u>	Private individual (not former employee)
<u>63</u>	Informant
<u>0</u>	Consumer complaint form
<u>0</u>	Unsuccessful bidder
<u>0</u>	News media
<u>0</u>	Congressional Committee Member - Staff
<u>0</u>	Alleged victim
<u>13</u>	Confession by perpetrator
<u>225</u>	Law enforcement investigation - state & local
<u>139</u>	Other
<u>466</u>	Unknown

7. Estimate of amount of loss incurred by Federal Government

<u>67</u>	Less than \$100
<u>148</u>	\$101 - \$1,000
<u>600</u>	\$1,001 - \$10,000
<u>160</u>	\$10,001 - \$100,000
<u>0</u>	\$100,001 - \$500,000
<u>0</u>	\$500,001 - \$1 million
<u>1</u>	Over \$1 million
<u>160</u>	Monetary loss - amount not available

8. Participants in the alleged fraudulent activity.

<u>275</u>	Federal Government employees alone and with others
<u>0</u>	State and local government employees
<u>0</u>	Federal contractor(s)/grantee(s) personnel - other than state and local governments

<u>0</u>	Corporate recipient(s) of Federal Government financial assistance
<u>802</u>	Individual recipient(s) of Federal Government financial assistance
<u>57</u>	Other individual citizens
<u>164</u>	Other corporate or business entities (such as lending institutions)
<u>20</u>	Unknown
<u>0</u>	State and local government employees in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Federal contractor(s)/grantee(s) personnel - (other than state and local government(s)) in collusion with individual recipient(s) of Federal Government financial assistance
<u>0</u>	Corporate recipients of Federal Government financial assistance in collusion with individual recipient(s) of Federal Government financial assistance
<u>678</u>	Other corporate or business entities (such as lending institutions) in collusion with individual recipient(s) of Federal Government financial assistance

9. Status of fraud cases.

<u>1,666</u>	Closed (Includes cases officially closed by the agency)
<u>330</u>	Open (Includes cases under investigation and cases in which some action may have been taken but the cases were not officially closed)

The following statistics are for cases officially closed by the agency.

10. Type of action taken against perpetrator.

<u>697</u>	Administrative action only
<u>15</u>	Legal action only
<u>107</u>	Administrative and legal action
<u>0</u>	Unknown
<u>807</u>	No action taken - suspect identified
<u>20</u>	No action taken - suspect unknown

11. Types of administrative action taken against Federal employees. a/

<u>9</u>	No action taken
<u>11</u>	Federal employee dismissed

<u>7</u>	Federal employee suspended
<u>8</u>	Federal employee issued warning letter
<u>1</u>	Federal employee issued letter of counseling
<u>0</u>	Federal employee issued oral warning
<u>1</u>	Federal employee demoted
<u>1</u>	Federal employee transferred
<u>6</u>	Formal loss recovery plan agreed to
<u>0</u>	Extra duty (military)
<u>14</u>	Employee resigned pending dismissal
<u>0</u>	Other
<u>0</u>	Unknown

12. Types of administrative action taken against non-Federal government organizations (contractors, corporations, non-profit organizations, state and local governments, etc.) or individuals. a/

<u>12</u>	Suspended from doing business with the Federal Government
<u>0</u>	Debarred from doing business with the Federal Government
<u>7</u>	Contract/grant cancelled
<u>5</u>	Issued warning and they agreed to take corrective action
<u>540</u>	Formal loss recovery plan agreed to
<u>835</u>	No action taken
<u>58</u>	Negotiating reimbursement
<u>62</u>	Declared ineligible for program participation under status claimed
<u>0</u>	Employee/enlistee dismissed; employment/enlistment denied
<u>75</u>	Other
<u>33</u>	Unknown

13. Type of legal action or prosecution taken.

<u>5</u>	Civil prosecution
<u>81</u>	Criminal prosecution
<u>0</u>	Civil and criminal prosecution
<u>55</u>	Pre-trial diversion
<u>0</u>	Court martial

14. Outcome of civil proceedings.

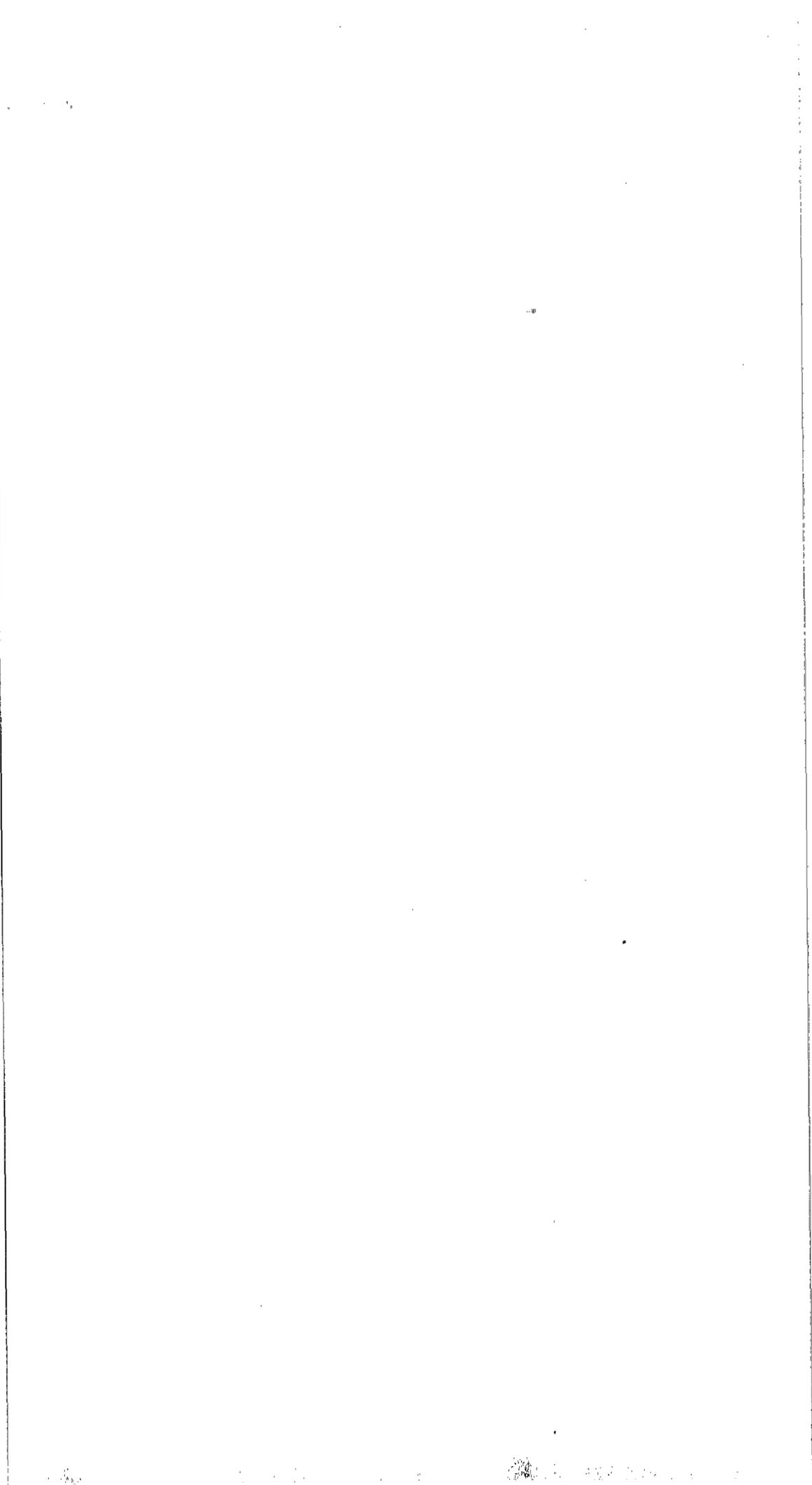
<u>5</u>	Judgment for plaintiff
<u>0</u>	Judgment for the defendant
<u>0</u>	Unknown

15. Outcome of criminal prosecution. (Excludes court martials)

<u>0</u>	Acquittal
<u>81</u>	Conviction (guilty plea, nolo contendere)
<u>0</u>	Other

a/ In many cases, Federal agencies took more than one type administrative action against those who commit fraud or other illegal acts. All actions taken are recorded here.

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