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SUPPLEMENT TO A

113123

Report To The Congress

BY THE COMPTROLLER GENERAL OF THE UNITED STATES

Federal-State Environmental Programs-- The State Perspective

A Compilation Of Questionnaire Responses

This report summarizes questionnaire data used as the basis of our report on the managerial obstacles faced by States when implementing Federal environmental programs. GAO is issuing that report under separate cover.



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SECTION 1

INTRODUCTION

In December 1978, we mailed questionnaires to the State lead environmental agency administrators and program directors responsible for implementing:

- the Clean Air Act;
- the Clean Water Act;
- the Federal Insecticide, Fungicide and Rodenticide Act;
- the Resource Conservation and Recovery Act; and,
- the Safe Drinking Water Act.

Nearly all administrators and program directors responded (See Table 1). Each of the following six sections in this volume includes a copy of the questionnaire together with the responses. Some responses (shown as shaded areas on the questionnaires) were not provided since they identified the respondent, repeated previous answers or were too voluminous.

TABLE 1
SUMMARY OF QUESTIONNAIRE RESPONSES

<u>EPA Region</u>	<u>State</u>	<u>Lead Agency</u>	<u>State Program Directors</u>				
			<u>CAA</u>	<u>CWA</u>	<u>FIFRA</u>	<u>RCRA</u>	<u>SDWA</u>
I	Connecticut	X	NR	NR	X	X	X
	Maine	X	X	X	X	X	X
	Massachusetts	X	NR	NR	X	X	NR
	New Hampshire	*	X	X	X	X	X
	Rhode Island	X	X	X	X	X	X
	Vermont	X	X	X	X	X	X
II	New Jersey	X	X	X	X	NR	X
	New York	X	X	X	X	X	X
III	Delaware	X	X	X	X	X	X
	Maryland	*	X	X	X	X	X
	Pennsylvania	X	X	X	X	X	*
	Virginia	*	X	X	X	X	X
	West Virginia	*	NR	X	X	X	X
IV	Alabama	X	X	X	X	X	NR
	Florida	X	X	X	X	X	X
	Georgia	X	NR	NR	X	NR	NR
	Kentucky	X	X	X	X	X	X
	Mississippi	X	X	X	X	X	X
	North Carolina	X	X	X	X	X	X
	South Carolina	X	X	X	X	X	X
	Tennessee	X	X	X	X	X	NR
V	Illinois	X	X	X	X	X	X
	Indiana	X	X	X	X	X	*
	Michigan	X	X	X	X	X	X
	Minnesota	X	X	X	X	X	X
	Ohio	X	X	X	X	X	X
	Wisconsin	X	X	X	X	X	X
VI	Arkansas	X	X	NR	X	X	X
	Louisiana	X	X	X	X	X	X
	New Mexico	X	X	X	X	X	X
	Oklahoma	X	X	X	X	X	X
	Texas	*	X	X	X	X	X
VII	Iowa	X	X	X	X	X	X
	Kansas	X	X	X	X	X	X
	Missouri	X	X	X	X	X	X
	Nebraska	X	X	X	*	X	X
VIII	Colorado	X	X	X	*	NR	X
	Montana	X	NR	X	X	X	X
	North Dakota	X	X	X	X	X	X
	South Dakota	X	X	NR	X	X	*
	Utah	X	X	X	X	X	*
Wyoming	X	X	X	X	X	*	
IX	Arizona	X	X	X	NR	X	X
	California	X	X	X	X	X	X
	Hawaii	X	X	X	X	X	X
	Nevada	X	X	X	X	X	X
X	Alaska	X	X	X	X	X	X
	Idaho	X	X	X	NR	X	X
	Oregon	X	X	X	X	X	*
	Washington	X	X	X	X	NR	X

Legend:

X - Questionnaires returned.
 * - No lead environmental agency or State not administering program.
 NR - No Response.

SECTION 2

ADMINISTRATORS OF STATE LEAD
ENVIRONMENTAL AGENCIES
QUESTIONNAIRE RESPONSES

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RESPONSES TO THE SURVEY OF
STATE IMPLEMENTATION OF FEDERAL
ENVIRONMENTAL PROGRAMS

STATES RESPONDING (45)

Alabama	AL	Missouri	MO
Alaska	AK	Montana	MT
Arizona	AZ	Nebraska	NE
Arkansas	AR	Nevada	NV
California	CA	New Jersey	NJ
Colorado	CO	New Mexico	NM
Connecticut	CT	New York	NY
Delaware	DE	North Carolina	NC
Florida	FL	North Dakota	ND
Georgia	GA	Ohio	OH
Hawaii	HI	Oklahoma	OK
Idaho	ID	Oregon	OR
Illinois	IL	Pennsylvania	PA
Indiana	IN	Rhode Island	RI
Iowa	IA	South Carolina	SC
Kansas	KS	South Dakota	SD
Kentucky	KY	Tennessee	TN
Louisiana	LA	Utah	UT
Maine	ME	Vermont	VT
Massachusetts	MA	Washington	WA
Michigan	MI	Wisconsin	WI
Minnesota	MN	Wyoming	WY
Mississippi	MS		

U.S. GENERAL ACCOUNTING OFFICE

Survey Of State Implementation Of
Federal Environmental Programs



General Instructions

The U.S. General Accounting Office is studying the problems faced by the States in implementing and administering Federal environmental programs. The purpose of this questionnaire is to obtain information on your program(s) and to determine the significance of the problems State environmental program managers face. We are sending similar questionnaires to the directors of the air pollution control, drinking water, pesticides, solid waste and water pollution control programs in all 50 States as well as to the administrator of each State's environmental agency.

While the questions that follow are based largely on our discussions with program officials in seven States, we have attempted to provide a format that will be readily adaptable to all States. If you feel that the format of any question does not fit your situation, please add the necessary explanatory notes. Moreover, feel free to make any additional comments on your program, this questionnaire or related topics.

If you have any questions, please call Donald Hunter at (617) 223-6536.

After completing the questionnaire please return it in the self-addressed postage paid envelope by January 19, 1979.

NOTE: Throughout this questionnaire, EPA refers to the Federal Environmental Protection Agency.

Thank you for your cooperation.

RESPONDENT INFORMATION:

1. Please provide the name, title and telephone number of the person completing this questionnaire.

NAME: _____

TITLE: _____

TELEPHONE: _____
(Area Code) Number

2. Which of the following environmental programs do you administer? (Check all that apply)

- 1. Air pollution control
- 2. Drinking water
- 3. Pesticides
- 4. Solid waste
- 5. Water pollution control

3. How long have you held your current position?
(Enter years/months)

_____/_____
(Years) (Months)

PROGRAM REORGANIZATION

4. Has your State had a major reorganization of environmental program and activities during the last five years? (Check one)

- 1. Yes
- 2. No (GO TO QUESTION 8)

5. Was the latest major reorganization part of an overall executive reorganization plan for State government, or did it involve a reorganization of environmental activities only? (Check one)

- 1. Overall executive reorganization
- 2. Environmental reorganization only
- 3. Other (Please specify) _____

6. When was this latest major reorganization made of your State's environmental program? (Enter month and year)

_____/_____
(Month) (Year)

7. To what extent, if any, did Federal legislation, (EPA regulations and policies) influence the decision to reorganize? (Check one)

- 1. To little or no extent
- 2. To some extent
- 3. To a moderate extent
- 4. To a substantial extent
- 5. To a very great extent

8. In your opinion will your State make a major reorganization of environmental programs and activities within the next two years. (Check one)

- 1. Definitely yes
- 2. Probably yes
- 3. Uncertain (GO TO QUESTION 11)
- 4. Probably no (GO TO QUESTION 11)
- 5. Definitely no (GO TO QUESTION 11)

9. Do you feel this reorganization will be part of an overall executive reorganization plan for State government, or will it involve a reorganization of environmental activities only? (Check one)

- 1. Overall executive reorganization
- 2. Environmental reorganization only
- 3. Other (Please specify:) _____

10. To what extent, if any, would Federal legislation, regulations and policies influence this anticipated reorganization? (Check one)

- 1. Little or no extent
- 2. Some extent
- 3. Moderate extent
- 4. Substantial extent
- 5. Very great extent

MANAGEMENT OF FEDERAL ENVIRONMENTAL PROGRAMS

11. Overall, in the management of your environmental programs, to what extent, if any, is each of the factors listed below an obstacle to meeting existing Federal requirements? (Check one box per line)

	1) Very great extent	2) Substantial extent	3) Moderate or some extent	4) Little extent or no extent
1. Deadlines imposed by Federal legislation				
2. Availability of technology to support Federal legislation				
3. Obtaining State enabling legislation				
4. Time it takes to issue EPA regulations and guidelines				
5. Amount of flexibility in current EPA regulations and guidelines				
6. Clarity of current EPA regulations and guidelines				
7. Time it takes EPA to respond to technical questions and interpret its regulations and guidelines				
8. Quality of EPA response to technical questions and interpretation of its regulations and guidelines				
9. Extent of controls imposed on the State by EPA				
10. Philosophical differences between EPA and the State on program priorities and objectives				
11. Amount of Federal funding to support program administration costs				
12. Timing of Federal funding to support program administration costs				
13. Knowledge of the amount of future Federal funds to support State program administration costs				
14. Existing State policies to limit all program growth				
15. Amount of State funding you receive to support program administration costs				
16. Current level of Federal funds for municipalities to meet Federal environmental requirements				
17. Number of staff in State program				
18. Losses of experienced personnel				
19. Ability to fill personnel vacancies				
20. Current training programs available for State personnel				
21. Split responsibility for environmental programs within State government				
22. Current level of public support for environmental programs				
23. Current level of Gubernatorial and State Legislative support for environmental programs				

12. Please list below the three factors you feel currently have the greatest negative impact on your program.

a. _____

b. _____

c. _____

PROGRAM PRIORITIES

13. In your opinion, during the past 5 years, has your State's emphasis on environmental issues increased, decreased or remained the same? (Check one)

- 1. Substantially increased
- 2. Somewhat increased
- 3. No change
- 4. Somewhat decreased
- 5. Substantially decreased

13a. Briefly explain why. _____

14. Overall, do you feel that during the next 2 years the emphasis your State places on environmental issues will increase, decrease, or remain the same? (Check one)

- 1. Substantially increase
- 2. Somewhat increase
- 3. No change
- 4. Somewhat decrease
- 5. Substantially decrease

14a. Briefly explain why. _____

STATE BUDGETARY PROCEDURES

15. How long does it usually take your State to accept an EPA grant which supports program administration costs but does not require additional State funds? (Enter number of months for each type of grant)

- 1. Ongoing grant _____ months
- 2. New grant _____ months

16. How long does it usually take your State to accept an EPA grant which supports program administration costs and requires some level of State funding? (Enter number of months for each type of grant)

- 1. Ongoing grant _____ months
- 2. New grant _____ months

17. Once the EPA grant is accepted, how long does it usually take for the following? (Enter months)

State approval of new positions: _____ months
 Filling new positions: _____ months

18. In your opinion, how much advance notice of Federal funding support do you need to properly budget and plan your programs? (Enter months)

_____ months

19. How satisfied or dissatisfied are you with the amount of advance notice of Federal funding you currently receive? (Check one)

- 1. Very satisfied
- 2. Generally satisfied
- 3. Borderline
- 4. Generally dissatisfied
- 5. Very dissatisfied

PROGRAM RESOURCES

20. The Office of Management and Budget and EPA are proposing legislation for a consolidated grant to the States for administering all environmental programs. This approach would eliminate the existing categorical grants for each program. To what extent do you agree/disagree with this consolidated grant approach? (Check one)

- 1. Strongly agree
- 2. Agree
- 3. Unsure
- 4. Disagree
- 5. Strongly disagree

EPA-STATE RELATIONSHIPS

21. To what extent, if at all, do you feel your viewpoint as Administrator of several State environmental programs is given adequate consideration in the following EPA processes? (Check one box per line)

	1) Very great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
Regulation making process					
Policy making process					

22. Overall, do you feel that the EPA regional staff understands the problems you face in administering your programs? (Check one)

- 1. Definitely yes
- 2. Probably yes
- 3. Uncertain
- 4. Probably no
- 5. Definitely no

23. Overall, do you feel that EPA headquarters staff understands the problems you face in administering your programs? (Check one)

- 1. Definitely yes
- 2. Probably yes
- 3. Uncertain
- 4. Probably no
- 5. Definitely no

OTHER

24. If you have any additional comments on any of the items in the questionnaire or related topics not covered, please use the space provided below and additional pages if necessary. Thank you for your cooperation in completing this questionnaire.

RESPONSES TO QUESTIONNAIRES

Question 2. Which of the following environmental programs do you administer?

<u>STATE</u>	<u>CAA</u>	<u>CWA</u>	<u>FIFRA</u>	<u>RCRA</u>	<u>SDWA</u>
AL	X	X		X	X
AK	X	X	X	X	X
AZ	X	X		X	X
AR	X	X		X	
CA	X	X		X	
CO	X	X		X	X
CT	X	X	X	X	
DE	X	X		X	
FL	X	X		X	X
GA	X	X		X	X
HI	X	X		X	X
ID	X	X		X	X
IL	X	X		X	X
IN	X	X		X	X
IA	X	X		X	X
KS	X	X		X	X
KY	X	X	X	X	X
LA	X	X		X	X
ME	X	X		X	
MA	X	X		X	X
MI	X	X		X	
MN	X	X		X	
MS	X	X			
MO	X	X		X	X
MT	X	X		X	X
NE	X	X		X	
NV	X	X		X	
NJ	X	X	X	X	X
NM	X	X	X	X	X
NY	X	X	X	X	
NC	X	X			
ND	X	X		X	X
OH	X	X	X	X	X
OK	X	X		X	X
OR	X	X		X	
PA	X	X	X	X	X
RI	X	X		X	
SC	X	X		X	X
SD	X	X		X	X
TN	X	X		X	X
UT	X	X		X	X
VT	X	X		X	
WA	X	X		X	
WI	X	X	X	X	X
WY	X	X		X	X

Question 4. Has your State had a major reorganization of environmental program and activities during the last five years?

Yes (13)

MA	NC	OH	MO	NV
RI	MI	NM	HI	WA
FL	MN	KS		

No (32)

CT	NJ	GA	TN	AR	NE	SD	CA
ME	DE	KY	IL	LA	CO	UT	AK
VT	PA	MS	IN	OK	MT	WY	ID
NY	AL	SC	WI	IA	ND	AZ	OR

Question 5. Was the latest major reorganization part of an overall executive reorganization plan for State government, or did it involve a reorganization of environmental activities only?

Question 6. When was this latest major reorganization made of your State's environmental program?

Question 7. To what extent, if any, did Federal legislation, (EPA regulations and policies) influence the decision to reorganize?

REORGANIZATION WITHIN PAST FIVE YEARS

<u>State</u>	<u>Question 5</u> <u>Type</u>	<u>Question 6</u> <u>Date</u>	<u>Question 7</u> <u>Extent of Federal Influence</u>
MA	Executive	7/75	Moderate
RI	Environmental	10/77	Some
FL	Environmental	7/75	Little or no
NC	Environmental	9/78	Moderate
MI	Environmental	6/76	Little or no
MN	Environmental	8/73	Little or no
OH	Environmental	8/74	Little or no
NM	Executive	4/78	Little or no
KS	Executive	7/74	Little or no
MO	Executive	7/74	Little or no
HI	Environmental	2/74	Very Great
NV	Environmental	7/77	Little or no
WA	Environmental	12/74	Very Great

Question 8. In your opinion will your State make a major reorganization of environmental programs and activities within the next two years?

Definitely Yes (1)

SD

Probably Yes (8)

MS IA AK
IL UT WA
OK HI

Uncertain (13)

PA NC MI NE CA
FL TN WI AZ ID
KY IN LA

Probably No (20)

MN NM ND NV MA NJ AL
OH CO WY OR RI NY SC
AR MT CT ME VT DE

Definitely No (3)

GA KS MO

Question 9. Do you feel this reorganization will be a part of an overall executive reorganization plan for State government, or will it involve a reorganization of environmental activities only?

Question 10. To what extent, if any, would Federal legislation, regulations and policies influence this anticipated reorganization?

REORGANIZATION WITHIN THE NEXT TWO YEARS

<u>State</u>	<u>Question 9 Type</u>	<u>Question 10 Extent of Federal Influence</u>
MS	Executive	Very Great
IL	Executive	Some
OK	Other - Water Pollution	Moderate
IA	Environmental	Moderate
SD	Other - Water agencies & environmental	Little or no
UT	Executive	Substantial
HI	Environmental	Substantial
AK	Other - Internal Department Reorganization	Substantial
WA	Environmental	Very Great

Question 11. Overall, in the management of your environmental programs, to what extent, if any, is each of the factors listed below an obstacle to meeting existing Federal requirements? (Check one box per line)

Total Response: (45)

	1) Very Great	2) Great	3) Substantial or Moderate	4) Some	5) Little or No
1. Deadlines imposed by Federal legislation	12	22	8	2	1
2. Availability of technology to support Federal legislation	7	11	13	11	3
3. Obtaining State enabling legislation	2	4	14	15	10
4. Time it takes to issue EPA regulations and guidelines	16	18	6	4	1
5. Amount of flexibility in current EPA regulations and guidelines	15	18	9	2	1
6. Clarity of current EPA regulations and guidelines	7	15	14	8	1
7. Time it takes EPA to respond to technical questions and interpret its regulations and guidelines	6	18	14	5	2
8. Quality of EPA response to technical questions and interpretation of its regulations and guidelines	4	10	16	11	4
9. Extent of controls imposed on the State by EPA	20	8	12	4	1
10. Philosophical differences between EPA and the State on program priorities and objectives	7	11	15	9	3
11. Amount of Federal funding to support program administration costs	5	14	15	8	3
12. Timing of Federal funding to support program administration costs	9	14	11	8	3
13. Knowledge of the amount of future Federal funds to support State program administration costs	15	13	11	4	2
14. Existing State policies to limit all program growth	7	10	7	14	7
15. Amount of State funding you receive to support program administration costs	6	12	12	10	5
16. Current level of Federal funds for municipalities to meet Federal environmental requirements	4	10	13	11	7
17. Number of staff in State program	6	18	9	9	3
18. Losses of experienced personnel	8	19	11	6	1
19. Ability to fill personnel vacancies	12	15	8	9	1
20. Current training programs available for State personnel	3	7	17	16	2
21. Split responsibility for environmental programs within State government	1	2	7	10	25
22. Current level of public support for environmental programs	1	3	13	12	16
23. Current level of Governorial and State Legislative support for environmental programs	2	6	8	15	14

Question 13. In your opinion, during the past 5 years, has your State's emphasis on environmental issues increased, decreased or remained the same?

Question 13a. Briefly explain why.

Substantially Increased (13)

- GA Georgia has moved aggressively to keep State laws consistent with Federal and obtain delegations where possible. In addition, Georgia has implemented an environmental resource management concept including allocation of ground and surface waters.
- MS Mississippi had been less environmentally aware than other States, but this started to change in the mid-70's as indicated by increasing State legislative support.
- SC Better public education -- and to some extent, the "chickenlittle syndrome."
- IN Increased requirements of Federal legislation.
- WI In part due to a change in administration and a resultant greater focus on environmental matters.
- AR Economic and population growth has been tremendous.
- LA Very great concern over solid waste incident -- snowball effect.
- MO New State laws.
- ND No response.
- WY Passage of Wyoming's 1973 Environmental Quality Act. Staffing from 19 to 87 persons in this time period. Substantive changes to enhance legislative coverage of environmental issues.
- AZ Much greater emphasis by State legislature and Governor to direct environmental programs by State personnel instead of by EPA.
- HI Primarily in response to Federal legislation.
- AK Change in State administration in 1974 -- emphasis of Governor on environmental quality.

Somewhat Increased (20)

- MA No Response.
- VT The high level of emphasis which existed in the early '70 has moderately increased due to public awareness and fuller knowledge of issues.
- NY Needed legislation has been passed concerning environmental protection and the initiation of regulatory programs.

- DE Federal enactments mandate greater emphasis, i.e. Clean Air Act of 1977, Clean Water Act of 1977, RCRA, TOSCA
- PA New Federal legislation has stimulated the State to seek primacy for operation of a number of programs.
- AL The public seems to be demanding more environmental controls but this has not been translated into legislative action by increased budget or authority.
- FL Continued support by the executive and legislative branches of State government and continued public support.
- KY Environmental awareness was on the rise.
- NC News coverage of environmental emergencies and education.
- IL Program scope has expanded - professional competence improved - program coordination between media has started - program impacts better understood.
- MI There was a more than substantial increase in the late 60's and early 70's. This began to level off in recent years as public attention focused on other issues.
- OH The emphasis has changed from an adversary to a cooperative nature.
- NM Mineral extraction activity and public awareness.
- OK Gradual increase in number of people and bills involved in environmental area.
- IA The number of programs, budget and personnel has increased mainly due to Federal funds.
- CO Air Pollution has been a major public concern of interest to the Governor and legislature. Radiation is a public issue due to the presence of Rocky Flats Nuclear Weapons Plant in Denver area.
- SD No Response.
- UT Utah has had strong environmental programs for many years. Moderately increased funding and publicity have increased public awareness, but also has generated some negative reaction.
- CA The current administration is far more sympathetic to environmental concerns than the previous one. There has been strong public support since the late 60's, but business and labor groups have become more outspoken in their opposition.
- NV Local political awareness of programs. Rapid growth and limited resources.

No Change (4)

- CT No response.
- RI No response.
- NJ Major programs have been in place.
- WA We have been in this area for many years. Much work had already been done.

Somewhat Decreased (8)

- ME We have joined the establishment and have to fit our programs and goals in with all others unlike the peak periods of enthusiasm in the early 70's.
- TN Because of public's concept of priorities; inflation, energy and other considerations have been given priority. Their concern for toxics in the environment and their effect on health has remained high but they are confused about them because of a lack of knowledge in this area by the Federal EPA itself and the information (sometimes incorrect).
- MN The basic regulatory programs are "in place" and environmental regulation is no longer a "cause celebre".
- KS Disillusioned by procedural requirements - costly programs without logical benefits.
- NE Because job is being done quietly and is perceived by people to be reasonable and in balance with other needs. Emphasis is on "voluntary compliance" and working with the people.
- MT The job should have been done, but delay in enforcement, inadequate field work and poor implementation caused by the vast amount of Federal red tape, duplication and indecision.
- ID Backlash to environmental overkill 4 years ago - general concern about economics.
- OR Emphasis has shifted from water to air because of water clean-up success. Apparent loss of State control is decreasing State approval of initiatives.

Substantially Decreased (0)

Question 14. Overall, do you feel that during the next 2 years the emphasis your State places on environmental issues will increase, decrease, or remain the same?

Question 14a. Briefly explain why.

Substantially Increase (1)

AZ Governor wishes the State to control all environmental programs and keep EPA and Federal programs out. The effect of environmental issues on State growth is understood.

Somewhat Increase (20)

CT Air problems and Solid waste problems will reach critical proportions during this period.

NJ Public awareness of the hazardous chemical problem.

DE It's an inevitable outgrowth of increased Federal requirements.

PA Involvement in a number of Federal law primacy programs will stimulate increased regulatory and planning efforts.

AL New administration seems to be more positive in its approach at this time to environmental issues. However, there are severe budget problems at the State level.

FL Governor's budget request supports a moderate increase in staffing for FY 1980-81.

KY Strong interest in multi-media impacts of pollution control decisions.

SC Changes to Air Act, RCRA, SDWA, CWA, TSCA, etc.

IL Emphasis on multi-media coordination - trade off is necessary to accomplish other social objectives.

IN State needs to increase overall services to its people.

AR More population and economic growth expected.

LA No response.

NM Continued emphasis in extraction of minerals will increase problems associated with that extraction.

OK Will eventually level off. Will continue for a period of time.

KS Objective concern over toxics. Impetus of existing EPA programs will carry forward.

MO New laws and possible available Federal funding.

ND Coal development, oil development.

SD This State is just becoming aware of some of the hazards and is starting to worry - hazardous and toxic substances is rather new to us, therefore interest can be generated.

- UT Prospects for more enabling legislation are somewhat improved but budget increases will probably be negligible.
- HI Amendments to Federal environmental pollution control acts and recent State issues will probably result in an increase in emphasis on environmental issues.

No Change (17)

- ME Maine has a continuing interest in environmental protection but there are "no" hot issues.
- VT The current effort is considered to be in balance when considering all other functions of State grants.
- NY No substantial new legislation is anticipated.
- GA Georgia's program is already consolidated in one agency with most Federal programs, NPDES, 205 (g), PSD, etc. delegated to State. The "hold-the-line" growth policy of government will minimize change.
- MS Proposition 13 fever will impact all State programs.
- NC Have reached a plateau.
- TN No Response.
- MI Believe that energy considerations will prevent further increase.
- OH Retention of Governor and Director.
- IA Budget process underway, Governor recommends status quo for next two years.
- NE I think we are reaching a level of equilibrium in environmental programs, with activity being geared at a level people have come to expect and accept. Increased inflationary pressure could be harmful.
- CO No response.
- WY Present legislative candidates were elected on platforms dedicated to limiting general governmental growth and specific regulatory programs.
- NV Government spending will be the big issue.
- AK Federal intervention in Alaska on D2 land issues and whales will generate a negative reaction among populace.
- ID Programs static at State level - still much concern about economics.
- WA Much of the important work is done. We are now responding to Federal initiatives.

Somewhat Decrease (7)

- MA The economy and development of activities that will produce jobs or attract industry are major social and political issues.
- RI Public concern for reducing spending. Public losing faith with changing restrictions imposed by Federal regulations and EPA's.

- MN Public support is diminishing for all government especially regulatory programs which are perceived to have a negative economic impact.
- WI More conservative mood currently after a few years of considerable activity (in both legislature and executive branches).
- MT We plan and plan as required by EPA but do little.
- CA State Senate committee assignments were recently stacked against environmental concerns.
- OR Greater Federal intervention will further decrease State willingness to operate programs without ability to adapt to State conditions.

Substantially Decrease (0)

Question 15. How long does it usually take your State to accept an EPA grant which supports program administration costs but does not require additional State funds?

Question 16. How long does it usually take your State to accept an EPA grant which supports program administration costs and requires some level of State funding?

Acceptance of EPA Grants (Months)

State	<u>Question 15</u>		<u>Question 16</u>	
	<u>Not requiring State funds</u>		<u>Requiring State funds</u>	
	<u>Ongoing Grant</u>	<u>New Grant</u>	<u>Ongoing Grant</u>	<u>New Grant</u>
CT	1	1	1	1
ME	2	4	2	9
MA	1	3	1	3
RI	1	1	1	6
VT	1	6	1	9
NJ	0	1	6	12
NY	1	1	1	1
DE	3	3	3	3
PA	1	1	1	1
AL	1	1	12	12
FL	2	2	2	6-12
GA	3	6	1	12
KY	2	4	2	6
MS	1	1	1	4
NC	0	0	6	15
SC	2	2	2	2
TN	1-2	2-4	11	11-16
IL	1	1	1	1
IN	1	2	2	12-24
MI	12	12	12	12
MN	1	3	1-2	3-4
OH	5	4	5	4
WI	1	1-12	1	12+
AR	1	1	3	3
LA	1	3	1	6
NM	0	0	0	18
OK	1	2	1	3-6
IA	1	3	3	12
KS	1-3	1-3	6-12	6-12
MO	18	24	18	24
NE	1	2	2	2
CO	2.5	4	8-12	12-18
MT	2	2	1-6	1-6
ND	3	6	24	24
SD	1	1	1	1
UT	1	6	1	6
WY	1-2	1-2	1-2	1-2
AZ	4	4	4	6
CA	NR	NR	NR	NR
HI	6	7	8	12
NV	1	1	12-48	12-48
AK	3	3	3	3
ID	1	2	*	*
OR	4-5	6-12	4-5	6-12
WA	4	6	4	6

* Not applicable - no new State funding likely for some time.
 NR - No Response

Question 17. Once the EPA grant is accepted, how long does it usually take for the following?

- State approval of new positions
- Filling new positions

Question 18. In your opinion, how much advance notice of Federal funding support do you need to properly budget and plan your programs?

State	Question 17 New Positions		Question 18
	Months to	Months to	Months Advance
	Approve	Fill	Notice Needed
CT	3	3	12
ME	2	2	6-12
MA	3	6	9
RI	1	Indefinite	12
VT	9	12	12
NJ	3	6	12
NY	2	3	12
DE	3	4	6
PA	6	3	12
AL	3	6-9	12-18
FL	6	2	6
GA	2	2-3	12-18
KY	1	6-12	6
MS	0	4	12-18
NC	6	2	24
SC	1	3	24
TN	0	4-8	12
IL	NR	3+	10-12
IN	6	6-12	6-24
MI	4	3	18
MN	1-2	2-3	3-4
OH	2	2	12
WI	3	3	12-24
AR	1	1-6	Varies
LA	3	3	18
NM	3	1	12
OK	1	3	6
IA	6	3	18-24
KS	2	4	12
MO	0	12	12
NE	1	2-6	12-15
CO	.3	1.5	18
MT	3	6	2
ND	6	6	12
SD	2	5	3
UT	3	2	12
WY	.5-1	12-18	2-prior to State fiscal yr.
AZ	2	2	6
CA	NR	NR	NR
HI	8	6	9
NV	3	2	12
AK	1	1	18
ID	2	4	12
OR	3-6	6+	36
WA	2	4	12

NR - No Response

Question 19. How satisfied or dissatisfied are you with the amount of advance notice of Federal funding you currently receive?

Very Satisfied (0)

Generally Satisfied (11)

CT	AR	ND
MA	NM	SD
FL	NE	UT
KY	CO	

Borderline (5)

TN	WY
MN	AK
WI	

Generally Dissatisfied (20)

ME	NY	NC	AZ	OK
RI	DE	SC	HI	IA
VT	PA	OH	NV	KS
NJ	AL	LA	WA	MT

Very Dissatisfied (8)

GA	MI
MS	MO
IL	ID
IN	OR

No Response (1)

CA

Question 20. The Office of Management and Budget and EPA are proposing legislation for a consolidated grant to the States for administering all environmental programs. This approach would eliminate the existing categorical grants for each program. To what extent do you agree/disagree with this consolidated grant approach?

Strongly Agree (7)

ME MS
GA NE
NC AK
IA

Agree (18)

CT FL WI MT ID
MA KY AR UT OR
VT IL KS HI
DE MI CO NV

Unsure (6)

NJ OH
MS SD
TN MN

Disagree (3)

NY
WY
CA

Strongly Disagree (11)

RI IN ND
PA LA AZ
AL NM WA
SC OK

Question 21. To what extent, if at all, do you feel your viewpoint as Administrator of several State environmental programs is given adequate consideration in the following EPA processes?

- Regulation making process
- Policy making process

	<u>Regulation Making Process</u>	<u>Policy Making Process</u>
Very Great Extent	0	0
Substantial or Great Extent	1	2
Moderate Extent	9	6
Some Extent	12	12
Little or No Extent	23	25

Question 22. Overall, do you feel that the EPA regional staff understands the problems you face in administering your programs?

Definitely Yes	6
Probably Yes	19
Uncertain	5
Probably No	10
Definitely No	5

Question 23. Overall, do you feel that EPA headquarters staff understands the problems you face in administering your programs?

Definitely Yes	0
Probably Yes	4
Uncertain	6
Probably No	16
Definitely No	19

SECTION 3

DIRECTORS OF STATE IMPLEMENTATION
OF THE CLEAN AIR ACT
QUESTIONNAIRE RESPONSES

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RESPONSES TO THE SURVEY OF
STATE IMPLEMENTATION OF
THE CLEAN AIR ACT

STATES RESPONDING (45)

Alabama	AL	Nevada	NV
Alaska	AK	New Hampshire	NH
Arizona	AZ	New Jersey	NJ
Arkansas	AR	New Mexico	NM
California	CA	New York	NY
Colorado	CO	North Carolina	NC
Delaware	DE	North Dakota	ND
Florida	FL	Ohio	OH
Hawaii	HI	Oklahoma	OK
Idaho	ID	Oregon	OR
Illinois	IL	Pennsylvania	PA
Indiana	IN	Rhode Island	RI
Iowa	IA	South Carolina	SC
Kansas	KS	South Dakota	SD
Kentucky	KY	Tennessee	TN
Louisiana	LA	Texas	TX
Maine	ME	Utah	UT
Maryland	MD	Vermont	VT
Michigan	MI	Virginia	VA
Minnesota	MN	Washington	WA
Mississippi	MS	Wisconsin	WI
Missouri	MO	Wyoming	WY
Nebraska	NE		

U.S. GENERAL ACCOUNTING OFFICE
Survey of State Implementation of
The Clean Air Act



General Instructions

The U.S. General Accounting Office is studying the problems faced by the States in implementing and administering Federal environmental programs. The purpose of this questionnaire is to obtain information on your program(s) and to determine the significance of the problems State environmental program managers face. We are sending similar questionnaires to the directors of the air pollution control, drinking water, pesticides, solid waste and water pollution control programs in all 50 States as well as to the administrator of each State's environmental agency.

While the questions that follow are based largely on our discussions with program officials in seven States, we have attempted to provide a format that will be readily adaptable to all States. If you feel that the format of any question does not fit your situation, please add the necessary explanatory notes. Moreover, feel free to make any additional comments on your program, this questionnaire or related topics.

If you have any questions, please call Donald Hunter at (617) 223-6536.

After completing the questionnaire please return it in the self-addressed postage paid envelope by January 19, 1979.

NOTE: Throughout this questionnaire, EPA refers to the Federal Environmental Protection Agency.

Thank you for your cooperation.

RESPONDENT INFORMATION:

<p>1. Please provide the name, title and telephone number of the person completing this questionnaire.</p> <p>NAME: _____</p> <p>TITLE: _____</p> <p>TELEPHONE: _____ (Area Code) Number</p> <p>2. Other than administering the Clean Air Act (CAA) are you responsible for managing any other programs? (Check one)</p> <p>1. <input type="checkbox"/> No (GO TO QUESTION 4)</p> <p>2. <input type="checkbox"/> Yes</p> <p>3. About what percent of your time is devoted to administering the CAA program? (Enter percent) _____ %</p> <p>4. As Director, what type of position do you hold? (Check one)</p> <p>1. <input type="checkbox"/> Elective</p> <p>2. <input type="checkbox"/> Appointed by the Governor</p> <p>3. <input type="checkbox"/> Appointed by the Department or Agency head</p> <p>4. <input type="checkbox"/> Civil service</p> <p>5. <input type="checkbox"/> Other (Please specify) _____</p> <p>5. How long have you held your current position? (Enter years/months)</p> <p>_____ years _____ months</p>

MANAGEMENT OF FEDERAL ENVIRONMENTAL PROGRAMS

6. To what extent, if at all, is each of the factors listed below an obstacle to managing your program to meet the objectives of the Clean Air Act? (Check one box per line)

	1/ Very great extent	2/ Substantial extent	3/ Moderate extent	4/ Some extent	5/ Little or no extent
1. Deadlines imposed by Federal legislation					
2. Availability of technology to support Federal legislation					
3. Obtaining State enabling legislation					
4. Time it takes to issue EPA regulations and guidelines					
5. Amount of flexibility in current EPA regulations and guidelines					
6. Clarity of current EPA regulations and guidelines					
7. Time it takes EPA to respond to technical questions and interpret its regulations and guidelines					
8. Quality of EPA response to technical questions and interpretation of its regulations and guidelines					
9. Extent of controls imposed on the State by EPA					
10. Philosophical differences between EPA and the State on program priorities and objectives					
11. Amount of Federal funding to support program administration costs					
12. Timing of Federal funding to support program administration costs					
13. Knowledge of the amount of future Federal funds to support State programs administration costs					
14. Existing State policies to limit all program growth					
15. Amount of State funding you receive to support program administration costs					
16. Current level of Federal funds for municipalities to meet Federal environmental requirements					
17. Number of staff in State program					
18. Losses of experienced personnel					
19. Ability to fill personnel vacancies					
20. Current training programs available for State personnel					
21. Split responsibility for environmental programs within State government					
22. Current level of public support for environmental programs					
23. Current level of gubernatorial and State Legislative support for environmental programs					

7. Please list below the three factors you feel currently have the greatest negative impact on your program.

a. _____

b. _____

c. _____

LEGISLATION

8. Consider all sections of the Clean Air Act that are applicable to your program. To date has your State enacted the necessary laws to implement those sections? (Check one)

- 1. Yes (GO TO QUESTION 10)
- 2. No

9. Please list below the provision for which you still need a State law and the date by which you expect that law to be passed.

Provision for which legislation is needed	Date passage expected
_____	_____
_____	_____
_____	_____

10. To what extent, if any, was or is each of the factors listed below an obstacle to the passage of needed State Laws? (Check one box per line)

	1) Very Great extent	2) Substant	3) Great extent or	4) Moderate extent	5) Some extent	6) Little or no extent
1. Current amount of Federal funding						
2. Probability of continued Federal funding support						
3. Current EPA regulations and guidelines						
4. State philosophical differences with intent of Federal legislation						
5. State resources required to implement and administer the program						

11. In your opinion, what has been the major barrier, if any, to passage of needed State Laws.

12. Will your State be required to implement an I&M program to periodically test all cars to determine exhaust pollution levels? (Check one)

- 1. No (GO TO QUESTION 16)
- 2. Yes

13. Will that I&M program be required for the entire State or just part of the State? (Check one)

- 1. Entire State
- 2. Part of State

14. Will your State have to enact legislation in order to implement the automobile I&M program? (Check one)

- 1. Yes
- 2. No (GO TO QUESTION 16)

15. In your opinion how likely is passage of this enabling legislation? (Check one)

- 1. Very likely
- 2. Likely
- 3. Borderline
- 4. Unlikely
- 5. Very unlikely

16. Some States may voluntarily implement an I&M program to periodically test all cars to determine exhaust pollution levels. At the present time does your State have or plan to implement this program on a voluntary basis? (Check one)

- 1. Yes
- 2. No

17. Which of the following best describes the current situation for charging major sources a permit fee under Section 110 (C) (2) (k) of the Clean Air Act? (Check one)

- 1. Have enabling legislation
- 2. Need enabling legislation and likely to obtain it
- 3. Need enabling legislation but unlikely to obtain it

18. When did or will you submit your revised SIP to EPA? (Enter month/year)

_____ month/_____ year

19. To what extent, if any, has each of the following impeded your preparation and submission of a revised SIP? (Check one box per line)

	1) Very Great	2) Substantial	3) Moderate	4) Minimal	5) Little or no
1. Current EPA regulations					
2. Available State resources					
3. State opposition to intent of Federal legislation					
4. State enabling legislation					
5. State policy on program growth					

20. In your opinion, what has been the major barrier, if any, to preparation of your revised SIP?

STATE ACCEPTANCE OF PROGRAM RESPONSIBILITY

21. Will your State be required to submit a non-attainment plan? (Check one)

- 1. Yes
- 2. No (GO TO QUESTION 23)

22. Do you feel your State will have adequate resources [financial and staff] to effectively manage that plan? (Check one)

- 1. Definitely yes
- 2. Probably yes
- 3. Uncertain
- 4. Probably no
- 5. Definitely no

23. Has or will your State administer a Prevention of Significant Deterioration (PSD) program? (Check one)

- 1. Definitely yes
 - 2. Probably yes
 - 3. Uncertain
 - 4. Probably no
 - 5. Definitely no
- (GO TO QUESTION 25)

24. Briefly explain why your State does not plan to administer a PSD program.

25. Which of the following best describes the situation in your State regarding the administration of a New Source Review Program under Section 110 of the Clean Air Act? (Check one)

- 1. Currently administering program (GO TO QUESTION 27)
- 2. Not currently administering program but plan to (GO TO QUESTION 27)
- 3. Not currently administering program and do not plan to

26. Briefly explain the major reason why your State does not plan to administer the program.

27. Which of the following best describes the situation in your State regarding the administering of a MESAPS program under Section 112 of the Clean Air Act? (Check one)

- 1. Currently administering program (GO TO QUESTION 29)
- 2. Not currently administering program but plan to (GO TO QUESTION 29)
- 3. Not currently administering program and do not plan to

28. Briefly explain the major reason why your State does not plan to administer the program.

.....

.....

29. Which of the following best describes the situation in your State regarding the administration of a non-compliance penalty program under Section 120 of the CAA? (Check one)

- 1. Currently administering program (GO TO QUESTION 31)
- 2. Not currently administering program but plan to (GO TO QUESTION 31)
- 3. Not currently administering program and do not plan to

30. Briefly explain why your State will not administer the program.

.....

.....

PROGRAM RESOURCES

31. Please provide the following information regarding the number of professional positions in your program as of January 1, 1979. (Enter numbers in space provided. If none, enter 0)

	Positions Authorized	Positions Filled
Total number
Number 100% State funding
Number 100% Federal funding
Number jointly funded

32. In total how many authorized professional positions do you expect your program to have by October 1, 1979? (Enter total number of positions)

..... Number positions

33. Have you had any difficulties filling authorized positions on a timely basis? (Check one)

- 1. Yes
- 2. No (GO TO QUESTION 36)

34. To what extent, if any, has each of the following been an obstacle to filling positions on a timely basis? (Check one box per line)

	1) Very great extent	2) Great extent	3) Substantial extent	4) Moderate extent	5) Some extent	6) Little or no extent
1. State salary structure						
2. Ceilings on authorized staff levels						
3. Statewide freeze on all hirings						
4. Statewide personnel reductions						
5. State Civil Service procedures						
6. Limited recruiting efforts						
7. State residency requirement						
8. Availability of disciplines needed						
9. Perceived temporary nature of Federally supported positions						

35. In your opinion what has been the major barrier to filling positions?

.....

.....

36. For the two year period ending December 31, 1978, please enter below: a. the approximate number of professional staff that have left your program voluntarily to take employment elsewhere, and b. the approximate number of those who left who had three or more years of experience. (Enter numbers in spaces provided, if none, enter 0)

- a. _____ Number who left
- b. _____ Number who left with three or more years experience

37. If you have had professional staff leave during the past two years what are the major reasons most often cited for leaving?

.....

38. How much positive or negative impact has the Clean Air Act requirement that each State must receive at least one half percent of the total Section 105 annual grants to all States had on your program? (Check one)

- 1. Significant positive impact
- 2. Positive impact
- 3. Little or no impact
- 4. Negative impact
- 5. Significant negative impact

PROGRAM PRIORITIES

39. In your opinion, who exerts the most influence on your assignment of the priorities to meet the requirements of the Clean Air Act? (Check one)

- 1. State Government officials
- 2. Local Government officials
- 3. Public Interest Groups
- 4. EPA
- 5. Other (Please specify) _____

40. Based on current work priorities of the CAA do you feel the following program elements are over-emphasized, under emphasized or emphasized just right? (Check one box per line)

	1) Very much overemphasized	2) Overemphasized	3) Emphasized just right	4) Underemphasized	5) Very much underemphasized
Planning					
Monitoring					
Enforcement					

EPA-STATE RELATIONSHIPS

41. Overall how would you characterize your relationship with EPA regional staff? (Check one)

- 1. Very good
- 2. Good
- 3. Neither good nor bad
- 4. Poor
- 5. Very poor

41a. Why? (Please explain)

.....

42. To what extent, if at all, do you feel the EPA headquarters staff understands the problems you face as a State program director in administering your program? (Check one)

- 1. Very large extent
- 2. Substantial extent
- 3. Moderate extent
- 4. Some extent
- 5. Little or no extent

43. Overall, how does the current level of EPA headquarters staff understanding of your problems impact on the effectiveness of your program? (Check one)

- 1. Significant positive impact
- 2. Positive impact
- 3. Little or no impact
- 4. Negative impact
- 5. Significant negative impact

44. To what extent, if any, has EPA monitoring of your performance under CAA assisted you in improving program performance? (Check one)

- 1. Very large extent
- 2. Substantial extent
- 3. Moderate extent
- 4. Some extent
- 5. Little or no extent

45. To what extent, if any do you feel your viewpoint as a State program director is given adequate consideration in the following EPA processes? (Check one box per line)

	1) Very great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Regulation making process					
2. Policy making process					

46. Please enter the names of the organizations that you feel best represent your views to:

a. the U.S. Congress; and, b. the EPA.

- a. U.S. Congress _____
- b. EPA _____

47. Please enter below the name of the organization(s) you are most likely to contact when you need information or assistance to carry out your program responsibilities.

OTHER

48. If you have any additional comments on any of the items in the questionnaire, or related topics not covered, please use the space below and additional pages if necessary. Thank you for your cooperation in completing this questionnaire.

Question 6. To what extent, if at all, is each of the factors listed below an obstacle to managing your program to meet the objectives of the Clean Air Act? (Check one box per line)

Total Responses: 45

	1) Very great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Deadlines imposed by Federal legislation	21	13	6	4	1
2. Availability of technology to support Federal legislation	7	15	9	12	2
3. Obtaining State enabling legislation	4	12	13	11	5
4. Time it takes to issue EPA regulations and guidelines	18	15	4	7	1
5. Amount of flexibility in current EPA regulations and guidelines	16	14	11	3	1
6. Clarity of current EPA regulations and guidelines	4	14	12	12	3
7. Time it takes EPA to respond to technical questions and interpret its regulations and guidelines	10	16	10	8	1
8. Quality of EPA response to technical questions and interpretation of its regulations and guidelines	5	12	14	8	6
9. Extent of controls imposed on the State by EPA	16	8	8	10	3
10. Philosophical differences between EPA and the State on program priorities and objectives	9	12	10	11	3
11. Amount of Federal funding to support program administration costs	6	11	11	12	5
12. Timing of Federal funding to support program administration costs	7	8	10	11	9
13. Knowledge of the amount of future Federal funds to support State programs administration costs	6	10	14	7	8
14. Existing State policies to limit all program growth	4	9	13	8	11
15. Amount of State funding you receive to support program administration costs	3	10	18	8	6
16. Current level of Federal funds for municipalities to meet Federal environmental requirements	3	1	6	16	19
17. Number of staff in State program	10	16	11	5	3
18. Losses of experienced personnel	11	14	11	5	4
19. Ability to fill personnel vacancies	14	14	11	3	3
20. Current training programs available for State personnel	4	6	8	13	14
21. Split responsibility for environmental programs within State government	3	2	4	9	27
22. Current level of public support for environmental programs	2	5	8	17	13
23. Current level of Gobernatorial and State legislative support for environmental programs	0	9	14	10	12

Question 8. Consider all sections of the Clean Air Act that are applicable to your program. To date, has you State enacted the necessary laws to implement those sections?

Yes (8)

ME	LA
NJ	CO
DE	ND
IN	SD

No (37)

NH	MD	FL	SC	MN	NM	KS	CA
RI	PA	KY	TN	OH	OK	MO	HI
VT	VA	MS	IL	WI	TX	NE	
NY	AL	NC	MI	AR	IA	UT	
NV	AK	ID	OR	WA	WY	AZ	

Question 9. Please list below the provision for which you still need a State law and the date by which you expect that law to be passed.

Key: ND - No Date Given

- NH (a) PSD, 7/1/79; (b) Permit Fee, 7/1/79.
- RI (a) Operating Permit, ND; (b) Permit Fees, ND;
(c) Stack testing list, ND.
- VT (a) PSD offset, awaiting Attorney General opinion;
(b) Permit Fee, 9 months after EPA regulations.
- NY (a) I&M, 4/1/79; (b) Permit Fee, ND.
- MD (a) I&M, 7/79; (b) Delayed Compliance Penalties, 7/79;
(c) Permit Fees 7/79.
- PA (a) Section 110(a)(2)(k), 8/79.
- VA (a) I&M, 1980; (b) Delayed Compliance Penalty, 1979;
(c) Quality of Board Members, 1979.
- AL (a) Non-Compliance, 1980; (b) Permit Fees, ND; (c) I&M,
1980 if needed.
- FL (a) I&M, 1979 or 1980; (b) NESHAPS, 1980; (c) NSPS,
1979 or 1980.
- KY (a) I&M, 1982.
- MS (a) Permit Fees, 7/79; (b) Make-up of Board, 7/79;
(c) Non-Compliance Penalty 7/80.
- NC (a) Non-Compliance Penalty, 6/79; (b) Permit fees, 6/79;
(c) Non-Attainment Permits, 6/79.
- SC (a) I&M, 6/80.
- TN (a) I&M, ND.
- IL (a) New Source Review, 6/79; (b) I&M, Never; (c) Pos-
sible PSD increment allocation, ND.
- MI (a) 128 (State Boards), 1980; (b) Penalties, 1980.
- MN (a) Vehicle Inspection, possibly 4/79; (b) Authority to
issue orders, possibly 4/79; (c) Permit Fees, ND.
- OH (a) I&M, ND; (b) PSD, 7/1/79; (c) Civil Penalties,
7/1/79.
- WI (a) I&M 7/79 or 80; (b) Permit Systems, 7/79; (c) Pen-
alty structure, 7/79.
- AR (a) Permit Fees, Never.
- NM (a) PSD, Permit Fees, non-ferrous smelter orders, 3/79;
(b) Stack height provisions, 3/79; (c) Non-Compliance,
State Boards, passage not requested.
- OK (a) I&M, 6/79.
- TX (a) TACB Composition I&M, ND; (b) Non-Compliance, Per-
mit Fees, ND; (c) Alternative Site Source, radioac-
tive pollution, ND.
- IA (a) Equipment standards, 6/79; (b) Operation Permits,
6/79.
- KS (a) PSD, 4/79; (b) Permit Fees, 4/79; (c) Civil Penal-
ties, 4/79.

MO (a) CAA-77, 6/79; (b) I&M, ND.
NE (a) I&M, 1979.
UT (a) I&M, 3/79; (b) Permit, 3/79; (c) Board Members,
3/79.
WY (a) 128, Spring 1979; (b) Permit Fees, Spring 1979;
(c) Non-Compliance Penalties, ND.
AZ (a) Section 110 (a)(6), 1979 session; (b) Section 128
(a), 1979 session.
CA (a) I&M, 6/79.
HI (a) Permit Fees, 4/79.
NV (a) PSD-Part C, 6/79; (b) Non-Compliance, 6/79; (c) Em-
ployees Protection, 6/79.
AK (a) Permit Fees, Not requested.
ID (a) I&M, ND; (b) State Board, ND; (c) Confidentiality,
ND.
OR (a) Non-Compliance Penalty, ND.
WA (a) I&M, ND; (b) Permit Fees, 6/79.

Question 10. To what extent, if any, was or is each of the factors listed below an obstacle to the passage of needed State laws?

Key:

- 1 Very Great Extent
- 2 Substantial or Great Extent
- 3 Moderate Extent
- 4 Some Extent
- 5 Little or No Extent

<u>State</u>	<u>Current Amount Federal Funding</u>	<u>Probability Continued Federal Funding</u>	<u>Current EPA Regulations and Guidelines</u>	<u>State Philosophical Differences With Intent of Federal Legislation</u>	<u>State Resources Required to Implement and Administer the Program</u>
ME	5	2	5	2	5
NH	4	4	1	4	4
RI	5	5	5	1	5
VT	3	2	3	1	2
NJ	5	5	5	5	5
NY	2	2	2	1	1
DE	4	4	4	4	3
MD	5	4	5	1	3
PA	5	2	3	3	2
VA	3	1	3	3	2
AL	4	5	1	2	3
FL	2	2	1	2	2
KY	5	5	5	2	5
MS	5	5	5	4	5
NC	4	4	2	2	3
SC	5	5	1	1	2
TN	5	5	5	1	3
IL	5	4	3	1	2

<u>State</u>	<u>Current Amount Federal Funding</u>	<u>Probability Continued Federal Funding</u>	<u>Current EPA Regulations and Guidelines</u>	<u>State Philosophical Differences With Intent of Federal Legislation</u>	<u>State Resources Required to Implement and Administer the Program</u>
IN	1	1	4	4	1
MI	5	5	5	5	5
MN	4	1	4	3	2
OH	4	4	4	3	3
WI	3	3	3	2	1
AR	5	5	5	1	5
LA	5	5	3	5	1
NM	5	5	2	2	3
OK	3	1	2	1	3
TX	5	5	3	1	2
IA	3	4	4	1	1
KS	5	5	5	5	5
MO	3	3	2	1	1
NE	5	5	5	1	3
CO	5	5	3	1	1
ND	4	4	5	5	4
SD	3	3	2	2	4
UT	5	4	1	1	2
WY	3	1	2	4	5
AZ	2	2	2	1	1
CA	4	5	4	4	4
HI	4	2	3	1	1
NV	2	1	2	2	1
AK	5	5	5	3	5
ID	5	5	5	1	4
OR	4	3	3	3	4
WA	5	5	3	1	2

Question 11. In your opinion, what has been the major barrier, if any, to passage of needed State laws?

ME Failure to see need for air pollution control.
NH Session frequency (biennial).
RI General resistance to any environmental legislation.
VT Legislature does not want to earmark funds and require source to pay twice (taxes and fee).
NJ Vested interest opposition.
NY Political differences between Governor and Legislature on I&M.
DE Not applicable.
MD Premature - legislation to be considered this session.
PA Program funding.
VA Cost to taxpayers on program of questionable long term benefits.
AL Transition between administrations.
FL Multitude of changes required for adoption of Federal requirements based on State laws, statutes and administrative codes--all part of legislative required changes.
KY Philosophical differences, lack of supportive data.
MS Uncertainties as to needs.
NC Philosophical differences.
SC Credibility gap.
TN EPA (Congress) forces game plan.
IL No required legislation has yet been considered. Major barriers during current (Spring '79) session will be the Proposition 13 reaction and general negative attitude toward Federal environmental programs.
IN No public support. High cost to consumer. Unclear benefit.
MI New requirements haven't been considered by legislature yet.
MN Rural legislators feel program unnecessary.
OH Anticipate public opposition to Inspection/Maintenance.
WI Inadequate time to educate on all aspects of CAA and its State impacts.
AR Concern by legislators as to appropriate administration.
LA State resources required to implement and administer the program.
NM Legislature meets to consider non-budget matters only once every two years.
OK Lack of public support.
TX State philosophical differences with intent and potential effectiveness of Federal legislation--I&M, Non-compliance penalty.

IA Philosophy of the Legislature and the people of Iowa.
If these laws are passed, it will be entirely due to
federal blackmail.

KS State legislature does not share environmental control
"enthusiasm" evidenced by Congress in 1977 CAA amend-
ments.

MO Lack of manpower.

NE State Legislature slightly negative toward environ-
mental legislation.

CO Credibility.

ND Lack of continued funds.

SD Federal inflexibility.

UT Resultant cost to the State and private sector.

WY Philosophical objection to any program growth.

AZ Who defines "needed".

CA Anti-government attitudes on the part of elected offi-
cials plus concern that any new regulations will have
adverse economic or public impacts.

HI Resources required to implement and administer the
program.

NV The law was passed between Legislative session-(odd
year).

AK Department does not need nor intends to set up an ex-
pensive permit fee system.

ID General anti-environment attitude among State legisla-
tors.

OR State versus Federal control.

WA Lack of confidence by Governor, public and the State
agency as to how much pollution reduction will occur
due to an I&M program.

Question 12. Will your State be required to implement an I&M program to periodically test all cars to determine exhaust pollution levels?

No (11)

ME	MS	ND
NH	AR	SD
VT	LA	WY
IA	HI	

Yes (32)

RI	MD	KY	IL	OH	TX	CO	NV
NJ	PA	NC	IN	WI	KS	UT	ID
NY	VA	SC	MI	NM	MO	AZ	OR
DE	FL	TN	MN	OK	NE	CA	WA

Unknown (2)

AL	AK
----	----

Question 13. Will that I&M program be required for the entire State or just part of the State?

Entire State (3)

RI	NJ	MO
----	----	----

Part of State (29)

NY	VA	SC	MN	OK	CO	NV	IN
DE	FL	TN	OH	TX	UT	ID	WA
MD	KY	IL	WI	KS	AZ	OR	
PA	NC	MI	NM	NE	CA		

Question 14. Will your State have to enact legislation in order to implement the automobile I&M program?

Yes (23)

RI	FL	TN	MN	TX	UT
NY	KY	IL	OH	KS	CA
MD	NC	WI	MO	ID	WA
VA	SC	MI	OK	NE	

No (9)

NJ	NM	OR
DE	CO	IN
PA	AZ	NV

Question 15. In your opinion, how likely is passage of this enabling legislation?

Very Likely (1)

RI

Likely (4)

NC	NE
KS	CA

Borderline (12)

NY	KY	OK
MD	SC	MO
VA	MN	UT
FL	WI	WA

Unlikely (4)

MI	TX
OH	ID

Very Unlikely (2)

TN	IL
----	----

Question 16. Some States may voluntarily implement an I&M program to periodically test all cars to determine exhaust pollution levels. At the present time does your State have or plan to implement this program on a voluntary basis?

Yes (9)

RI	IN	AK
DE	MO	TN
KY	AZ	NV

No (36)

ME	NY	AL	SC	OH	NM	KS	SD
NH	MD	FL	IL	WI	OK	NE	UT
VT	PA	MS	MI	AR	TX	CO	WY
NJ	VA	NC	MN	LA	IA	ND	CA
HI	ID	OR	WA				

Question 17. Which of the following best describes the current situation for charging major sources a permit fee under Section 110 (c)(2)(k) of the Clean Air Act?

Have Enabling Legislation (20)

ME	FL	IL	WI	ND
NJ	KY	IN	LA	AZ
DE	SC	MI	OK	ID
VA	TN	OH	CO	OR

Need Enabling Legislation and Likely to Obtain It (16)

NY	NC	MO	CA
MD	NM	NE	HI
PA	TX	UT	NV
MS	KS	WY	WA

Need Enabling Legislation But Unlikely to Obtain IT (8)

RI	AR	VT	IA
AL	SD	MN	AK

Need Enabling Legislation But Unsure of Passage (1)

NH

Question 18. When did or will you submit your revised SIP to EPA?

ME 3/79	VA 1/79	IN 2/79	TX 6/79	WY 1/79
NH 3/79	AL 3/79	MI 1/79	IA 5/79	AZ 12/78
RI 3/79	FL 12/78	MN 5/79	KS 6/79	CA 5-6/79
VT 3/79	KY 3/79	OH 5/79	MO 4/79	HI 6/79
NJ 1/79	MS 2/79	WI 4/79	NE 3/79	NV 1/79
NY 4/79	NC 3/79	AR 3/79	CO 1/79	AK 5/79
DE 3/79	SC 12/78	LA 3/79	ND 6/79	ID 4/79
MD 1/79	TN 3-6/79	NM 1/79	SD 12/78	OR 6/79
PA 5/79	IL 6/79	OK 3/79	UT 1/79	WA 4/79

Question 19. To what extent, if any, has each of the following impeded your preparation and submission of a revised SIP?

Key:

- 1 Very Great Extent
- 2 Substantial or Great Extent
- 3 Moderate Extent
- 4 Some Extent
- 5 Little or No Extent

<u>State</u>	<u>Current EPA Regulations & Guidelines</u>	<u>Available State Resources</u>	<u>State Opposition to Intent of Federal Legislation</u>	<u>State Enabling Legislation</u>	<u>State Policy On Program Growth</u>
ME	1	1	4	4	2
NH	1	3	3	3	3
RI	1	4	2	4	5
VT	3	2	4	4	1
NJ	4	2	5	5	3
NY	1	2	4	5	4
DE	3	3	2	5	5
MD	4	3	5	5	5
PA	3	2	5	4	2
VA	5	4	4	3	3
AL	1	2	4	5	5
FL	1	2	3	3	3
KY	5	1	4	5	5
MS	5	5	4	5	5
NC	3	1	4	4	2
SC	1	1	1	5	5
TN	2	1	4	4	5
IL	3	3	4	4	5
IN	2	1	2	5	5
MI	3	1	4	5	4
MN	4	1	5	4	2
OH	4	2	4	5	5
WI	2	1	2	1	2
AR	5	5	4	5	5
LA	1	4	4	4	4
NM	4	2	5	5	5
OK	2	4	1	2	2
TX	1	3	1	4	3
IA	1	1	1	1	1
KS	3	1	5	5	5
MO	2	1	3	3	1
NE	5	1	5	4	3

<u>State</u>	<u>Current EPA Regulations & Guidelines</u>	<u>Available State Resources</u>	<u>State Opposition to Intent of Federal Legislation</u>	<u>State Enabling Legislation</u>	<u>State Policy On Program Growth</u>
CO	4	2	4	4	3
ND	4	4	5	5	5
SD	5	3	5	5	5
UT	2	1	1	5	2
WY	3	1	4	5	1
AZ	1	2	2	4	2
CA	5	2	5	5	3
HI	5	2	5	5	5
NV	2	2	2	4	3
AK	1	1	3	5	3
ID	4	2	3	3	3
OR	3	3	3	5	3
WA	3	3	1	2	4

Question 20. In your opinion, what has been the major barrier, if any, to preparation of your revised SIP?

ME Lack of firm standards and concise guidance.
NH Qualified personnel to devote time and attention to requirements.
RI Change in ozone standards and economic factors associated with RACT requirements.
VT Timing -- if the State had 6-9 months more, a more complete plan with greater public participation could have been developed.
NJ Resources, short deadline.
NY Not enough time to fulfill public participation process.
DE EPA moving targets -- ozone standard, Stage II, etc. Evaluating public hearing comments.
MD Manpower -- technical information regarding non-traditional sources.
PA Lack of staff resources and time.
VA Lack of timely guidelines from EPA.
AL Fluctuating EPA guidance on the criteria to be used in evaluating the SIP.
FL EPA continued changes to basic criteria and educating MPOs on the air quality problems.
KY Lack of personnel to meet time restrictions.
MS General feeling all requirements not necessary to protect public health. Don't have broad base of support.
NC Available State resources (staff).
SC Lack of any real belief by Governor and SC air staff that the revisions are necessary or will result in improvement.
TN Lack of resources to do this and carry on day-to-day responsibilities.
IL Required adoption of new State regulations and delays in issuing new and revised regulations by EPA.
IN Resources (staff and money).
MI Lack of staff and time. Late and changing guidance from EPA.
MN Lack of qualified personnel.
OH Experienced personnel; untimely Federal guidance; and unreasonable deadlines.
WI Inadequate experienced technical staff and unreasonable deadlines.
AR Lack of necessary preparation time.
LA Lack of correct, clear or specific guidance by EPA -- non-uniformity of guidance from EPA region to region confusion over announced changing O standard not promulgated until after SIP due.

NM Unrealistic deadlines set by Congress. Problems in obtaining feedback from EPA Regional Office on proposed regulations, etc., on a timely basis.
 OK Public has not believed that this is a real problem in Oklahoma.
 TX Changing EPA requirements, State/EPA difference of opinion on requirements for an approvable plan.
 IA State legislation. Resources. Local opposition to the Act.
 KS Lack and lateness of provision of EPA specific guidance on requirements, lack of staff for timeframes provided.
 MO Adequate manpower.
 NE EPA contractual assistance not completed.
 CO Lack of resources. Lack of EPA support.
 ND Manhours required to draft and finalize a revised SIP for Cost/Benefits of effort.
 SD None.
 UT Short timeframe and lack of adequate staff.
 WY Available State resources.
 AZ Resources and time constraints.
 CA Poor organization of air program (inadequate state/local coordination).
 HI Confusion over what CAA Amendments meant.
 NV Time and resources.
 AK Lack of public concern and lack of auto emission control data to characterize CO problems.
 ID Available resources.
 OR Need to gather more data. Lateness of EPA guidance. Public participation process.
 WA Late and changing guidelines. Inadequate time. Too much detail in law.

Question 21. Will your State be required to submit a non-attainment plan?

Question 22. Do you feel your State will have adequate resources (financial and staff) to effectively manage that plan?

Key:

DY - Definitely Yes
PY - Probably Yes
PN - Probably No
DN - Definitely No
U - Uncertain

Yes (44)

ME - DY	VA - U	IN - PY	TX - PY	AR - PN
NH - U	AL - U	MI - U	IA - DN	CA - PY
RI - U	FL - U	MN - PY	KS - PY	HI - DY
VT - PY	KY - U	OH - DN	MO - DN	NV - PN
NJ - PN	MS - PY	WI - U	NE - U	AK - PN
NY - PY	NC - U	AR - PY	CO - U	ID - PY
DE - PY	SC - PY	LA - PY	SD - DY	OR - U
MD - U	TN - PY	NM - PY	UT - U	WA - PY
PA - PN	IL - PY	OK - PN	WY - PY	

No (1)

ND

Question 23. Has or will your State administer a Prevention of Significant Deterioration (PSD) program?

Definitely Yes (13)

VT	FL	SC	MN	OK	ND	AK
NY	KY	IN	AR	NE	UT	

Probably Yes (25)

ME	PA	MS	IL	NM
NJ	VA	NC	MI	KS
MD	AL	TN	WI	MO
NV	OR	ID	WA	WY
CO	SD	HI	CA	AZ

Uncertain (7)

NH	RI	DE	OH	LA	TX	IA
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Probably No (0)

Definitely No (0)

Question 24. Briefly explain why your State does not plan to administer a PSD program.

Not applicable due to responses to Question 23.

Question 25. Which of the following best describes the situation in your State regarding the administration of a New Source Review Program under Section 110 of the Clean Air Act?

Currently Administering the Program (33)

ME	MD	MS	IN	WI	TX	SD
VT	VA	NC	MI	AR	IA	UT
NJ	AL	SC	MN	LA	NE	WY
DE	KY	TN	OH	NM	CO	
CA	NV	ID	OR	WA	ND	

Not Currently Administering the Program But Plan To (12)

NH	PA	OK	AZ
RI	FL	KS	HI
NY	IL	MO	AK

Not Currently Administering the Program and Do Not Plan To (0)

Question 26. Briefly explain the major reason why your State does not plan to administer the program.

Not applicable due to responses to Question 25.

Question 27. Which of the following best describes the situation in your State regarding the administering of a NESHAPS program under Section 112 of the Clean Air Act?

Currently Administering Program (26)

ME	VT	DE	VA	NC	IN	WI
NH	NJ	MD	AL	SC	MI	TX
RI	NY	PA	KY	TN	MN	NE
WA	OR	CO	ND	CA		

Not Currently Administering Program But Plan To (11)

FL	IL	AR	MO	UT	HI
MS	OH	LA	SD	AZ	

Not Currently Administering Program and Do Not Plan To (8)

NM	WY
OK	NV
IA	AK
KS	ID

Question 28. Briefly explain the major reason why your State does not plan to administer the program.

NM We have no non-Federal sources subject to NESHAPS within our area of jurisdiction.
OK Federal guidance and standards not acceptable to State.
IA No enabling legislation.
KS Cannot provide resources needed to effectively administer.
WY No major NESHAPS sources in the State.
NV Another resource intensive program with little benefit. EPA regulations inadequate.
AK Regulations are of questionable relevancy; no problem in State.
ID Resources, especially for asbestos inspections of demolition projects.

Question 29. Which of the following best describes the situation in your State regarding the administration of a non-compliance penalty program under Section 120 of the CAA?

Currently Administering the Program (6)

KY MN CO ND SD CA

Not Currently Administering Program But Plan To (21)

ME DE AL NC MI MO HI
NJ MD FL TN AR NE NV
NY VA MS IN KS UT ID

Three States -- PA, IL, and WI -- stated they do not know whether they will administer the program.

Not Currently Administering Program and Do Not Plan To (15)

NH RI VT SC OH
LA NM OK TX IA
WY AZ AK OR WA

Question 30. Briefly explain why your State will not administer the program.

NH Commission recommendation.
RI Unnecessary. Major sources in compliance.
VT Will relook at program in future.
PA Do not yet know what we will do.
SC It is pointless, since EPA will review and second-guess every decision. Wasteful.
OH Legal nightmare. Serves no useful purpose. Manpower intensive.
WI A determination has not been completed concerning State attitude on the assumption of this program.
LA Lack legislative authority. Such penalties not needed to achieve compliance.
NM Our State air pollution program is based upon attempting to obtain voluntary compliance prior to imposition of penalties.
OK State laws not compatible with this philosophy. Not beneficial to State. Too big of an administrative burden.
TX TACB philosophy is contradictory to concept. Question effectiveness.
IA No enabling legislation.
WY Politically unpopular. Better to work through courts for penalties.
AZ Against policy. Administration would be expensive, complex and resource intensive.
NV Additional Legislation is needed.
AK Not relevant -- would be a very sensitive program to implement and would take more resources than it would be worth.
OR Little need; wait to see what is required and extent of EPA oversight.
WA Don't agree with concept, too much detail in law, too much EPA override.

Question 31. Please provide the following information regarding the number of professional positions in your program as of January 1, 1979. (Enter numbers in space provided. If none, enter 0).

Question 32. In total, how many authorized professional positions do you expect your program to have by October 1, 1979?

Key:

PA Positions Authorized
 PF Positions Filled
 NR No Response

Note: All numbers have been rounded.

State	Question 31								Question 32
	Total Number		Number 100% State Funding		Number 100% Federal Funding		Number Jointly Funded		Number Positions Expected By October 1, 1979
	PA	PF	PA	PF	PA	PF	PA	PF	
ME	18	14	9	8	9	6	0	0	26
NH	25	25	1	1	4	4	20	20	27
RI	13	13	6	6	7	7	0	0	13
VT	19	16	0	0	2	2	17	14	23
NJ	108	90	0	0	0	0	108	90	108
NY	166	146	54	50	112	96	0	0	180
DE	13	12	7	7	6	5	0	0	13
MD	77	71	44	41	33	30	0	0	77
PA	221	209	0	0	0	0	221	209	221
VA	85	85	0	0	0	0	85	85	85
AL	52	46	0	0	1	1	51	45	52
FL <u>1/</u>	88	85	81	78	7	7	0	0	60

1/ Includes all staff, i.e. not only professional.

Question 31

Question 32

State	Total Number		Number 100% State Funding		Number 100% Federal Funding		Number Jointly Funded		Number Positions Expected By October 1, 1979
	PA	PF	PA	PF	PA	PF	PA	PF	
	KY	100	71	0	0	0	0	100	
MS	43	36	0	0	0	0	43	36	43
NC	82	76	21	21	61	55	0	0	82
SC	69	64	40	38	29	26	0	0	73
TN	81	75	0	0	0	0	81	75	81
IL	140	117	0	0	7	7	133	110	140
IN	111	98	0	0	10	7	101	91	136
MI	52	46	0	0	0	0	52	46	52
MN	44	43	NR	NR	NR	NR	NR	NR	44
OH	119	91	112	86	7	5	0	0	115
WI	75	60-65	NR	NR	NR	NR	NR	NR	95
AR	41	26	0	0	0	0	41	26	41
LA	27	26	0	0	0	0	27	26	27
NM	34	31	1	1	0	0	33	30	33
OK	34	33	9	8	15	15	10	10	36
TX	373	362	289	282	1	1	83	79	373
IA	21	17	0	0	0	0	21	17	21
KS	28	23	2	0	0	0	26	23	28
MO	17	10	0	0	0	0	17	10	25
NE	12	11	0	0	12	11	0	0	12
CO	60	54	30	27	30	27	0	0	60
ND	22	19	0	0	0	0	22	19	24
SD	7	7	0	0	2	2	5	5	9
UT	31	29	12	11	19	18	0	0	35
WY	11	11	0	0	0	0	11	11	13
AZ	41	35	23	22	18	13	0	0	43
CA	370	345	130	125	0	0	240	220	360
HI	11	11	NR	NR	NR	NR	NR	NR	11
NV	9	8	0	0	2	1	7	7	11

Question 32

Number Positions Expected By October 1, 1979	
	7
	25
	120
	50

Question 31

State	Total Number		Number 100% State Funding		Number 100% Federal Funding		Number Jointly Funded	
	PA	PF	PA	PF	PA	PF	PA	PF
AK	7	6	1	1	2	1	4	4
ID	25	23	0	0	0	0	25	23
OR	130	125	107	102	23	23	0	0
WA	50	50	45	45	5	5	0	0

Question 33. Have you had any difficulties filling authorized positions on a timely basis?

Yes (41)

ME	NY	KY	TN	MN	LA	IA
NH	MD	MS	IL	OH	NM	KS
VT	PA	NC	IN	WI	OK	MO
NJ	AL	SC	MI	AR	TX	NE
CA	UT	AZ	ID	OR	WA	HI
AK	CO	WY	ND	SD	NV	

No (4)

RI	DE	VA	FL
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Question 34. To what extent, if any, has each of the following been an obstacle to filling positions on a timely basis?

Key:

- 1 Very Great Extent
- 2 Substantial or Great Extent
- 3 Moderate Extent
- 4 Some extent
- 5 Little or No Extent

3-36

<u>State</u>	<u>State Salary</u>	<u>Ceilings On Authorized Staff</u>	<u>State-Wide Freeze</u>	<u>State-Wide Personnel Reductions</u>	<u>State Civil Service Procedures</u>	<u>Limited Recruiting Efforts</u>	<u>State Residency Requirement</u>	<u>Availability of Disciplines Needed</u>	<u>Perceived Temporary Nature of Federally Supported Positions</u>
ME	3	3	5	5	3	2	5	4	5
NH	1	1	5	5	1	1	5	1	1
VT	1	1	4	5	3	4	5	1	4
NJ	3	5	5	5	2	4	5	3	5
NY	2	1	4	2	2	2	5	4	5
MD	1	3	3	5	2	3	5	3	4
PA	2	2	2	4	3	5	5	5	5
AL	3	5	5	5	2	5	5	2	5
KY	1	2	5	5	3	3	3	2	5
MS	2	5	5	5	5	5	1	5	5
NC	2	5	4	5	5	5	5	2	4
SC	1	4	4	5	1	3	5	2	5
TN	1	3	5	5	5	5	5	1	5
IL	1	5	2	4	2	3	5	4	4
IN	1	5	5	5	1	2	5	1	3

<u>State</u>	<u>State Salary</u>	<u>Ceilings On Authorized Staff</u>	<u>State-Wide Freeze</u>	<u>State-Wide Personnel Reductions</u>	<u>State Civil Service Procedures</u>	<u>Limited Recruiting Efforts</u>	<u>State Residency Requirement</u>	<u>Availability of Disciplines Needed</u>	<u>Perceived Temporary Nature of Federally Supported Positions</u>
MI	4	5	5	5	2	4	5	3	4
MN	3	4	2	5	2	3	5	2	4
OH	1	5	5	5	3	4	5	4	4
WI	1	2	2	3	1	3	1	1	5
AR	1	5	3	5	5	5	5	1	5
LA	1	3	3	3	3	5	5	3	5
NM	1	5	5	5	1	5	5	1	5
OK	2	2	5	5	4	4	4	3	1
TX	3	4	5	5	4	3	5	2	4
IA	2	1	5	5	5	4	5	3	1
KS	1	4	5	5	1	4	5	1	5
MO	1	1	5	5	1	3	5	2	4
NE	1	3	5	5	5	4	5	3	5
CO	2	4	5	5	1	2	4	2	4
ND	3	1	5	5	5	5	5	5	5
SD	2	2	4	5	5	5	5	3	4
UT	2	4	5	5	5	4	5	3	5
WY	1	5	5	5	3	5	5	1	5
AZ	1	1	3	3	1	2	5	1	1
CA	1	3	1	3	1	5	5	5	5
HI	3	2	1	1	2	4	5	5	1
NV	2	2	3	3	4	2	1	1	1
AK	5	1	5	5	3	4	4	2	4
ID	1	5	5	5	1	5	5	3	5
OR	2	2	3	4	2	2	5	2	4
WA	3	4	5	5	3	4	5	3	5

Question 35. In your opinion, what has been the major barrier to filling positions?

- ME (a) Governor had to approve each refill of position. He took his time.
- NH (a) Salary scales established; (b) Positions structure in State government; (c) Priority established for new positions.
- VT (a) It is not the number of vacancies as it is we cannot find middle managers with some experience.
- NJ (a) Civil Service.
- NY (a) State Salary Structure.
- DE (a) Availability of needed disciplines.
- MD (a) State Salaries; (b) Shortage of trained personnel, i.e. engineers, meteorologists with diffusion modeling backgrounds.
- PA (a) Salary Structure; (b) Lack of qualified candidates.
- AL (a) State Civil Service Procedures.
- KY (a) Salaries; (b) Lack of qualified applicants.
- MS (a) Lack of trained personnel.
- NC (a) Available applicants lacking the minimum experience and educational requirements.
- SC (a) Salary Structure; (b) State personnel procedures; (c) Competition with water programs.
- TN (a) Inadequate Salaries.
- IL (a) Cumbersome State procedures; (b) Inadequate salary structure.
- IN (a) Salary structure; (b) Availability; (c) Hiring procedures.
- MI (a) Difficulty in finding experienced people to work for low State salary.
- MN (a) Lack of qualified personnel on civil service lists; (b) Extremely slow State Civil Service procedures.
- OH (a) State Salary Structure.
- WI (a) Availability; (b) Salary; (c) Procedures.
- AR (a) Lack of qualified applicants; (b) Lack of adequate salary structure.
- LA (a) Low salary.
- NM (a) Length of time required under State personnel procedures to establish positions, request lists of eligibles, and hire personnel; (b) Inability to attract qualified engineers at State salaries for engineering job classes.
- OK (a) State funding limitation.
- TX (a) State salary structure and competition with industry; (b) Highly technical requirements.
- IA (a) Salary; (b) Temporary Federal funding of positions.

- KS (a) Poor salary structure tied into Civil Service requirements have made it impossible to employ and retain needed engineering staff.
- MO (a) Salary; (b) Personnel requirements.
- NE (a) Salary; (b) Lack of available trained people.
- CO (a) Civil Service procedures; (b) Salary limitations.
- ND (a) Available funds -- PSD Administration and implementation has been and continues to be a severe drain upon program funds.
- SD (a) State salary structure; (b) Location of state capitol.
- UT (a) Lack of timely awarding of Federal funds.
- WY (a) Availability of applicants with applicable experience who would accept State salary level.
- AZ (a) The salary structure versus responsibility and stress ratio as compared with private industry. Technical people are currently enjoying a sellers market.
- CA (a) (Short-term) hiring freeze; (b) State Civil Service system; (c) State salary structure.
- HI (a) Salary structure.
- NV (a) Salary structure; (b) Temporary nature of Federally supported positions in a high employment State.
- AK (a) State reluctance to create new positions; (b) Remoteness of Alaska to potential candidates.
- ID (a) State salaries for engineers and senior technical positions.
- OR (a) State salary structure and fringe benefits; (b) Availability of qualified people; (c) State Civil Service procedures and policies.
- WA (a) Difficult to find qualified candidates; (b) Registers not kept up to date.

Question 36. For the two year period ending December 31, 1978, please enter below: a. the approximate number of professional staff that have left your program voluntarily to take employment elsewhere, and b. the approximate number of those who left who had three or more years of experience.

Question 37. If you have had professional staff leave during the past two years, what are the major reasons most often cited for leaving?

NR - No Response

State	Question 36		Question 37
	Number Who Left	With 3 Years Experience	Reasons Cited for Leaving
ME	5	4	(a) Pay; (b) Reorganization forced them to move.
NH	3	NR	(a) Salary; (b) Professional growth.
RI	2	2	(a) Higher pay; (b) Relocation to another area.
VT	5	5	(a) Went to energy program as it was new area; (b) Partly "burnt out" from enforcement aspects of program.
NJ	16	12	(a) Opportunity for advancement.
NY	2	1	(a) Better salary; (b) Promotional opportunities.
DE	2	0	(a) Better salaries & benefits.
MD	8	8	(a) Salary; (b) Constraints or promotional opportunities; (c) Feeling that EPA will provide more activity.
PA	12	8	(a) Advancement.
VA	13	9	(a) Higher pay; (b) Return to school.
AL	7	3	(a) Greater financial rewards; (b) Potential for advancement.
FL	18	13	(a) More responsibility and money (b) Training; (c) Long hours of difficult writing and presentations.
KY	21	13	(a) Salaries; (b) Lack of opportunities for advancement within the organization; (c) Disillusionment with government.

Question 36

Question 37

<u>State</u>	<u>Number Who Left</u>	<u>With 3 Years Experience</u>	<u>Reasons Cited for Leaving</u>
MS	7	3	(a) More money.
NC	21	21	(a) Higher salaries paid by private sector.
SC	13	10	(a) More pay; (b) Disenchantment.
TN	30	15	(a) Money.
IL	52	31	(a) Salary; (b) Frustration with bureaucracy, especially in Federal/State system.
IN	37	9	(a) Non-competitive salary and/or fringe benefits; (b) Dissatisfaction with career; (c) Advancement opportunity.
MI	10	2	(a) Various reasons--no one thing often cited.
MN	4	3	(a) Better salary; (b) Move to area nearer to family; (c) Return to college for graduate work.
OH	31	27	(a) Better paying positions; (b) Lack of advancement opportunities.
WI	NR	NR	(a) Salary; (b) Professional advancement.
AR	10	3	(a) Always leave for higher salary.
LA	5	5	(a) Salary; (b) Alien residency problems.
NM	6	6	(a) Salaries; (b) Lack of upward mobility.
OK	5	3	(a) Promotional opportunity; (b) Better salary.
TX	56	38	(a) Professional development; (b) Advancement opportunity; (c) Higher salaries.
IA	6	4	(a) Seek other employment.
KS	3	1	(a) Salary.
MO	10	7	(a) Salary.
NE	2	1	(a) Better salary; (b) Relocation.
CO	12	2	(a) Better salary; (b) Better opportunity; (c) Frustration with management.
ND	2	1	(a) Salary; (b) Fringe benefits.
SD	0	0	
UT	6	1	(a) Better Salaries.

Question 36Question 37

<u>State</u>	<u>Number Who Left</u>	<u>With 3 Years Experience</u>	<u>Reasons Cited for Leaving</u>
WY	0	0	
AZ	5	3	(a) Salary structure.
CA	36	12	(a) Higher pay.
HI	2	1	(a) Promotion to higher paying position.
NV	2	1	(a) Salary; (b) Advancement.
AK	1	1	(a) Not applicable.
ID	6	5	(a) Salary.
OR	5	5	(a) Salary; (b) Frequent reorganizations; (c) Disenchantment with Government work.
WA	3	1	(a) Salary; (b) Better job.

Question 38. How much positive or negative impact has the Clean Air Act requirement that each State must receive at least one half percent of the total Section 105 annual grants to all States had on your program?

Significant Positive Impact (8)

ME	VT	WY	AK
NH	ND	HI	ID

Positive Impact (6)

RI	FL	SD
DE	TN	NV

Little or No Impact (30)

NJ	AL	SC	MN	LA	IA	CO	OR
MD	KY	IL	OH	NM	KS	UT	WA
PA	MS	IN	WI	OK	MO	AZ	
VA	NC	MI	AR	TX	NE	CA	

Negative Impact (1)

NY

Significant Negative Impact (0)

Question 39. In your opinion, who exerts the most influence on your assignment of the priorities to meet the requirements of the Clean Air Act?

State Government Officials (14)

RI	NC	OK	SD	AK
NJ	TN	TX	WY	ID
KY	WI	CO	CA	

Local Government Officials (0)

Public Interest Groups (0)

EPA (28)

ME	DE	AL	IN	AR	KS	AZ
NH	MD	FL	MI	LA	MO	HI
VT	PA	MS	MN	NM	NE	OR
NY	VA	SC	OH	IA	ND	WA

Other - Please Specify (3)

IL	The CAA.
UT	Utah Air Conservation Committee.
NV	The grant Agreement.

Question 40. Based on current work priorities of the CAA, do you feel the following program elements are over emphasized, under emphasized or emphasized just right?

Key:

- VMO - Very much overemphasized
- O - Over emphasized
- EJR - Emphasized just right
- U - Under emphasized
- VMU - Very much underemphasized

State	Planning	Monitoring	Enforcement
ME	O	U	EJR
NH	EJR	EJR	VMO
RI	O	EJR	O
VT	O	EJR	O
NJ	VMO	EJR	U
NY	EJR	EJR	VMO
DE	-- Varies --		
MD	O	O	VMO
PA	EJR	EJR	U
VA	O	EJR	EJR
AL	O	EJR	EJR
FL	VMO	O	EJR
KY	U	O	U
MS	O	EJR	O
NC	EJR	U	U
SC	EJR	O	EJR
TN	O	EJR	EJR
IL	EJR	EJR	EJR
IN	EJR	U	U
MI	O	EJR	EJR
MN	EJR	EJR	EJR
OH	VMO	U	VMO
WI	VMU	U	U
AR	EJR	EJR	VMO
LA	VMO	EJR	EJR
NM	U	EJR	EJR
OK	VMO	EJR	VMO
TX	EJR	EJR	U
IA	EJR	VMU	EJR
KS	O	EJR	VMU
MO	U	U	EJR
NE	O	EJR	O
CO	EJR	O	O
ND	EJR	EJR	EJR

State	Planning	Monitoring	Enforcement
SD	No Response		
UT	O	EJR	EJR
WY	O	EJR	EJR
AZ	O	EJR	VMO
CA	EJR	EJR	U
HI	U	EJR	O
NV	EJR	VMO	EJR
AK	EJR	O	O
ID	VMO	O	EJR
OR	EJR	U	O
WA	O	EJR	VMO

Question 41. Overall how would you characterize your relationship with EPA regional staff?

	Number of States Responding
Very Good	7
Good	24
Neither Good Nor Bad	9
Poor	5
Very Poor	0

Question 42. To what extent, if at all, do you feel the EPA headquarters staff understands the problems you face as a State program director in administering your program?

	Number of States Responding
Very Large Extent	0
Substantial Extent	2
Moderate Extent	4
Some Extent	17
Little or No Extent	22

Question 43. Overall, how does the current level of EPA headquarters staff understanding of your problems impact on the effectiveness of your program?

	<u>Number of States Responding</u>
Significant Positive Impact	0
Positive Impact	5
Little or No Impact	6
Negative Impact	24
Significant Negative Impact	10

Question 44. To what extent, if any, has EPA monitoring of your performance under CAA assisted you in improving program performance?

	<u>Number of States Responding</u>
Very Large Extent	0
Substantial Extent	3
Moderate Extent	4
Some Extent	16
Little or No Extent	22

Question 45. To what extent if any, do you feel your viewpoint as a State program director is given adequate consideration in the following EPA processes?

	<u>Regulation Making</u> <u>Process</u>	<u>Policy Making</u> <u>Process</u>
Very Great Extent	0	0
Substantial or Great Extent	1	1
Moderate Extent	7	5
Some Extent	17	11
Little or No Extent	20	28

Question 46. Please enter the names of the organizations that you feel best represent your views to:
 a. the U.S. Congress; and b. the EPA.

<u>Organization</u>	<u>Number of States Responding</u>	
	<u>U.S. Congress</u>	<u>EPA</u>
State and Territorial Air Pollution Program Administrators (STAPPA)	23	26
None	11	10
National Governor's Association (NGA)	6	4
Governor's Office	3	0
Other (Organizations named only once)	8	10

Note: Responses not additive because of multiple State responses.

Question 47. Please enter below the name of the organization(s) you are most likely to contact when you need information or assistance to carry out your program responsibilities.

<u>Organization</u>	<u>Number of States</u>
EPA Regions	18
EPA	12
Other States	8
State Organizations	8
Local Agencies and Governments	3
State Legislature and their staffs	2
Numerous trade and technical organizations	2
None	3
Public Interest Groups	2
Other (Organizations named only once)	4

Note: Responses not additive because of multiple State responses.

SECTION 4

DIRECTORS OF STATE IMPLEMENTATION
OF THE CLEAN WATER ACT
QUESTIONNAIRE RESPONSES

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RESPONSES TO THE SURVEY
OF STATE IMPLEMENTATION OF
THE CLEAN WATER ACT

STATES RESPONDING (45)

Alabama	AL	Nevada	NV
Alaska	AK	New Hampshire	NH
Arizona	AZ	New Jersey	NJ
California	CA	New Mexico	NM
Colorado	CO	New York	NY
Delaware	DE	North Carolina	NC
Florida	FL	North Dakota	ND
Hawaii	HI	Ohio	OH
Idaho	ID	Oklahoma	OK
Illinois	IL	Oregon	OR
Indiana	IN	Pennsylvania	PA
Iowa	IA	Rhode Island	RI
Kansas	KS	South Carolina	SC
Kentucky	KY	Tennessee	TN
Louisiana	LA	Texas	TX
Maine	ME	Utah	UT
Maryland	MD	Vermont	VT
Michigan	MI	Virginia	VA
Minnesota	MN	Washington	WA
Mississippi	MS	West Virginia	WV
Missouri	MO	Wisconsin	WI
Montana	MT	Wyoming	WY
Nebraska	NE		

U.S. GENERAL ACCOUNTING OFFICE
SURVEY OF STATE IMPLEMENTATION
OF THE CLEAN WATER ACT



General Instructions

The U.S. General Accounting Office is studying the problems faced by the States in implementing and administering Federal environmental programs. The purpose of this questionnaire is to obtain information on your program(s) and to determine the significance of the problems State environmental program managers face. We are sending similar questionnaires to the directors of the air pollution control, drinking water, pesticides, solid waste and water pollution control programs in all 50 States as well as to the administrator of each State's environmental agency.

While the questions that follow are based largely on our discussions with program officials in seven States, we have attempted to provide a format that will be readily adaptable to all States. If you feel that the format of any question does not fit your situation, please add the necessary explanatory notes. Moreover, feel free to make any additional comments on your program, this questionnaire or related topics.

If you have any questions, please call Donald Hunter at (617) 223-6536.

After completing the questionnaire, please return it in the self-addressed postage paid envelope by January 19, 1979.

NOTE: Throughout this questionnaire, EPA refers to the Federal Environmental Protection Agency.

Thank you for your cooperation.

RESPONDENT INFORMATION:

1. Please provide the name, title and telephone number of the person completing this questionnaire.
NAME: _____
TITLE: _____
TELEPHONE: _____ (Area Code) Number
2. Other than administering the Clean Water Act (CWA) are you responsible for managing any other programs? (Check one)
1. <input type="checkbox"/> No (GO TO QUESTION 4)
2. <input type="checkbox"/> Yes
3. About what percent of your time is devoted to administering the CWA program? (Enter percent) _____ %
4. As Director, what type of position do you hold? (Check one)
1. <input type="checkbox"/> Elective
2. <input type="checkbox"/> Appointed by the Governor
3. <input type="checkbox"/> Appointed by the Department or Agency head
4. <input type="checkbox"/> Civil service
5. <input type="checkbox"/> Other (Please specify) _____
5. How long have you held your current position? (Enter years/months)
_____ years * _____ months

MANAGEMENT OF FEDERAL ENVIRONMENTAL PROGRAMS

6. To what extent, if at all, is each of the factors listed below an obstacle to managing your program to meet the objectives of CWA? (Check one box per line)

	1/ Very great extent	2/ Substantial extent	3/ Moderate extent	4/ Some extent	5/ Little or no extent
1. Deadlines imposed by Federal legislation					
2. Availability of technology to support Federal legislation					
3. Obtaining State enabling legislation					
4. Time it takes to issue EPA regulations and guidelines					
5. Amount of flexibility in current EPA regulations and guidelines					
6. Clarity of current EPA regulations and guidelines					
7. Time it takes EPA to respond to technical questions and interpret its regulations and guidelines					
8. Quality of EPA response to technical questions and interpretation of its regulations and guidelines					
9. Extent of controls imposed on the State by EPA					
10. Philosophical differences between EPA and the State on program priorities and objectives					
11. Amount of Federal funding to support program administration costs					
12. Timing of Federal funding to support program administration costs					
13. Knowledge of the the amount of future Federal funds to support State programs administration costs					
14. Existing State policies to limit all program growth					
15. Amount of State funding you receive to support program administration costs					
16. Current level of Federal funds for municipalities to meet Federal environmental requirements					
17. Number of staff in State program					
18. Losses of experienced personnel					
19. Ability to fill personnel vacancies					
20. Current training programs available for State personnel					
21. Split responsibility for environmental programs within State government					
22. Current level of public support for environmental programs					
23. Current level of Gubernatorial and State legislative support for environmental programs					

7. Please list below the three factors you feel currently have the greatest negative impact on your program.

a. _____

b. _____

c. _____

LEGISLATION

8. Consider all provisions of the Clean Water Act that are applicable to your program. To date, has your State enacted necessary enabling legislation to implement all of those CWA provisions? (Check one)

- 1. / / Yes (GO TO QUESTION 10)
- 2. / / No

9. Please list below the provision for which you still need a State law and the date by which you expect that law to be passed.

Provision legislation needed	Date passage expected
_____	_____
_____	_____
_____	_____

10. To what extent, if any, was or is each of the factors listed below an obstacle to the passage of enabling legislation in your State? (Check one box for each)

	1) Very great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Current amount of Federal funding					
2. Probability of continued Federal funding support					
3. Current EPA regulations and guidelines					
4. State philosophical differences with intent of Federal legislation					
5. State resources required to implement and administer the program					

11. In your opinion what has been the major barrier, if any, to passage of State enabling legislation? (Please explain)

PROGRAM RESOURCES

12. Please provide the following information regarding the number of professional positions in your CWA program as of January 1, 1979. (Enter numbers in space provided; if none, enter 0)

	Positions Authorized	Positions Filled
Total number	_____	_____
Number 100% State funding	_____	_____
Number 100% Federal funding	_____	_____
Number jointly funded	_____	_____

13. In total how many authorized professional positions do you expect your program to have by October 1, 1979? (Enter total number of positions)

_____ Number positions

14. Have you had any difficulties filling authorized positions on a timely basis? (Check one)

- 1. / / Yes
- 2. / / No (GO TO QUESTION 17)

15. To what extent, if any, has each of the following been an obstacle to filling positions on a timely basis? (Check one box per line)

	1) Very great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. State salary structure					
2. Ceilings on authorized staff levels					
3. Statewide freeze on all hirings					
4. Statewide personnel reductions					
5. State Civil Service procedures					
6. Limited recruiting efforts					
7. State residency requirement					
8. Availability of disciplines needed					
9. Perceived temporary nature of Federally supported positions					

16. In your opinion, what has been the major barrier to filling positions?

17. For the two year period ending December 31, 1978, please enter below: a. the approximate number of professional staff that have left your program voluntarily to take employment elsewhere; and, b. the approximate number of those who left who had three or more years of experience. (Enter numbers in spaces provided, if none, enter 0)

a. _____ Number who left

b. _____ Number who left with three or more years experience

18. If you have had professional staff leave during the past two years, what are the major reasons most often cited for leaving?

STATE MANAGEMENT ASSISTANCE GRANT

19. The Clean Water Act of 1977 declares that it is the policy of the Congress that the States manage the construction grant program and implement the NPDES and dredge and fill permit programs. Will your State take advantage of the State Management Assistance Grant (Section 205(g), Clean Water Act) to assume more responsibility for those programs? (Check one box per line)

	1) Definitely yes	2) Probably yes	3) Uncertain	4) Probably no	5) Definitely no
1. Construction grant program					
2. NPDES permit program					
3. Dredge and fill program					

19a. If uncertain or you do not plan to take advantage of the State Management Assistance Grant, why? (Please explain and GO TO QUESTION 22)

20. In your opinion, will the combined Federal funds available from Sections 106 and 205(g) of the CWA be sufficient to support the water pollution control programs you will be responsible for? (Check one)

- 1. Definitely yes
- 2. Probably yes } (GO TO QUESTION 22)
- 3. Uncertain }
- 4. Probably no
- 5. Definitely no

21. If the funding level is not sufficient or you are unsure, what program(s) will be underfunded?

EPA-STATE RELATIONSHIPS

22. Overall, how would you characterize your relationship with EPA regional staff? (Check one)

- 1. Very good
- 2. Good
- 3. Neither good nor bad
- 4. Poor
- 5. Very poor

22a. Why? (Please explain)

23. To what extent, if at all, do you feel the EPA headquarters' staff understands the problems you face as a State program director in administering your program? (Check one)

- 1. Very large extent
- 2. Substantial extent
- 3. Moderate extent
- 4. Some extent
- 5. Little or no extent

24. Overall, how does the current level of EPA headquarters' staff understanding of your problems impact on the effectiveness of your program? (Check one)

- 1. Significant positive impact
- 2. Positive impact
- 3. Little or no impact
- 4. Negative impact
- 5. Significant negative impact

25. To what extent, if any, has EPA monitoring of your performance under CWA assisted you in improving program performance? (Check one)

- 1. Very large extent
- 2. Substantial extent
- 3. Moderate extent
- 4. Some extent
- 5. Little or no extent

26. To what extent, if any, do you feel your viewpoint as a State program director is given adequate consideration in the following EPA processes? (Check one box per line)

	1) Very great extent	2) Substantial extent	3) Moderate or substantial extent	4) Some extent	5) Little or no extent
1. Regulation making process					
2. Policy making process					

27. Please enter the names of the organizations that you feel best represent your views to: a. the U.S. Congress; and, b. the EPA.

- a. U.S. Congress _____
- b. EPA _____

28. Name the organization(s) you are most likely to contact when you need information or assistance to carry out your program responsibilities:

OTHER

29. If you have any additional comments on any of the items in the questionnaire, or related topics not covered, please use the space below and additional pages, if necessary. Thank you for your cooperation in completing this questionnaire.

RESPONSES TO QUESTIONNAIRES

Question 6. To what extent, if at all, is each of the factors listed below an obstacle to managing your program to meet the objectives of CWA? (Check one box per line)

Total Responses: 45

	1) Very great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Deadlines imposed by Federal legislation	6	21	10	7	1
2. Availability of technology to support Federal legislation	3	16	14	7	5
3. Obtaining State enabling legislation	2	6	9	9	19
4. Time it takes to issue EPA regulations and guidelines	19	17	6	1	2
5. Amount of flexibility in current EPA regulations and guidelines	15	22	6	2	0
6. Clarity of current EPA regulations and guidelines	12	12	16	2	3
7. Time it takes EPA to respond to technical questions and interpret its regulations and guidelines	12	15	14	4	0
8. Quality of EPA response to technical questions and interpretation of its regulations and guidelines	5	10	17	9	4
9. Extent of controls imposed on the State by EPA	16	18	8	3	0
10. Philosophical differences between EPA and the State on program priorities and objectives	13	8	14	9	1
11. Amount of Federal funding to support program administration costs	10	13	13	7	2
12. Timing of Federal funding to support program administration costs	15	13	9	6	2
13. Knowledge of the the amount of future Federal funds to support State programs administration costs	4	21	7	3	0
14. Existing State policies to limit all program growth	6	10	12	6	11
15. Amount of State funding you receive to support program administration costs	8	12	10	10	5
16. Current level of Federal funds for municipalities to meet Federal environmental requirements	8	12	4	11	10
17. Number of staff in State program	9	14	9	10	3
18. Losses of experienced personnel	8	16	10	8	3
19. Ability to fill personnel vacancies	9	15	12	5	4
20. Current training programs available for State personnel	6	7	13	13	6
21. Split responsibility for environmental programs within State government	3	1	4	17	20
22. Current level of public support for environmental programs	1	2	13	13	16
23. Current level of Gubernatorial and State Legislative support for environmental programs	8	8	6	9	14

Question 8. Consider all provisions of the Clean Water Act that are applicable to your program. To date, has your State enacted necessary enabling legislation to implement all of those CWA provisions?

Yes (26)

RI	DE	KY	TN	TX	CO	HI
VT	MD	MS	IL	KS	ND	OR
NJ	VA	NC	IN	MO	WY	
NY	WV	SC	MI	NE	CA	

No (18)

ME	AL	OH	NM	UT	AK
NH	FL	WI	IA	AZ	ID
PA	MN	LA	MT	NV	WA

One State, OK, was uncertain.

Question 9. Please list below the provision for which you still need a State law and the date by which you expect that law to be passed.

Key: ND - No date given

- ME (a) NPDES, 10/79.
- NH (a) Reduction for States share for innovative treatment, 6/79.
- PA (a) Laboratory Certification, ND.
- AL (a) Section 402, 7/79.
- FL (a) NPDES authority, ND.
- MN (a) Spill Contingency Funding, 4/81; (b) Non-point source control, after 1/80.
- OH (a) Pretreatment, ND.
- WI (a) Revision to our Discharge Permit Law, 1979.
- LA (a) Section 208, ND; (b) Section 402, ND.
- NM (a) NPDES, don't expect passage.
- OK (a) Possibly fines for enforcement, ND.
- IA (a) Minor Grants Law changes, passage unlikely.
- MT (a) Section 404 Administration, don't recommend passage.
- UT (a) NPDES, 3/79.
- AZ (a) NPDES, 4/79.
- HI (a) Authorization to enforce our regulations in Federal facilities, within first 6 months of 1979.
- NV (a) ICR and Authority to reject waste not conforming to 206, 6/1/79; (b) Non-point source 6/1/79.
- ID (a) Higher penalties-NPDES, passage never expected; (b) Increased and specific non-point source control authorities, ND.
- WA (a) State law provided for 92-500 but not for amendments, legislature will consider updating State law in 1979.

Question 10. To what extent, if any, was or is each of the factors listed below an obstacle to the passage of enabling legislation in your State?

Key:

- 1 Very Great Extent
- 2 Substantial or Great Extent
- 3 Moderate Extent
- 4 Some Extent
- 5 Little or No Extent

<u>State</u>	<u>Current Amount Federal Funding</u>	<u>Probability Continued Federal Funding</u>	<u>Current EPA Regulations & Guidelines</u>	<u>State Philosophical Differences With Intent of Federal Legislation</u>	<u>State Resources Required To Implement and Administer the Program</u>
ME	5	5	5	4	4
NH	5	1	4	5	5
RI	5	5	2	2	2
VT	2	2	3	5	2
NJ	3	3	3	3	2
NY	5	5	5	5	5
DE	1	1	3	3	4
MD	4	1	3	4	1
PA	5	4	3	4	2
VA	2	2	3	3	3
AL	5	5	5	5	5
FL	5	1	4	3	1
KY	2	2	3	3	1
MS	5	5	5	5	5
NC	4	3	3	2	3
SC	5	5	5	5	5
TN	2	2	3	3	1
IL			----- Unknown -----		
IN	5	4	3	3	2
MI	4	4	4	4	2
MN	4	3	5	5	4
OH	1	1	2	2	3
WI	3	4	3	5	2
LA	3	2	2	2	2
NM	5	5	1	1	4
OK	5	1	5	5	5
TX	5	5	4	1	5
IA	3	2	2	3	1
KS	3	3	3	3	2
MO	2	1	2	2	1

<u>State</u>	<u>Current Amount Federal Funding</u>	<u>Probability Continued Federal Funding</u>	<u>Current EPA Regulations & Guidelines</u>	<u>State Philosophical Differences With Intent of Federal Legislation</u>	<u>State Resources Required to Implement and Administer the Program</u>
NE	5	4	4	4	4
CO	2	1	3	4	1
MT	2	1	2	1	1
ND	5	3	3	4	3
UT	2	2	2	2	2
WY	1	2	4	2	1
AZ	5	3	1	1	4
HI	3	2	4	3	2
NV	4	3	5	5	2
AK	2	2	1	2	2
ID	3	4	5	1	2
OR	4	4	4	2	1

Question 11. In your opinion, what has been the major barrier, if any, to passage of State enabling legislation?

ME None.
NH None.
RI Required State resources.
VT Reliability of Federal funding.
NJ Resource committment without Federal funds.
NY Not applicable.
DE No resp
MD Probability of cont
have to bear cost of the program if Federal support is removed.
PA Lack of constituency within and outside State legisla-
ture willing to support the need for such legislation.
VA Opposition to Federal mandated programs without Federal
monies.
WV Not applicable; have not had any problems in getting
enabling legislation.
AL No response.
FL No new program and personnel (government growth).
KY Lack of education on part of legislature.
MS Inability of EPA legal staff to define concretely needed
changes in State law.
NC Philosophical differences.
SC None.
TN The experiece gained by the St
dollars (too late) demands (2x) service and the best
result that can be achieved is (1/2 x).
IL No response.
IN State resources required to implement and administer
the program.
MI No response.
MN Unaware of Federal requirements and lack of tr
Federal support for Federally inspired programs.
OH Other priorities.
WI National uniformity and State desire to impose no stric-
ter requirements than to protect local industries.
LA Needs for enabling legislation is unclear.
NM State philosophical differences.
OK Fear Federal funds.
TX No response.
IA Lack of State commitment and Federal definition of pro-
gram.
KS Poor communications at a political level on the concept
of complimentary State/Federal efforts. States are con-
cerned by costs of Federally mandated efforts.

MO Fear of requirement for State to fully fund an environmental program that was Federally initiated.

NE Legislators with little environmental interest - fear that agricultural and rural constituents will be impacted.

CO Legislature feels Federal legislation should be implemented by the Feds.

MT Too much State and Federal control.

ND No response.

UT Reaction to EPA imposed, legislative and regulatory requirements.

WY No response.

AZ Water rights issue.

CA Not Applicable.

HI Necessary financial structure to implement the legislation (budgetary constraints).

NV The major question in passage of existing Act was cost to State. The only substantial needed legislation is for non-point source control. The two main questions relative to NPS legislation is cost and fear of providing for additional Federal intervention.

AK State unwilling to accept parts of the package not useful (in fact not in best environmental interests) for Alaska.

ID Legislature doesn't see the need and lack of available resources.

OR Lack of flexibility - blind pursuit of a national mediocracy.

WA We have not had a major problem. Enabling legislation was passed so that the State could carry out primary role.

Question 12. Please provide the following information regarding the number of pr your CNA pro

Question 13. In total, how many autho do you expect your program to have by October 1, 1979.

Key:

- PA Positions Authorized
- PF Positions Filled
- NR No Response

Note: All numbers have been rounded

State	Total Number		Number 100% State Funding		Number 100% Federal Funding		Number Jointly Funded		Number Positions Expected BY October 1, 1979	
	PA	PF	PA	PF	PA	PF	PA	PF	PA	PF
ME	80	78	48	47	32	31	0	0	100	
NH	183	148	101	99	82	49	0	0		
RI	33	28	11	11	22	17	0	0		
VT	46	42	0	0	0	0	46	42	52	
NJ	345	325	0	0	0	0	345	325	345	
NY	323	286	201	167	122	119	0	0	494	
DE	112	95	47	46	65	48	1	1	75	
MD	84	79	59	55	25	24	0	0	104	
PA 1/	250	238	0	0	0	0	250	238	317	
VA	224	212	0	0	12	9	212	203	224	
WV	89	80	42	41	47	39	0	0	122	
AL 2/	83	42	0	0	0	0	83	42	46	

1/ These numbers are in staff years.

2/ Includes all staff, i.e. not only professional.

State	Question 12						Question 13		Number Positions Expected BY October 1, 1979
	Total Number		Number 100% State Funding		Number 100% Federal Funding		Number Jointly Funded		
	PA	PF	PA	PF	PA	PF	PA	PF	
FL	319	270	NR	NR	29	28	290	242	319
KY	154	134	0	0	31	11	123	123	154
MS	31	23	0	0	6	0	25	23	31
NC	95	91	40	38	55	53	0	0	95
SC	131	123	85	82	45	40	1		
TN	198	187	0	0	0	0	198	187	170
IL	191	171	NR	0	NR	58	0	113	210
IN	107	88	0	0	0	0	107	88	110
MI	140	130	0	0	0	0	140	130	140
MN	122	116	76	74	46	42	0	0	116
OH	130	118	0	0	0	0	130	118	120
WI	250	175	110	96	140	79	0	0	250
LA	39	37	0	0	0	0	39	37	39
NM	36	34	2	0	0	0	34	34	36
OK	63	63	0	0	0	0	63	63	78
TX	329	283	0	0	74	47	255	236	372
IA	54	45	0	0	0	0	54	45	50
KS	65	63	0	0	0	0	65	63	75
MO	23	19	0	0	2	2	21	17	47
NE	48	44	1	1	2	2	45	41	58
CO	24	19	13	NR	4	NR	8	NR	41
MT	22	21	0	0	0	0	22	21	28
ND	18	17	0	0	0	0	18	17	24
UT	25	25	15	15	10	10	0	0	30
WY	23	22	1	1	2	1	20	20	37
AZ	24	20	8	8	16	12	0	0	24
CA	492	428	215	187	277	241	0	0	458
HI	31	31	NR	NR	NR	NR	NR	NR	31
NV	10	10	0	0	4	4	6	6	13
AK	45	43	33	33	6	4	6	6	51
ID	58	43	0	0	17	5	41	38	56
OR	58	53	33	36	16	14	4	3	58
WA	77	72	54	52	23	20	0	0	77

Question 14. Have you had any difficulties filling authorized positions on a timely basis?

Yes (41)

ME	NJ	PA	FL	SC	MI	LA	MO
NH	NY	VA	KY	TN	MN	NM	NE
RI	DE	WV	MS	IL	OH	OK	CO
VT	MD	AL	NC	IN	WI	IA	MT
WY	AZ	CA	HI	NV	AK	ID	OR
WA							

No (4)

TX	KS	ND	UT
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Question 15. To what extent, if any, has each of the following been an obstacle to filling positions on a timely basis?

Key:

- 1 Very Great Extent
- 2 Substantial or Great Extent
- 3 Moderate Extent
- 4 Some Extent
- 5 Little or No Extent

4-18

<u>State</u>	<u>State Salary</u>	<u>Ceilings on Authorized Staff</u>	<u>State-Wide Freeze</u>	<u>State-Wide Personnel Reductions</u>	<u>State Civil Service Procedures</u>	<u>Limited Recruiting Efforts</u>	<u>State Residency Requirement</u>	<u>Availability of Disciplines Needed</u>	<u>Perceived Temporary Nature of Federally Supported Positions</u>
ME	1	5	5	5	3	3	5	1	5
NH	2	5	5	5	5	5	5	2	1
RI	1	3	5	5	5	5	1	1	3
VT	2	5	5	5	5	5	5	3	3
NJ	1	4	5	5	1	1	5	5	5
NY	3	4	4	5	5	4	5	4	5
DE	3	5	5	5	1	5	5	3	3
MD	2	2	3	5	2	3	5	2	3
PA	4	1	3	5	1	4	4	4	1
VA	2	3	4	5	5	5	5	3	3
WV	1	5	5	5	1	5	5	1	5
AL	1	5	5	5	2	3	5	5	5
FL	1	3	4	5	1	4	5	2	4
KY	1	3	5	5	3	1	2	1	1
MS	2	5	5	5	4	5	5	5	5
NC	2	5	4	5	5	5	5	3	4
SC	1	5	5	5	1	3	5	1	4

<u>State</u>	<u>State Salary</u>	<u>Ceilings on Authorized Staff</u>	<u>State-Wide Freeze</u>	<u>State-Wide Personnel Reductions</u>	<u>State Civil Service Procedures</u>	<u>Limited Recruiting Efforts</u>	<u>State Residency Requirement</u>	<u>Availability of Disciplines Needed</u>	<u>Perceived Temporary Nature of Federally Supported Positions</u>
TN	1	1	1	2	2	2	3	1	2
IL	1	4	4	5	5	5	5	3	5
IN	2	3	5	5	4	4	5	2	3
MI	5	1	3	5	4	5	5	2	5
MN	5	5	4	5	3	4	5	5	5
OH	2	2	2	4	2	5	5	5	5
WI	2	3	5	5	2	3	5	4	4
LA	2	2	2	2	2	2	5	4	2
NM	3	2	2	5	2	2	5	3	3
OK	1	4	5	5	5	5	5	5	5
IA	1	3	3	5	2	1	5	1	1
MO	1	3	5	5	1	3	5	2	4
NE	1	4	5	5	5	4	5	3	5
CO	4	2	5	5	2	2	1	3	3
MT	2	1	1	4	2	3	2	1	4
WY	1	2	5	5	5	5	5	2	5
AZ	1	5	5	5	2	2	5	4	4
CA	3	5	2	2	4	5	5	5	3
HI	2	2	2	4	1	3	2	4	5
NV	2	5	5	5	4	5	1	1	3
AK	4	5	5	5	1	4	3	3	4
ID	1	1	5	5	2	4	5	4	2
OR	2	4	1	4	1	2	5	3	1
WA	2	5	5	5	2	2	5	2	2

Question 16. In your opinion, what has been the major barrier to filling positions?

- ME (a) Salary structure.
- NH (a) Perceived temporary nature of Federally supported positions; (b) Availability of disciplines needed; (c) State salary structure.
- RI (a) Lack of qualified persons available with residency requirement.
- VT (a) Low salary paid by the State.
- NJ (a) Low salaries; (b) Civil Service System.
- NY (a) Availability of qualified personnel because of inadequate salary.
- DE (a) Salary levels in professional ranks; (b) Lack of necessary experience.
- MD (a) State requires contractual employment, no benefits; (b) State ceiling on new positions including federal.
- PA (a) Existing - State Civil Service procedures; (b) New lack of authority to increase complement.
- VA (a) State salary structure not competitive for engineers and experienced persons.
- WV (a) State salary structure; (b) Civil Service procedures; (c) Perceived temporary nature of Federally supported positions.
- AL (a) Salary structure for engineers; (b) Personnel procedures.
- FL (a) Salary; (b) Regulatory nature of organization; (c) Civil Service procedures; (d) Lack of career ladder; (e) Adversary atmosphere.
- KY (a) Starting salaries of professional staff.
- MS (a) Salary structures.
- NC (a) Lack of available applicants with required experience and education.
- SC (a) Inadequate State salary; cannot compete with Federal and private salary; (b) Lack of trained personnel in this specialized field.
- TN (a) State salary.
- IL (a) Lack of competitive salary structure relative to Federal and private.
- IN (a) Shortage of trained personnel; (b) Federal pay scales generally much above State scales; (c) Ability of consultants to pay above market prices for personnel.
- MI (a) Availability of experienced engineers.
- MN (a) Inability of EPA to provide funds at the time planned for; this affects existing positions with end dates as well as new positions.

OH (a) Withholding of Federal share of program funds.

WI (a) High salary offerings by consultants competing for limited supply of engineers.

LA (a) Salary offering; (b) Availability of (lack) of some professional disciplines.

NM (a) State personnel office.

OK (a) No response.

TX (a) Graduate engineers are in short supply. This slows hiring process but has not had a major impact on the program.

IA (a) Lack of sufficient long-term funding; (b) Difficulty in finding engineering and planning expertise at State salaries.

KS (a) Salary.

MO (a) State merit system administrative process; (b) Archaic and low salaries.

NE (a) Salary levels for engineer positions; (b) Lack of potential for upward mobility in other positions.

CO (a) Bad press on government employees; (b) Availability of disciplines in professional fields; (c) Slowness of personnel system.

MT (a) Unavailability of personnel with qualifications needed; (b) Salary.

WY (a) Level of salaries in environmental engineer categories established by State Personnel Division.

AZ (a) State personnel procedures

CA (a) Competitive salary structure with Federal and local governments and private firms; (b) State hiring freeze; (c) Uncertainty regarding level and availability of Federal funding.

HI (a) State of Hawaii Civil Service hiring procedures.

NV (a) Salary; (b) Availability of qualified personnel in the State.

AK (a) Getting approval of personnel through State system; (b) State hiring procedures.

ID (a) State salary structure.

OR (a) EPA pays substantially more money for comparable positions than States do.

WA (a) Salary for engineer classes too low.

Question 17. For the two year period ending December 31, 1978, please enter below: a. the approximate number of professional staff that have left your program voluntarily to take employment elsewhere; and b. the approximate number of those who left who had three or more years of experience.

Question 18. If you have had professional staff leave during the past two years what are the major reasons most often cited for leaving?

Key: NR - No Response

<u>State</u>	<u>Question 17</u>		<u>Question 18</u>
	<u>Number Who Left</u>	<u>With 3 Years Experience</u>	<u>Reasons Cited for Leaving</u>
ME	8	6	(a) Salary; (b) Lack of Promotion opportunity.
NH	12	11	(a) Lack of advancement potential.
RI	3	3	(a) Better paying jobs; (b) Fed up with paperwork and requirements by EPA.
VT	NR	NR	(a) Salary; (b) Increased paperwork.
NJ	100	70	(a) Salary increase; (b) Promotional opportunities.
NY	5	2	(a) Higher salary.
DE	9	7	(a) Better salary; (b) More responsibility.
MD	15	12	(a) Higher salary; (b) Get outside bureaucratic environment.
PA	27	19	(a) Consultant type work; (b) Higher pay.
VA	43	39	(a) Pay; (b) Advancement (college graduates gain experience with agency and are able to obtain more pay from Federal government and consulting engineers).
WV	16	12	(a) Low salary.
AL	14	8	(a) Salary.
FL	55	NR	(a) Money; (b) Professional development.
KY	36	10	(a) Salary.
MS	5	1	(a) Money.

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<u>State</u>	<u>Number Who Left</u>	<u>With 3 Years Experience</u>	<u>Reasons Cited for Leaving</u>
NC	26	26	(a) Higher salaries; (b) Better opportunities in private sector and Federal government.
SC	24	10	(a) More money; (b) Experience in other areas; (c) Tired of being regulator; (d) Family related - personal.
TN	50	10	(a) State salary; (b) Intervention and lack of support by higher State officials.
IL	63	24	(a) Obtain more money; (b) Advancement greater elsewhere.
IN	20	12	(a) Higher pay; (b) Less paperwork; (c) Desire to make decisions not subject to veto by EPA.
MI	5	5	(a) Career improvement; b) Higher pay; (c) Dissatisfaction with job.
MN	20	4	(a) More money, experience and security.
OH	30	25	(a) Better pay in private industry or other programs within OEPA.
WI	43	24	(a) Salary; (b) Promotional opportunity.
LA	12	3	(a) Higher salary offerings; (b) Better working conditions.
NM	5	5	(a) Returning to school.
OK	6	3	(a) Higher salary; (b) Greater prospects for advancement.
TX	138	NR	(a) Better jobs; (b) To go into business for themselves.
IA	15	5	(a) While salary is a major issue frustration with complex and changing requirements and working with short staff are as often stated and likely more critical.
KS	12	6	(a) Salary; (b) Desire to obtain professional experience in consulting engineering.
MO	7	3	(a) No pattern -- but inadequate career ladder with appropriate salaries is major concern.

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<u>State</u>	<u>Number Who Left</u>	<u>With 3 Years Experience</u>	<u>Reasons Cited For Leaving</u>
NE	10	5	(a) Better pay; (b) Better opportunity to advance.
CO	10	4	(a) Better pay; (b) Greater opportunity for advancement.
MT	9	5	(a) Salary; (b) To enter consultant engineering field; (c) Too much paperwork.
ND	2	1	(a) Higher salary offer.
UT	2	2	(a) Higher pay.
WY	3	2	(a) Higher salaries.
AZ	7	4	(a) Salary.
CA	155	78	(a) Better pay; (b) Job challenge (professional growth); (c) Promotional opportunities.
HI	2	2	(a) Took jobs with municipalities at an increase of salary.
NV	5	2	(a) All cases involved substantial salary increases and career objectives. They thoroughly enjoyed working for State but the two reasons stated above could not be ignored.
AK	3	3	(a) To broaden interests in other areas of department; (b) Dissatisfaction with paperwork; (c) Lack of "hands on" engineering.
ID	6	6	(a) Salaries; (b) Lack of advancement opportunities.
OR	10	10	(a) Frustration with Federal requirements that cannot be explained or justified to the regulated source.
WA	NR	NR	(a) Salary increases.

Question 19. The Clean Water Act of 1977 declares that it is the policy of Congress that the States manage the construction grant program and implement the NPDES and dredge and fill permit programs. Will your State take advantage of the State Management Assistance Grant (Section 205 (g), Clean Water Act) to assume more responsibility for those programs?

Key:
 DY Definitely Yes
 PY Probably Yes
 U Uncertain
 PN Probably No
 DN Definitely No

Question 19a. If uncertain, or you do not plan to take advantage of the State Management Assistance Grant, why?

State	Question 19			Question 19a
	Construction Grant Program	NPDES Permit Program	Dredge & Fill Program	Uncertain or Do Not Plan to Take Advantage of State Management Assistance Grant
ME	DY	DY	PN	Funds for program should not reduce the inadequate funds available for construction grants. More abatement is accomplished with construction grants than increasing support of program.
NH	DY	DN	PN	
RI	U	PN	PY	
VT	DY	DY	PN	
NJ	PY	U	U	
NY	DY	DY	PN	
DE	PY	DY	U	
MD	DY	DY	PN	
PA	DY	DY	U	
VA	DY	U	PN	
WV	DY	DY	PN	
AL	DY	DY	U	

State	Question 19.			Question 19a	
	Construction Grant Program	NPDES Permit Program	Dredge & Fill Program	Uncertain or Do Not Plan to Take Advantage of State Management Assistance Grant	
FL	U	U	PN	State salary and personnel problems and legislative and gubernatorial disapproval of State government growth.	
KY	PY	PY	PY		
MS	DY	DY	DY		
NC	PY	PY	U		
SC	DY	DY	PN		
TN	PY	DY	PN		
IL	DY	DY	U		
IN	PN	PN	PN	Regional office advises we can't effectively manage program in accordance with Federal requirements.	
MI	DY	DY	PY	Strings attached by EPA.	
MN	PY	DY	PN		
OH	DY	U	U		
WI	DY	DY	U		
LA	PY	U	U		
NM	U	U	U		
OK	DY	PY	PY		
TX	DY	DY	U		
IA	U	DY	U		We want to handle what we have before taking on <u>any</u> new programs.
KS	DY	Have it	U		
MO	DY	PY	PN		
CO	DY	DY	U		
NE	DY	DY	DY		
MT	PY	already delegated	PN		
ND	DY	DY	PN		
UT	DY	PY	U		
WY	U	DY	PY	Requires authorization of 1979 legislature.	
AZ	DY	PY	U	It is unacceptable to take needed construction funds from cities to create a bigger bureaucracy at the State and Federal level.	
CA	DY	DN	DN		
HI	DY	DY	PN		
NV	DY	DY	U		
AK	DY	PY	U		
ID	DY	PN	U		
OR	PN	PN	DN		
WA	DY	DY	DY		

Question 20. In your opinion, will the combined Federal funds available from Sections 106 & 205 (g) of the CWA be sufficient to support the water pollution control programs you will be responsible for?

Definitely Yes (4)

ME RI NE AZ

Probably Yes (11)

NH NC KS MT HI WA
MS TX MO ND NV

Uncertain (6)

VT VA MN
PA IN LA

Probably No (11)

NY WV IL OH CO AK
MD KY MI OK UT

Definitely No (9)

NJ SC WI WY ID
DE TN IA CA

Not Applicable (4)

AL FL NM OR

Question 21. If the funding level is not sufficient or you are unsure, what program(s) will be underfunded?

VT Full workload not known until experience gained as to how much EPA will require.

NJ NPDES and Dredge and Fill (proposing to charge fees for permits).

NY Permit administration, particularly related to toxic substances control; water quality monitoring; 208 area-wide planning; 115 in-place pollutants.

DE Almost all programs suffer to some extent - compromises are made.

MD Pretreatment - Wasteload allocation; 208.

PA State input into program not kept pace with inflation plus rumblings that OMB wants to eliminate 106 funding. If Feds drop funds, State will drop programs.

WV Section 106.

KY NPDES permitting; monitoring.

SC Pretreatment; extensive work on toxic controls; analytical work; facility monitoring; environmental analysis; model verification; cause and effect analysis.

TN All.

IL 106 - permits/enforcement.

IN Unable to determine what, if any, 205(g) funds may be available. Without these, definitely no.

MI New pretreatment program under NPDES, no additional funds for this.

OH Pretreatment and toxics.

WI Pretreatment and compliance monitoring.

OK "Gut feeling"--EPA always demands more of us than we have the resources to provide.

IA 106 is clearly underfunded, 205(g) will diminish with grants drop, but staffing can't fluctuate as easily.

KS Difficult to forecast either the cost of program administration, or the amount available through Section 205.

CO Enforcement and planning.

UT Possibly NPDES, pretreatment, operations and maintenance.

WY All except 205(g) CMAG Program.

CA Wastewater facility construction program, NPDES permits, clean lakes, underground injection control, dredge and fill, surveillance and monitoring and pretreatment.

AK NPDES; and D/F, if we take it on. Also - 205 is only for limited time.

ID Effluent limitations development, surveillance, operator training, non-point source control, compliance monitoring.

Question 22. Overall how would you characterize your relationship with EPA regional staff?

	<u>Number of States Responding</u>
Very Good	5
Good	26
Neither Good nor Bad	12
Poor	1
Very Poor	1

Question 23. To what extent, if at all, do you feel the EPA headquarters' staff understands the problems you face as a State program director in administering your program?

	<u>Number of States Responding</u>
Very Large Extent	0
Substantial Extent	2
Moderate Extent	5
Some Extent	15
Little or No Extent	23

Question 24. Overall, how does the current level of EPA headquarters' staff understanding of your problems impact on the effectiveness of your program?

	<u>Number of States Responding</u>
Significant Positive Impact	0
Positive Impact	3
Little or No Impact	6
Negative Impact	20
Significant Negative Impact	15
Varies	1

Question 25. To what extent, if any, has EPA monitoring of your performance under CWA assisted you in improving program performance?

	<u>Number of States Responding</u>
Very Large Extent	0
Substantial Extent	1
Moderate Extent	7
Some Extent	14
Little or No Extent	23

Question 26. To what extent, if any, do you feel your viewpoint as a State program director is given adequate consideration in the following EPA processes?

	<u>Regulation Making Process</u>	<u>Policy Making Process</u>
Very Great Extent	0	0
Substantial or Great Extent	1	1
Moderate Extent	5	4
Some Extent	12	7
Little or No Extent	27	33

Question 27. Please enter the names of the organizations that you feel best represent your views to: a. the U.S. Congress and b. the EPA.

<u>Organization</u>	<u>U.S. Congress</u>	<u>EPA</u>
Association of State & Interstate Water Pollution Control Administrators (ASIWPCA)	26	33
National Governors Association (NGA)	9	6
Water Pollution Control Federation (WPCF)	8	8
State Congressional Delegation	5	1
EPA Region	0	2
None	1	1
Other (Organizations named only once)	13	11
No Response	2	1

Note: Responses are not additive due to multiple responses by States.

Question 28. Name the organization(s) you are most likely to contact when you need information or assistance to carry out your program responsibilities.

<u>Organization</u>	<u>Number of States Responding</u>
Association of State & Interstate Water Pollution Control Administrators (ASIWCA)	21
EPA	10
EPA Region	10
Water Pollution Control Federation (WPCF)	4
Other States	4
National Governors' Association (NGA)	4
None	1
Other (Organizations named only once)	11
No Response	2

Note: Responses are not additive due to multiple responses by States.



SECTION 5

DIRECTORS OF STATE IMPLEMENTATION
OF THE FEDERAL INSECTICIDE, FUNGICIDE,
AND RODENTICIDE ACT
QUESTIONNAIRE RESPONSES

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RESPONSES TO THE SURVEY OF
STATE IMPLEMENTATION OF THE
FEDERAL INSECTICIDE, FUNGICIDE
AND RODENTICIDE ACT

STATES RESPONDING (46)

Alabama	AL	Nevada	NV
Alaska	AK	New Hampshire	NH
Arkansas	AR	New Jersey	NJ
California	CA	New Mexico	NM
Connecticut	CT	New York	NY
Delaware	DE	North Carolina	NC
Florida	FL	North Dakota	ND
Georgia	GA	Ohio	OH
Hawaii	HI	Oklahoma	OK
Illinois	IL	Oregon	OR
Indiana	IN	Pennsylvania	PA
Iowa	IA	Rhode Island	RI
Kansas	KS	South Carolina	SC
Kentucky	KY	South Dakota	SD
Louisiana	LA	Tennessee	TN
Maine	ME	Texas	TX
Maryland	MD	Utah	UT
Massachusetts	MA	Vermont	VT
Michigan	MI	Virginia	VA
Minnesota	MN	Washington	WA
Mississippi	MS	West Virginia	WV
Missouri	MO	Wisconsin	WI
Montana	MT	Wyoming	WY

U.S. GENERAL ACCOUNTING OFFICE

Survey Of State Implementation Of
The Federal Insecticide, Fungicide
And Rodenticide Act



General Instructions

The U.S. General Accounting Office is studying the problems faced by the States in implementing and administering Federal environmental programs. The purpose of this questionnaire is to obtain information on your program(s) and to determine the significance of the problems State environmental program managers face. We are sending similar questionnaires to the directors of the air pollution control, drinking water, pesticides, solid waste and water pollution control programs in all 50 States as well as to the administrator of each State's environmental agency.

While the questions that follow are based largely on our discussions with program officials in seven States, we have attempted to provide a format that will be readily adaptable to all States. If you feel that the format of any question does not fit your situation, please add the necessary explanatory notes. Moreover, feel free to make any additional comments on your program, this questionnaire, or related topics.

If you have any questions, please call Donald Hunter at (617) 223-6536.

After completing the questionnaire please return it in the self-addressed postage paid envelope by January 19, 1979.

NOTE: Throughout this questionnaire, EPA refers to the Federal Environmental Protection Agency.

Thank you for your cooperation.

RESPONDENT INFORMATION:

1. Please provide the name, title and telephone number of the person completing this questionnaire.
NAME: _____
TITLE: _____
TELEPHONE: _____ (Area Code) Number
2. Other than administering the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA), are you responsible for managing any other programs? (Check one)
1. <input type="checkbox"/> No (GO TO QUESTION 4)
2. <input type="checkbox"/> Yes
3. About what percent of your time is devoted to administering the FIFRA program? (Enter percent) _____ %
4. As Director, what type of position do you hold? (Check one)
1. <input type="checkbox"/> Elective
2. <input type="checkbox"/> Appointed by the Governor
3. <input type="checkbox"/> Appointed by the Department or Agency head
4. <input type="checkbox"/> Civil service
5. <input type="checkbox"/> Other (Please specify) _____
5. How long have you held your current position? (Enter years/months)
_____ years _____ months

MANAGEMENT OF FEDERAL ENVIRONMENTAL PROGRAMS

6. To what extent, if at all, is each of the factors listed below an obstacle to managing your program to meet the objectives of FIFRA? (Check one box per line)

	1) Very great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Deadlines imposed by Federal legislation					
2. Availability of technology to support Federal legislation					
3. Obtaining State enabling legislation					
4. Time it takes to issue EPA regulations and guidelines					
5. Amount of flexibility in current EPA regulations and guidelines					
6. Clarity of current EPA regulations and guidelines					
7. Time it takes EPA to respond to technical questions and interpret its regulations and guidelines					
8. Quality of EPA response to technical questions and interpretation of its regulations and guidelines					
9. Extent of controls imposed on the State by EPA					
10. Philosophical differences between EPA and the State on program priorities and objectives					
11. Amount of Federal funding to support program administration costs					
12. Timing of Federal funding to support program administration costs					
13. Knowledge of the the amount of future Federal funds to support State programs administration costs					
14. Existing State policies to limit all program growth					
15. Amount of State funding you receive to support program administration costs					
16. Current level of Federal funds for municipalities to meet Federal environmental requirements					
17. Number of staff in State program					
18. Losses of experienced personnel					
19. Ability to fill personnel vacancies					
20. Current training programs available for State personnel					
21. Split responsibility for environmental programs within State government					
22. Current level of public support for environmental programs					
23. Current level of Governorial and State legislative support for environmental programs					

7. Please list below three factors you feel currently have the greatest negative impact on your program.

a. _____

b. _____

c. _____

LEGISLATION

8. Which of the following best describes the status of enabling legislation to implement FIFRA in your State? (Check one and enter date)
1. Legislation enacted (Date) _____
2. Legislation not enacted but anticipated by (Date) _____
3. Legislation not enacted and not anticipated
9. To what extent, if any, was or is each of the factors listed below an obstacle to the passage of enabling legislation in your State? (Check one box for each)

	1) Very great	2) Substantial	3) Moderate	4) Little or no
1. Current amount of Federal funding				
2. Probability of continued Federal funding support				
3. Current EPA regulations and guidelines				
4. State philosophical differences with intent of Federal legislation				
5. State resources required to implement and administer the program				

10. In your opinion, what has been the major barrier, if any, to passage of State enabling legislation? (Please explain)
- _____
- _____

STATE ACCEPTANCE OF PROGRAM RESPONSIBILITY

11. Does your State have an approved State plan under Section 4 of FIFRA? (Check one)
1. Yes (GO TO QUESTION 14)
2. No

12. To what extent, if any, has each of the following impeded your preparation and submission of a plan to EPA for approval? (Check one box for each)

	1) Very great	2) Substantial	3) Moderate	4) Little or no
1. Current amount of Federal funding				
2. Probability of continued Federal funding support				
3. Current EPA regulations and guidelines				
4. State philosophical differences with intent of Federal legislation				
5. State resources required to implement and administer the program				

13. In your opinion what has been the primary reason your State has not submitted a State plan to EPA for approval?
- _____
- _____

14. Which of the following best describes your situation in entering into a cooperative enforcement agreement with EPA? (Check one)

1. Currently have a cooperative enforcement agreement (GO TO QUESTION 15)
2. Had a cooperative enforcement agreement, but did not renew (GO TO QUESTION 16)
3. Have never had a cooperative enforcement agreement (GO TO QUESTION 18)

15. Overall, in your opinion, to what extent are you satisfied or dissatisfied with the implementation of this agreement? (Check one, then GO TO QUESTION 20)

1. Extremely satisfied
2. Satisfied
3. Neither satisfied or dissatisfied
4. Dissatisfied
5. Extremely dissatisfied

16. Overall, to what extent, if any, has each of the following deferred you from entering into another cooperative enforcement agreement with EPA? (Check one box for each)

1. Current amount of Federal funding				
2. Probability of continued Federal funding support				
3. Current EPA regulations and guidelines				
4. State philosophical differences with intent of Federal legislation				
5. State resources required to implement and administer the program				

17. In your opinion, what is the primary reason your State did not enter into another cooperative enforcement agreement with EPA? (Please explain and GO TO QUESTION 20)

20. Under the 1978 FIFRA amendments, will your State assume primary enforcement responsibility for pesticide use violations? (Check one)

- 1. Definitely yes
- 2. Probably yes
- 3. Unsure
- 4. Probably no
- 5. Definitely no

21. How much positive or negative impact has each of the following had on your FIFRA program? (Check one box for each)

1. Lack of pesticide disposal regulations				
2. Lack of Section 5.F regulations				
3. Late publication by EPA of restricted pesticides list				

18. Overall, to what extent, if any, has each of the following deferred you from entering into a cooperative enforcement agreement? (Check one box for each)

1. Current amount of Federal funding				
2. Probability of continued Federal funding support				
3. Current EPA regulations and guidelines				
4. State philosophical differences with intent of Federal legislation				
5. State resources required to implement and administer the program				

19. In your opinion what is the primary reason your State did not enter into a cooperative enforcement agreement? (Please explain)

22. To what extent do you agree or disagree with the provision in the 1978 FIFRA amendments which gives States the authority to approve pesticides to meet special local needs? (Check one)

- 1. Strongly agree
- 2. Agree
- 3. Unecided
- 4. Disagree
- 5. Strongly disagree

PROGRAM RESOURCES

23. Please provide the following information regarding the number of professional positions in your IIFRA program as of January 1, 1979. (Enter numbers in space provided; if none, enter 0)

	Positions Authorized	Positions Filled
Total number
Number 100% State funding
Number 100% Federal funding
Number jointly funded

24. In total, how many authorized professional positions do you expect your program to have by October 1, 1979? (Enter total number of positions)

..... Number positions

25. Have you had any difficulties filling authorized positions on a timely basis? (Check one)

- 1. / / Yes
- 2. / / No (GO TO QUESTION 28)

26. To what extent, if any, has each of the factors listed below been an obstacle to filling positions on a timely basis? (Check one box per line)

	1) Very great extent	2) Substantial or great extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. State salary structure					
2. Ceilings on authorized staff levels					
3. Statewide freeze on all hirings					
4. Statewide personnel reductions					
5. State Civil Service procedures					
6. Limited recruiting efforts					
7. State residency requirement					
8. Availability of disciplines needed					
9. Perceived temporary nature of Federally supported positions					

27. In your opinion, what has been the major barrier to filling positions?

.....

28. For the two-year period ending December 31, 1978, please enter below: a. the approximate number of professional staff that have left your program voluntarily to take employment elsewhere; and, b. the approximate number of those who left who had three or more years of experience. (Enter numbers in spaces provided; if none, enter 0)

- a. Number who left
- b. Number who left with three or more years experience

29. If you have had professional staff leave during the past two years what are the major reasons most often cited for leaving?

.....

30. Have you had to terminate employees because Federal funds were awarded late? (Check one)

- 1. No (GO TO QUESTION 31)
- 2. Yes

31. How many employees were terminated because of the late award of Federal funds and how did this impact on your program? (Provide number and briefly describe impact)

.....

32. If applicator certification program grant money expires and is not renewed, what action is your State most likely to take? (Check one)

- 1. Terminate the program entirely
- 2. Continue the program on a limited basis
- 3. Continue the program with State funds
- 4. Other (please explain) _____

EPA-STATE RELATIONSHIPS

33. Overall, how would you characterize your relationship with EPA regional staff? (Check one)

- 1. Very good
- 2. Good
- 3. Neither good nor bad
- 4. Poor
- 5. Very poor

33a. Why? (Please explain)

34. To what extent, if at all, do you feel the EPA headquarters' staff understands the problems you face as a State program director in administering your program? (Check one)

- 1. Very large extent
- 2. Substantial extent
- 3. Moderate extent
- 4. Some extent
- 5. Little or no extent

35. Overall, how does the current level of EPA headquarters' staff understanding of your problems impact on the effectiveness of your program? (Check one)

- 1. Significant positive impact
- 2. Positive impact
- 3. Little or no impact
- 4. Negative impact
- 5. Significant negative impact

36. To what extent, if any, has EPA monitoring of your performance under FIFRA assisted you in improving program performance? (Check one)

- 1. Very large extent
- 2. Substantial extent
- 3. Moderate extent
- 4. Some extent
- 5. Little or no extent

37. To what extent, if any, do you feel your viewpoint as a State program director is given adequate consideration in the following EPA processes? (Check one box per line)

	1) Very great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Regulation making process					
2. Policy making process					

38. Please enter the names of the organizations that you feel best represent your views to:

- a. U.S. Congress _____
- b. EPA _____

39. Please enter below the name of the organization(s) you are most likely to contact when you need information or assistance to carry out your program responsibilities.

OTHER

40. If you have any additional comments on any of the items in the questionnaire, or related topics not covered, please use the space below and additional pages if necessary. Thank you for your cooperation in completing this questionnaire.

RESPONSES TO QUESTIONNAIRES

Question 6. To what extent, if at all, is each of the factors listed below an obstacle to managing your program to meet the objectives of FIFRA?

Total Response: 46

	1) Very Great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Deadlines imposed by Federal legislation	4	7	14	9	12
2. Availability of technology to support Federal legislation	2	10	15	11	8
3. Obtaining State enabling legislation	3	4	9	11	19
4. Time it takes to issue EPA regulations and guidelines	22	14	3	5	2
5. Amount of flexibility in current EPA regulations and guidelines	6	16	13	9	2
6. Clarity of current EPA regulations and guidelines	6	15	10	11	4
7. Time it takes EPA to respond to technical questions and interpret its regulations and guidelines	12	13	10	8	3
8. Quality of EPA response to technical questions and interpretation of its regulations and guidelines	4	9	13	11	9
9. Extent of controls imposed on the State by EPA	5	11	15	12	3
10. Philosophical differences between EPA and the State on program priorities and objectives	12	11	9	8	6
11. Amount of Federal funding to support program administration costs	5	6	13	9	13
12. Timing of Federal funding to support program administration costs	7	10	10	7	12
13. Knowledge of the the amount of future Federal funds to support State programs administration costs	19	9	12	3	3
14. Existing State policies to limit all program growth	3	7	13	13	10
15. Amount of State funding you receive to support program administration costs	5	8	9	15	9
16. Current level of Federal funds for municipalities to meet Federal environmental requirements	0	0	4	4	38
17. Number of staff in State program	4	5	9	14	4
18. Losses of experienced personnel	1	7	9	10	19
19. Ability to fill personnel vacancies	5	10	6	11	14
20. Current training programs available for State personnel	0	4	12	11	19
21. Split responsibility for environmental programs within State government	3	5	7	9	22
22. Current level of public support for environmental programs	3	5	9	12	17
23. Current level of Gubernatorial and State Legislative support for environmental programs	3	3	11	12	17

Question 8. Which of the following best describes the status of enabling legislation to implement FIFRA in your State?

Legislation Enacted - Date (46)

CT 1974	ME 1975	MA 1978	NH 1977
RI 1976	VT 1970	NJ 1971	NY 1971
DE 1978	MD 1975	PA 1974	VA 1975
WV 1975	AL 1977	FL 1974	GA 1976
KY 1978	MS 1975	NC 1976	SC 1975
TN 1976	IL 1969	IN 1975	MI 1976
MN 1976	OH 1976	WI 1977	AR 1975
LA 1975	NM 1973	OK 1978	TX 1976
IA 1974	KS 1976	MO 1974	MT 1971
ND 1975	SD 1974	UT 1971	WY 1973
HI 1976	NV 1975	AK 1977	CA Early 1970s
OR 1973	WA Prior to 1975		

Legislation Not Enacted But Anticipated By - Date 0)

Legislation Not Enacted And Not Anticipated (0)

Question 9. To what extent, if any, was or is each of the factors listed below an obstacle to the passage of enabling legislation in your State?

- Key:
- 1 Very Great Extent
 - 2 Substantial or Great Extent
 - 3 Moderate Extent
 - 4 Some Extent
 - 5 Little or No Extent

<u>State</u>	<u>Current Amount Federal Funding</u>	<u>Probability Continued Federal Funding</u>	<u>Current EPA Regulations & Guidelines</u>	<u>State Philosophical Differences With Intent of Federal Legislation</u>	<u>State Resources Required To Implement and Administer the Program</u>
CT	5	5	5	5	4
ME	5	5	5	5	5
MA	4	2	5	5	2
NH	5	1	2	3	1
RI	5	5	5	5	5
VT	5	4	5	3	4
NJ	5	5	5	5	5
NY	5	5	5	5	5
DE	5	5	5	5	5
MD	4	4	4	4	5
PA	3	4	5	3	1
VA	5	4	4	3	4
WV	5	5	5	2	4
AL	3	5	1	1	2
FL	2	1	2	2	2
GA	1	1	1	1	2
KY	2	2	5	5	2
MS	2	2	2	1	2
NC	2	2	3	2	4
SC	5	3	1	1	4
TN	5	1	1	1	5
IL	5	5	3	3	3
IN	5	5	2	2	4
MI	3	3	4	3	4
MN	4	1	3	4	4
OH	5	5	4	2	3
WI	2	2	1	1	2
AR	5	5	5	5	5
LA	5	5	5	5	5
NM	5	5	5	4	4

<u>State</u>	<u>Current Amount Federal Funding</u>	<u>Probability Continued Federal Funding</u>	<u>Current EPA Regulations & Guidelines</u>	<u>State Philosophical Differences With Intent of Federal Legislation</u>	<u>State Resources Required To Implement and Administer the Program</u>
OK	4	2	2	1	3
TX	2	1	3	1	2
IA	5	5	5	3	4
KS	4	4	4	4	4
MO	3	2	2	2	2
MT	5	2	3	4	4
ND	5	1	1	1	1
SD	5	5	3	2	3
UT	5	5	4	4	4
WY	5	5	1	1	3
CA	5	5	5	5	5
HI	5	5	3	3	3
NV	1	1	2	3	2
AK	5	5	5	5	5
OR	5	4	3	3	2
WA	5	5	3	2	4

Question 10. In your opinion, what has been the major barrier, if any, to passage of State enabling legislation?

CT The usual politics.
ME None.
MA Power struggle within State government.
NH No barriers.
RI None.
VT No response.
NJ Not applicable.
NY None.
DE None.
MD Not applicable.
PA No response.
VA Continued Federal intervention with States' rights.
WV Pressure brought to avoid one more area of governmental interference.
AL Don't like feds. dictating programs for State to carry out.
FL Concern over reactive regulation and attendant costs.
GA Federally mandated program which was lacking in definition by EPA.
KY Probability of continued Federal funding support.
MS Federal requirement.
NC Knowledge that it's a federally mandated program with insufficient federal funding.
SC Apprehension regarding over-regulation.
TN The Legislators attitude toward EPA in general which is purely negative.
IL Not applicable.
IN Perceived misdirection of Federal pesticide programs.
MI None.
MN Not applicable.
OH Invasion of State authority--Philosophical differences.
WI Legislature wanted a list of restricted use pesticides prior to enactment to know who would actually be effected by the legislation. Other federal programs, i.e. OSHA and their inability to be implemented.
AR Not applicable.
LA None.
NM Loss of State control over pesticide usage within State boundaries.
OK Resistance to apparent Federal take over and threats of funding withdrawal.
TX Legislature didn't appreciate Federal law mandating State actions.

IA We have adequate legislation.
 KS None.
 MO Another Federal program started and dropped on States.
 MT Not applicable.
 ND Philosophical differences between State and Federal.
 SD Not applicable.
 UT Uncertainty of EPA programs.
 WY Legislation passed 1973.
 CA State already has a comprehensive pesticide regulatory program.
 HI No response.
 NV Continued Federal funding.
 AK No response.
 OR Philosophical differences between State & Federal needs.
 WA A small percentage of people not wanting new legislation which would increase regulatory authority.

Question 11. Does your State have an approved State plan under Section 4 of FIFRA?

Yes (44)

ME	NJ	AL	SC	OH	TX	SD	OR
MA	DE	FL	TN	WI	IA	UT	WA
NH	MD	GA	IL	AR	KS	WY	
RI	PA	KY	IN	LA	MO	HI	
VT	VA	MS	MI	NM	MT	NV	
NY	WV	NC	MN	OK	ND	AK	

No (2)

CT CA

Question 12. To what extent, if any, has each of the following impeded your preparation and submission of a plan to EPA for approval?

Key:

- 1 Very Great Extent
- 2 Substantial or Great Extent
- 3 Moderate Extent
- 4 Some Extent
- 5 Little or No Extent

<u>State</u>	<u>Current Amount Federal Funding</u>	<u>Probability Continued Federal Funding</u>	<u>Current EPA Regulations & Guidelines</u>	<u>State Philosophical Differences With Intent of Federal Legislation</u>	<u>State Resources Required To Implement and Administer the Program</u>
CT	5	4	5	5	5
CA	5	5	3	2	5

Question 13. In your opinion, what has been the primary reason your State has not submitted a State plan to EPA for approval?

- CT Procrastination on formulating regulations (State). Will submit final regs. on 1/31/79.
- CA EPA attention to minutiae and failure to meet review deadlines.

Question 14. Which of the following best describes your situation in entering into a cooperative enforcement agreement with EPA?

Currently Have A Cooperative Enforcement Agreement (32)

CT	NJ	VA	NC	MN	OK	MT	HI
NH	DE	WV	TN	AR	TX	ND	NV
VT	MD	KY	IN	LA	IA	SD	OR
NY	PA	MS	MI	NM	KS	CA	WA

Had a Cooperative Enforcement Agreement But Did Not Renew (2)

ME GA

Have Never Had A Cooperative Enforcement Agreement (12)

MA	AL	SC	OH	MO	WY
RI	FL	IL	WI	UT	AK

Question 15. Overall, in your opinion, to what extent are you satisfied or dissatisfied with the implementation of this agreement.

Key:

ES Extremely Satisfied
 S Satisfied
 NSD Neither Satisfied nor Dissatisfied
 D Dissatisfied
 ED Extremely Dissatisfied

CT - S	DE - S	KY - ES	MI - S
NH - ES	MD - NSD	MS - S	LA - NSD
VT - S	PA - S	NC - D	NM - S
NJ - S	VA - S	TN - S	OK - S
NY - S	WV - S	IN - S	TX - S
IA - NSD	ND - S	HI - S	OR - S
KS - D	SD - S	NV - ES	WA - S
MT - S	CA - S		

Note: MN & AR stated implementation was just beginning so it was too early to comment.

Question 16. Overall, to what extent, if any, has each of the following deterred you from entering into another cooperative enforcement agreement with EPA?

- Key:
- 1 Very Great Extent
 - 2 Substantial or Great Extent
 - 3 Moderate Extent
 - 4 Some Extent
 - 5 Little or No Extent

<u>State</u>	<u>Current Amount Federal Funding</u>	<u>Probability Continued Federal Funding</u>	<u>Current EPA Regulations & Guidelines</u>	<u>State Philosophical Differences With Intent of Federal Legislation</u>	<u>State Resources Required To Implement and Administer the Program</u>
ME	5	5	5	1	5
GA	5	5	1	1	5

Question 17. In your opinion, what is the primary reason your State did not enter into another cooperative enforcement agreement with EPA?

- ME Self-supporting.
- GA Cumbersome procedures, basic differences in enforcement philosophy.

Question 18. Overall, to what extent, if any, has each of the following deterred you from entering into a cooperative enforcement agreement?

Key:

- 1 Very Great Extent
- 2 Substantial or Great Extent
- 3 Moderate Extent
- 4 Some Extent
- 5 Little or No Extent

<u>State</u>	<u>Current Amount Federal Funding</u>	<u>Probability Continued Federal Funding</u>	<u>Current EPA Regulations & Guidelines</u>	<u>State Philosophical Differences With Intent of Federal Legislation</u>	<u>State Resources Required To Implement and Administer the Program</u>
MA	5	5	5	5	5
RI	5	4	5	5	2
AL	5	5	2	1	3
FL	2	2	2	2	2
SC	4	3	1	1	5
IL	4	2	3	2	2
OH	3	2	1	2	2
WI	5	4	1	1	2
MO	3	2	2	2	2
UT	3	3	3	2	3
WY	5	5	1	1	5
AK	5	5	5	4	5

Question 19. In your opinion, what is the primary reason your State did not enter into a cooperative enforcement agreement?

- MA New State law not implemented to point where this is possible. Power struggle noted in 10 above will have to be resolved before enforcement agreements are possible.
- RI Negotiating now; didn't have the time before.
- AL We do not believe a viable State Pesticide Program can be undertaken and run under rigid requirements mandated from EPA Headquarters, Washington. We do believe a program of cooperation can be undertaken where the State enforces its laws, the feds. enforce their law, on a cooperative basis, toward a common objective of a national pesticide program of responsible pesticide usage. Not all knowledge is housed in D.C. Some has been deposited in other parts of the country.
- FL Philosophical differences. EPA's first action is to enforce. Florida prefers to give the violator an opportunity to correct before taking an enforcement action.
- SC Could foresee no real benefit at present time. Too much red tape. Can continue to do the job with State funds. Too much federal interference. Federal guidelines would result in inefficient use of manpower and equipment and require extra paperwork.
- IL The early philosophy of EPA regarding enforcement seemed aimed at effecting punitive action rather than securing compliance. It also seemed that the agreement would be designed to have the State work for EPA not cooperate with EPA.
- OH Philosophical differences with EPA enforcement policy.
- WI Some of the requirements placed on the State by EPA. We have an effective program implemented at the present time and do not feel that it is necessary to always accept someone else's standards in order to accomplish the job. We would rather contract to accomplish the job than be burdened with establishing standards and/or systems to conform to EPA guidelines when those required circumstances are no more effective in the management of the required tasks. Decided to take on enforcement grant because the State is willing to endure the problems mentioned above for additional resources upon which to draw.

- MO Unknown requirements for auditing by Federal agency and new interpretations and requirements by EPA as you progress into program.
- UT We don't believe in the philosophy of enforcement agreements.
- WY Don't want to be told what's good for us if we take their money.
- AK Have not seen advantages of doing so. To do so would create a reporting system without any tangible gains.

Question 20. Under the 1978 FIFRA amendments, will your State assume primary enforcement responsibility for pesticide use violations?

Key:

DY Definitely Yes
 PY Probably Yes
 U Unsure
 PN Probably No
 DN Definitely No

CT - PY	DE - DY	KY - DY	MN - PY	IA - DY
ME - DY	MD - DY	MS - PY	OH - PY	KS - U
MA - PY	PA - DY	NC - DY	WI - DY	MO - PY
NH - DY	VA - DY	SC - DY	AR - PY	MT - DY
RI - PY	WV - DY	TN - DY	LA - PY	ND - DY
VT - PY	AL - PY	IL - DY	NM - DY	SD - DY
NJ - DY	FL - PY	IN - DY	OK - DY	UT - DY
NY - DY	GA - PY	MI - DY	TX - DY	WY - U
CA - DY	HI - PY	NV - PY	AK - PY	OR - DY
WA - DY				

Question 21. How much positive or negative impact has each of the following had on your FIFRA program?

Key:

- SP Significant Positive Impact
- P Positive Impact
- L/N Little or No Impact
- N Negative Impact
- SN Significant Negative Impact

<u>State</u>	<u>Lack of Pesticide Disposal List</u>	<u>Lack of 5.f Regulations</u>	<u>Late Publication By EPA of Restricted Pesticides List</u>
CT	N	L/N	L/N
ME	SN	SN	SN
MA	SN	N	SP
NH	N	L/N	N
RI	SN	L/N	SN
VT	N	N	N
NJ	N	SN	SN
NY	L/N	SN	SN
DE	L/N	L/N	L/N
MD	N	L/N	N
PA	N	L/N	L/N
VA	L/N	L/N	L/N
WV	N	N	SN
AL	L/N	L/N	SN
FL	N	N	N
GA	SN	N	SN
KY	L/N	L/N	L/N
MS	L/N	L/N	L/N
NC	N	N	SN
SC	SN	L/N	SN
TN	SN	L/N	SN
IL	L/N	L/N	SN
IN	N	N	SN
MI	SN	N	SN
MN	SN	L/N	SN
OH	L/N	L/N	SP
WI	SN	L/N	SN
AR	SN	N	SN
LA	N	N	N
NM	L/N	N	SN
OK	SN	L/N	SN
TX	L/N	SN	SN
IA	SN	L/N	SN

<u>State</u>	<u>Lack of Pesticide Disposal List</u>	<u>Lack of 5.f Regulations</u>	<u>Late Publication by EPA of Restricted Pesticides List</u>
KS	L/N	L/N	L/N
MO	L/N	L/N	SN
MT	N	N	N
ND	N	N	L/N
SD	N	L/N	SN
UT	L/N	L/N	N
WY	N	N	N
CA	L/N	L/N	L/N
HI	N	L/N	L/N
NV	N	N	SN
AK	N	L/N	N
OR	L/N	N	SN
WA	N	SN	N

Question 22. To what extent do you agree or disagree with the provision in the 1978 FIFRA amendments which gives the States the authority to approve pesticides to meet special local needs?

Strongly Agree (32)

MA	NJ	PA	FL	TN	AR	TX	WY
NH	NY	VA	GA	IN	LA	MT	HI
RI	DE	WV	MS	MI	NM	ND	OR
VT	MD	AL	NC	OH	OK	UT	WA

Agree (10)

SC	MN	IA	MO	NV
IL	WI	KS	CA	AK

Undecided (1)

ME

Disagree (3)

CT	KY	SD
----	----	----

Strongly Disagree (0)

Question 23. Please provide the following information regarding the number of professional positions in your FIFRA program as of January 1, 1979.

Key:

PA Positions Authorized
 PF Positions Filled
 NR No Response

Note: All numbers have been rounded.

Question 24. In total, how many authorized professional positions do you expect your program to have by October 1, 1979?

5-24

State	Question 23						Number		Question 24 Number Positions Expected By October 1, 1979
	Total Number		Number 100% State Funding		Number 100% Federal Funding		Jointly Funded		
	PA	PF	PA	PF	PA	PF	PA	PF	
CT	6	5	3	2	2	2	1	1	6
ME	2	2	1	1	1	1	0	0	2
MA	2	2	1	1	1	1	0	0	2
NH	5	5	3	3	2	2	0	0	2
RI	2	2	0	0	0	0	2	2	4
VT	4	4	1	1	1	1	2	2	4
NJ	19	17	4	4	15	13	0	0	19
NY	26	26	9	9	17	17	0	0	37
DE	3	3	1	1	0	0	2	2	3
MD	4	4	3	3	1	1	0	0	4
PA	23	23	10	10	1	1	12	12	23
VA	6	6	2	2	2	2	2	2	6
WV	5	5	2	2	2	2	1	1	5
AL	6	5	6	5	0	0	0	0	6
FL	55	55	52	52	0	0	3	3	61
GA	16	16	16	16	0	0	0	0	16

State	Question 23				Question 24				
	Total Number		Number 100% State Funding		Number 100% Federal Funding		Number Jointly Funded		Number Positions Expected By October 1, 1979
	PA	PF	PA	PF	PA	PF	PA	PF	
KY	21	21	17	17	0	0	4	4	23
MS	24	23	22	22	1	0	1	1	24
NC	25	23	20	20	4	2	1	1	26
SC	16	13	15	12	0	0	1	1	16
TN	18	18	11	11	7	7	0	0	20
IL	4	3	1	1	0	0	3	2	4
IN	15	15	6	6	0	0	9	9	15
MI	16	16	14	14	0	0	2	2	16
MN	7	7	2	2	0	0	5	5	10-14
OH	8	8	0	0	0	0	8	8	10
WI	12	10	12	10	0	0	0	0	10
AR	8	5	5	5	3	0	0	0	8
LA	28	25	25	23	3	2	0	0	28
NM	7	7	4	4	2	2	1	1	8
OK	40	38	0	0	0	0	40	38	41
TX	20	13	9	9	11	4	NR	NR	20
IA	10	10	9	9	1	1	0	0	10
KS	8	8	8	8	0	0	0	0	8
MO	13	13	13	13	0	0	0	0	18
MT	15	15	6	6	9	9	0	0	15
ND	5	4	1	1	3	2	1	1	5
SD	5	4	3	3	0	0	2	1	5
UT	1	1	0	0	0	0	1	1	1
WY	1	1	1	1	0	0	0	0	1
CA	25	18	0	0	0	0	25	18	26
HI	9	8	8	7	1	1	0	0	10
NV	16	15	14	14	2	1	0	0	16
AK	2	2	2	2	0	0	0	0	2
OR	5	4	2	2	2	1	1	1	5
WA	13	12	8	7	4	4	1	1	12

Question 25. Have you had any difficulties filling authorized positions on a timely basis?

Yes (21)

CT	MD	AL	KY	IL	MO	HI
VT	PA	FL	MS	WI	ND	NV
NJ	WV	GA	NC	AR	CA	OR

No (25)

ME	DE	MI	OK	SD
MA	VA	MN	TX	UT
NH	SC	OH	IA	WY
RI	TN	LA	KS	AK
NY	IN	NM	MT	WA

Question 26. To what extent, if any, has each of the factors listed below been an obstacle to filling positions on a timely basis?

Key:

- 1 Very Great Extent
- 2 Substantial or Great Extent
- 3 Moderate Extent
- 4 Some Extent
- 5 Little or No Extent

5-27

<u>State</u>	<u>State Salary</u>	<u>Ceilings on Authorized Staff</u>	<u>State-Wide Freeze</u>	<u>State-Wide Personnel Reductions</u>	<u>State Civil Service Procedures</u>	<u>Limited Recruiting Efforts</u>	<u>State Residency Requirement</u>	<u>Availability of Disciplines Needed</u>	<u>Perceived Temporary Nature of Federally Supported Positions</u>
CT	3	5	4	4	2	4	5	3	4
VT	1	2	2	3	3	2	5	4	1
NJ	2	2	1	1	1	3	5	2	2
MD	4	2	2	3	1	4	2	5	5
PA	3	1	1	2	2	1	2	5	3
WV	1	1	1	1	5	5	5	3	1
AL	1	1	5	5	2	5	5	5	5
FL	2	2	5	5	2	5	5	5	1
GA	1	3	4	5	5	5	5	5	5
KY	2	5	5	5	5	5	5	2	2
MS	1	4	5	5	3	5	5	5	3
NC	3	5	5	5	5	4	5	3	2
IL	3	3	3	3	2	3	5	3	3
WI	1	1	1	1	1	5	1	5	5
AR	1	3	3	5	5	3	5	5	1

<u>State</u>	<u>State Salary</u>	<u>Ceilings on Authorized Staff</u>	<u>State-Wide Freeze</u>	<u>State-Wide Personnel Reductions</u>	<u>State Civil Service Procedures</u>	<u>Limited Recruiting Efforts</u>	<u>State Residency Requirement</u>	<u>Availability of Disciplines Needed</u>	<u>Perceived Temporary Nature of Federally Supported Positions</u>
MO	2	2	5	5	5	4	5	4	4
ND	1	4	5	5	5	5	5	1	1
CA	4	4	2	2	4	4	5	4	4
HI	5	5	1	5	4	4	5	5	5
NV	2	5	5	5	3	2	2	1	1
OR	3	3	3	3	3	4	5	2	2

Question 27. In your opinion, what has been the major barrier to filling positions?

- CT (a) State delays in filling positions for financial reasons or red tape in personnel divisions.
- VT (a) Personnel requirements for limited classified positions.
- NJ (a) State Civil Service slowness (all phases).
- MD (a) State hiring practices and policies.
- PA (a) State hiring freeze; (b) State limitations in recruiting.
- WV (a) Low State salaries; (b) Limited advancement possibilities.
- AL (a) Lack of positions; (b) Inadequate State funding of program money needs.
- FL (a) Perceived temporary nature of Federally supported positions.
- GA (a) Inability to hire experienced personnel at the job classification and salary authorized under the merit system.
- KY (a) Salary.
- MS (a) Low salary.
- NC (a) Questions regarding future funding of grant positions.
- IL (a) Failure to assign high priority to pesticide programs; (b) The feeling that Federal funding support is temporary in nature.
- WI (a) State budget concerns and policies on hiring (i.e., personnel ceilings and residency requirements).
- AR (a) Uncertainty of Federal funding on a continuing basis; (b) Salary structure.
- MO (a) Availability of qualified personnel; (b) Salary.
- ND (a) Extensive travel required; (b) Inadequate salary.
- CA (a) State Personnel Board; (b) State hiring freeze.
- HI (a) Freeze on hires (Departmental savings mandated by executive office).
- NV (a) State residency requirements; (b) Limited applications; (c) Locations of position available.
- OR (a) Lack of qualified applicants.

Question 28. For the two-year period ending December 31, 1978, please enter below: a. the approximate number of professional staff that have left your program voluntarily to take employment elsewhere; and, b. the approximate number of those who left who had three or more years of experience.

Question 29. If you have had professional staff leave during the past two years what are the major reasons most often cited for leaving?

	Question 28		Question 29
State	Number Who Left	With 3 Years Experience	Reasons Cited For Leaving
CT	2	0	(a) Better opportunities elsewhere.
ME	0	0	
MA	0	0	
NH	3	2	(a) Lapses in Federal funding and inexpediency in approval of back to back grants.
RI	0	0	
VT	0	0	
NJ	5	1	(a) Inability to obtain permanent status.
NY	2	1	(a) Better employment opportunities.
DE	0	0	
MD	4	0	(a) Go into private industry for more money.
PA	0	0	
VA	0	0	
WV	2	0	(a) New job offers; (b) More money; (c) Better advancement potential.
AL	0	0	
FL	8	0	(a) Better job opportunities.
GA	5	1	(a) Seeking better pay; (b) Returning to school.
KY	1	0	(a) Not applicable.
MS	5	4	(a) Better paying jobs.
NC	3	1	(a) Better salaries in private enterprise and federal government; (b) Lack of career ladder.
SC	0	0	

Question 28

Question 29

<u>State</u>	<u>Number Who Left</u>	<u>With 3 Years Experience</u>	<u>Reasons Cited For Leaving</u>
TN	1	0	(a) Better paying position.
IL	1	0	(a) Frustration with attempting to develop program without clear guidelines; (b) Better opportunity outside government.
IN	3	1	(a) Higher salary; (b) Graduate school.
MI	0	0	
MN	1	NR	(a) Other job opportunities.
OH	1	0	(a) Salary.
WI	3	2	(a) Retirement; (b) Advancement; (c) Pursuing other interests.
AR	1	1	(a) Salary; (b) Fringe benefits associated with Federal employment as compared to State.
LA	2	2	(a) Left for more money.
NM	0	0	
OK	3	1	(a) Accept other position with pay increase.
TX	2	2	(a) Salary.
IA	4	0	(a) Advancement; (b) Interest in Industry; (c) More education.
KS	2	2	(a) Higher pay; (b) Confusion with Federal intrusion.
MO	2	NR	(a) Better opportunities in salary and position.
MT	2	0	(a) Entered private business.
ND	1	0	(a) More money; (b) More prestige in new job.
SD	3	1	(a) Better jobs; (b) More money; (c) "Harder" money.
UT	0	0	(a) None.
WY	0	0	
CA	3	1	(a) Very few--Promotional opportunities elsewhere.
HI	0	0	
NV	2	0	(a) Better paying jobs; (b) Lure of private industry.
AK	0	0	
OR	3	1	(a) Insufficient salary; (b) Lack of advancement potential.
WA	1	1	(a) Changing nature of enforcement program; (b) Better salary opportunities elsewhere.

Question 30. Have you had to terminate employees because Federal funds were awarded late?

No (44)

CT	NY	WV	MS	IN	AR	IA	ND
ME	DE	AL	NC	MI	LA	KS	SD
RI	MD	FL	SC	MN	NM	MO	UT
VT	PA	GA	TN	OH	OK	WY	HI
NJ	VA	KY	IL	WI	TX	MT	CA
NV	AK	OR	WA				

Yes (2)

MA NH

Question 31. How many employees were terminated because of the late award of Federal funds and how did this impact on your program?

MA 1 No negative impact because State did not have a FIPRA plan.
NH 5 Had to train new employees.

Question 32. If applicator certification program grant money expires and is not renewed, what action is your State most likely to take?

Terminate The Program Entirely (4)

ME TN LA CA

Continue The Program On A Limited Basis (33)

CT MA NH RI VT NY MD PA
 VA WV FL GA KY MS NC IN
 MI OH WI AR NM OK TX IA
 KS MO MT ND SD UT HI NV
 OR

Continue The Program With State Funds (7)

NJ AL WY WA
 DE SC OR

Other - Please Explain (2)

IL Uncertain.
 MN Continue State licensing program which was in place before FIFRA.

Question 33. Overall, how would you characterize your relationship with EPA regional staff?

	<u>Number of States Responding</u>
Very Good	32
Good	14
Neither Good nor Bad	0
Poor	0
Very Poor	0

Question 34. To what extent, if at all, do you feel the EPA headquarters' staff understands the problems you face as a State program director in administering your program?

	<u>Number of States Responding</u>
Very Large Extent	3
Substantial Extent	4
Moderate Extent	13
Some Extent	19
Little or No Extent	7

Question 35. Overall, how does the current level of EPA headquarters' staff understanding of your problems impact on the effectiveness of your program?

	<u>Number of States Responding</u>
Significant Positive Impact	2
Positive Impact	5
Little or No Impact	19
Negative Impact	15
Significant Negative Impact	5

Question 36. To what extent, if any, has EPA monitoring of your performance under FIFRA assisted you in improving program performance?

	<u>Number of States Responding</u>
Very Large Extent	1
Substantial Extent	3
Moderate Extent	10
Some Extent	16
Little or No Extent	16

Question 37. To what extent, if any, do you feel your viewpoint as a State program director is given adequate consideration in the following EPA processes?

	<u>Regulation Making Process</u>	<u>Policy Making Process</u>
Very Great Extent	1	1
Substantial or Great Extent	3	1
Moderate Extent	8	7
Some Extent	21	25
Little or No Extent	13	12

Question 38. Please enter the names of the organizations that you feel best represent your views to: a. the U.S. Congress and, b. the EPA.

<u>Organization</u>	<u>U.S. Congress</u>	<u>EPA</u>
American Association of Pesticide Control Officers (AAPCO)	27	20
National Association of State Directors of Agriculture (NASDA)	17	11
State FIFRA Issues Research and Evaluation Group (SFIREG)	2	11
Farm Bureau	3	2
National Agriculture Chemical Association (NACA)	2	2
None	2	3
National Agricultural Aviation Association (NAAA)	2	2
Other (Organizations named only once)	13	11
No Response	2	2

Note: Responses not additive because of multiple State responses.

Question 39. Please enter below the name of the organization(s) you are most likely to contact when you need information or assistance to carry out your program responsibilities.

<u>Organization</u>	<u>Number of States Responding</u>
EPA Regions	20
American Association of Pesticide Control Officers (AAPCO)	12
EPA Headquarters	6
EPA	4
Farm Bureau	4
National Association of State Directors of Agriculture (NASDA)	4
National Agriculture Chemical Association (NACA)	3
National Agricultural Aviation Association (NAAA)	3
Cooperative Extension Service	3
Industry	2
State FIFRA Issues Research and Evaluation Group (SFIREG)	2
Other States	2
National Cotton Council	2
National Pesticides Control Association	2
Other (Organizations names only once)	26
None	1
No Response	2

Note: Responses not additive because of multiple State responses.

SECTION 6

DIRECTORS OF STATE IMPLEMENTATION
OF THE RESOURCE CONSERVATION
AND RECOVERY ACT
QUESTIONNAIRE RESPONSES

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RESPONSES TO THE SURVEY OF
STATE IMPLEMENTATION OF THE
RESOURCE CONSERVATION AND
RECOVERY ACT

STATES RESPONDING (46)

Alabama	AL	Montana	MT
Alaska	AK	Nebraska	NE
Arizona	AZ	Nevada	NV
Arkansas	AR	New Hampshire	NH
California	CA	New Mexico	NM
Connecticut	CT	New York	NY
Delaware	DE	North Carolina	NC
Florida	FL	North Dakota	ND
Hawaii	HI	Ohio	OH
Idaho	ID	Oklahoma	OK
Illinois	IL	Oregon	OR
Indiana	IN	Pennsylvania	PA
Iowa	IA	Rhode Island	RI
Kansas	KS	South Carolina	SC
Kentucky	KY	South Dakota	SD
Louisiana	LA	Tennessee	TN
Maine	ME	Texas	TX
Maryland	MD	Utah	UT
Massachusetts	MA	Vermont	VT
Michigan	MI	Virginia	VA
Minnesota	MN	West Virginia	WV
Mississippi	MS	Wisconsin	WI
Missouri	MO	Wyoming	WY

U.S. GENERAL ACCOUNTING OFFICE
Survey Of State Implementation Of
The Resource Conservation and
Recovery Act



General Instructions

The U.S. General Accounting Office is studying the problems faced by the States in implementing and administering Federal environmental programs. The purpose of this questionnaire is to obtain information on your program(s) and to determine the significance of the problems State environmental program managers face. We are sending similar questionnaires to the directors of the air pollution control, drinking water, pesticides, solid waste and water pollution control programs in all 50 States as well as to the administrator of each State's environmental agency.

While the questions that follow are based largely on our discussions with program officials in seven States, we have attempted to provide a format that will be readily adaptable to all States. If you feel that the format of any question does not fit your situation, please add the necessary explanatory notes. Moreover, feel free to make any additional comments on your program, this questionnaire or related topics.

If you have any questions, please call Donald Hunter at (617) 223-6536.

After completing the questionnaire please return it in the self-addressed postage paid envelope by January 19, 1979.

NOTE: Throughout this questionnaire, EPA refers to the Federal Environmental Protection Agency.

Thank you for your cooperation.

RESPONDENT INFORMATION:

1. Please provide the name, title and telephone number of the person completing this questionnaire.	
NAME: _____	
TITLE: _____	
TELEPHONE: _____	
	(Area Code) Number
2. Other than administering the Resource Conservation and Recovery Act (RCRA) are you responsible for managing any other programs? (Check one)	
1.	<input type="checkbox"/> No (GO TO QUESTION 4)
2.	<input type="checkbox"/> Yes
3. About what percent of your time is devoted to administering the RCRA program? (Enter percent) _____ %	
4. As Director, what type of position do you hold? (Check one)	
1.	<input type="checkbox"/> Elective
2.	<input type="checkbox"/> Appointed by the Governor
3.	<input type="checkbox"/> Appointed by the Department or Agency head
4.	<input type="checkbox"/> Civil service
5.	<input type="checkbox"/> Other (Please specify) _____
5. How long have you held your current position? (Enter years/months)	
_____ years _____ months	

MANAGEMENT OF FEDERAL ENVIRONMENTAL PROGRAMS

6. To what extent, if at all, is each of the following an obstacle to managing your program to meet the objectives of RCRA? (Check one box per line)

	1) Very great extent	2) Substantial extent	3) Great, partial or moderate extent	4) Some extent	5) Little or no extent
1. Deadlines imposed by Federal legislation					
2. Availability of technology to support Federal legislation					
3. Obtaining State enabling legislation					
4. Time it takes to issue EPA regulations and guidelines					
5. Amount of flexibility in current EPA regulations and guidelines					
6. Clarity of current EPA regulations and guidelines					
7. Time it takes EPA to respond to technical questions and interpret its regulations and guidelines					
8. Quality of EPA response to technical questions and interpretation of its regulations and guidelines					
9. Extent of controls imposed on the State by EPA					
10. Philosophical differences between EPA and the State on program priorities and objectives					
11. Amount of Federal funding to support program administration costs					
12. Timing of Federal funding to support program administration costs					
13. Knowledge of the the amount future Federal funds to support State programs administration costs					
14. Existing State policies to limit all program growth					
15. Amount of State funding you receive to support program administration costs					
16. Current level of Federal funds for municipalities to meet Federal environmental requirements					
17. Number of staff in State program					
18. Losses of experienced personnel					
19. Ability to fill personnel vacancies					
20. Current training programs available for State personnel					
21. Split responsibility for environmental programs within State government					
22. Current level of public support for environmental programs					
23. Current level of Governatorial and State legislative support for environmental programs					

7. Please list below the three factors you feel currently have the greatest negative impact on your program.

- a. _____
 b. _____
 c. _____

STATE ACCEPTANCE OF PROGRAM RESPONSIBILITY

8. Does your State intend to submit a State or Regional Solid Waste Plan under Subtitle D of RCRA? (Check one)

1. Definitely yes
 2. Probably yes
 3. Uncertain
 4. Probably no
 5. Definitely no

9. Overall, to what extent, if any, does each of the following factors contribute to your State's decision not to submit a State or Regional Solid Waste Plan? (Check one box for each)

	1) Very great extent	2) Substantial or great extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Current amount of Federal funding					
2. Probability of continued Federal funding support					
3. Current EPA regulations and guidelines					
4. State philosophical differences with intent of Federal legislation					
5. State resources required to implement and administer the program					
6. State enabling legislation needed					

10. In your opinion, what is the primary reason your State will not submit a State or Regional Solid Waste Plan? (Please explain)

11. Does your State plan to administer and enforce a Hazardous Waste Management program under Subtitle C of RCRA? (Check one)

1. Definitely yes
 2. Probably yes
 3. Uncertain
 4. Probably no
 5. Definitely no

12. Overall, to what extent, if any, does each of the following factors contribute to your State's decision not to administer and enforce a Hazardous Waste program? (Check one box for each)

	1) Very great extent	2) Substantial or great extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Current amount of Federal funding					
2. Probability of continued Federal funding support					
3. Current EPA regulations and guidelines					
4. State philosophical differences with intent of Federal legislation					
5. State resources required to implement and administer the program					
6. State enabling legislation needed					

13. In your opinion what is the primary reason your State does not plan to administer and enforce a Hazardous Waste Program?

REGULATIONS

14. How much positive or negative impact has the lack of final EPA regulations had on your RCRA program planning? (Check one)

1. Significant positive impact
 2. Positive impact
 3. Little or no impact
 4. Negative impact
 5. Significant negative impact

PROGRAM RESOURCES

15. How much positive or negative impact has the RCRA requirement that each State must receive at least one half percent of the total annual grants for solid waste planning had on your RCRA program? (Check one)

- 1. Significant positive impact
- 2. Positive impact
- 3. Little or not impact
- 4. Negative impact
- 5. Significant negative impact

16. Please provide the following information regarding the number of professional positions in your RCRA program as of January 1, 1979. (Enter numbers in space provided, if none, enter 0)

	<u>Positions Authorized</u>	<u>Positions Filled</u>
Total number	_____	_____
Number 100% State funding	_____	_____
Number 100% Federal funding	_____	_____
Number jointly funded	_____	_____

17. In total, how many authorized professional positions do you expect your program to have by October 1, 1979? (Enter total number of positions)

_____ Number positions

18. Have you had any difficulties filling authorized positions on a timely basis? (Check one)

- 1. Yes
- 2. No (GO TO QUESTION 21)

19. To what extent, if any, has each of the following been an obstacle to filling positions on a timely basis? (Check one box per line)

	1) Very Great	2) Substantial	3) Moderate or Slight	4) Some	5) Little or no extent
1. State salary structure					
2. Ceilings on authorized staff levels					
3. Statewide freeze on all hirings					
4. Statewide personnel reductions					
5. State Civil Service procedures					
6. Limited recruiting efforts					
7. State residency requirement					
8. Availability of disciplines needed					
9. Perceived temporary nature of Federally supported positions					

20. In your opinion what has been the major barrier to filling positions?

21. For the two year period ending December 31, 1978, please enter below: a. the approximate number of professional staff that have left your program voluntarily to take employment elsewhere, and b. the approximate number of those who left who had three or more years of experience. (Enter numbers in spaces provided, if none, enter 0)

- a. _____ Number who left
- b. _____ Number who left with three or more years experience

22. If you have had professional staff leave during the past two years what are the major reasons most often cited for leaving?

.....

EPA-STATE RELATIONSHIPS

23. Overall how would you characterize your relationship with EPA regional staff? (Check one)

- 1. Very good
- 2. Good
- 3. Neither good nor bad
- 4. Poor
- 5. Very poor

23a. Why? (Please explain)

.....

24. To what extent, if at all, do you feel the EPA headquarters' staff understands the problems you face as a State program director in administering your program? (Check one)

- 1. Very large extent
- 2. Substantial extent
- 3. Moderate extent
- 4. Some extent
- 5. Little or no extent

25. Overall, how does the current level of EPA headquarters' staff understanding of your problems impact on the effectiveness of your program? (Check one)

- 1. Significant positive impact
- 2. Positive impact
- 3. Little or no impact
- 4. Negative impact
- 5. Significant negative impact

26. To what extent, if any, has EPA monitoring of your performance under RCRA assisted you in improving program performance? (Check one)

- 1. Very large extent
- 2. Substantial extent
- 3. Moderate extent
- 4. Some extent
- 5. Little or no extent

27. To what extent, if any, do you feel your viewpoint as a State program director is given adequate consideration in the following EPA processes? (Check one box per line)

	1) Very great	2) Great	3) Substantial	4) Moderate extent	5) Some	6) Little or no extent
1. Regulation making process						
2. Policy making process						

28. Please enter the names of the organizations that you feel best represent your views to:

- a. U.S. Congress _____
- b. EPA _____

29. Name the organization(s) you are most likely to contact when you need information or assistance to carry out your program responsibilities:

.....

OTHER

30. If you have any additional comments on any of the items in the questionnaire, or related topics not covered, please use the space below and additional pages if necessary. Thank you for your cooperation in completing this questionnaire.

RESPONSES TO QUESTIONNAIRES

Question 6. To what extent, if at all, is each of the following an obstacle to managing your program to meet the objectives or RCRA? (Check one box per line)

Total Response: 46

	1) Very Great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Deadlines imposed by Federal legislation	6	9	14	11	6
2. Availability of technology to support Federal legislation	4	6	19	10	7
3. Obtaining State enabling legislation	6	10	12	6	12
4. Time it takes to issue EPA regulations and guidelines	26	13	3	3	1
5. Amount of flexibility in current EPA regulations and guidelines	8	18	18	0	2
6. Clarity of current EPA regulations and guidelines	6	15	9	10	6
7. Time it takes EPA to respond to technical questions and interpret its regulations and guidelines	6	11	11	11	7
8. Quality of EPA response to technical questions and interpretation of its regulations and guidelines	1	13	16	6	10
9. Extent of controls imposed on the State by EPA	10	10	15	8	3
10. Philosophical differences between EPA and the State on program priorities and objectives	7	14	10	5	10
11. Amount of Federal funding to support program administration costs	15	10	12	7	2
12. Timing of Federal funding to support program administration costs	8	17	11	5	5
13. Knowledge of the the amount future Federal funds to support State programs administration costs	23	9	8	3	3
14. Existing State policies to limit all program growth	7	8	9	14	8
15. Amount of State funding you receive to support program administration costs	8	9	16	6	7
16. Current level of Federal funds for municipalities to meet Federal environmental requirements	20	6	10	2	8
17. Number of staff in State program	10	12	13	10	1
18. Losses of experienced personnel	6	7	13	11	9
19. Ability to fill personnel vacancies	9	10	13	9	5
20. Current training programs available for State personnel	3	13	15	13	2
21. Split responsibility for environmental programs within State government	1	6	9	12	18
22. Current level of public support for environmental programs	3	9	9	18	7
23. Current level of Governorial and State Legislative support for environmental programs	2	9	14	10	11

Question 8. Does your State intend to submit a State or Regional Solid Waste Plan under Subtitle D of RCRA?

Definitely Yes (31)

CT	RI	MD	KY	MI	OK	SD	NV
ME	VT	VA	MS	WI	TX	UT	AK
MA	NY	AL	SC	AR	NE	AZ	ID
NH	DE	FL	IL	LA	MT	HI	

Probably Yes (13)

PA	NC	MN	NM	MO	WY	KS
WV	TN	OH	IA	ND	OR	

Uncertain (1)

CA

Probably No (1)

IN

Definitely No (0)

Question 9. Overall, to what extent, if any, does each of the following factors contribute to your State's decision not to submit a State or Regional Solid Waste Plan?

Key:

- 1. Very Great Extent
- 2. Substantial or Great Extent
- 3. Moderate Extent
- 4. Some Extent
- 5. Little or no Extent

	<u>Current Federal Funding</u>	<u>Current EPA Regulations & Guidelines of Federal Legislation</u>	<u>State Philosophical Differences w/Intent of Federal Legislation</u>	<u>State Resources Required to Implement & Administer the Program</u>	<u>State Enabling Legislation Needed</u>
IN	1	1	1	1	3

Question 10. In your opinion, what is the primary reason your State will not submit a State or Regional Solid Waste Plan?

IN Insufficient funding levels for local agencies to prepare plans & stiff Federal requirements to commit to do several activities the full nature & extent of which are not known at this time.

Question 11. Does your State plan to administer and enforce a Hazardous Waste Management program under Subtitle C of RCRA?

Definitely Yes (25)

CT	DE	SC	MN	TX
ME	AL	TN	WI	KS
MA	KY	IL	AR	NE
RI	MS	IN	LA	SD
NY	NC	MI	OK	AZ

Probably Yes (13)

NH	FL	NM	MO	ND	CA	ID
VT	OH	IA	MT	UT	NV	

Uncertain (7)

MD	VA	WY	OR
PA	WV	HI	

Probably No (1)

AK

Definitely No (0)

Question 12. Overall, to what extent, if any, does each of the following factors contribute to your State's decision not to administer and enforce a Hazardous Waste program?

Key:

1. Very Great Extent
2. Substantial or Great Extent
3. Moderate Extent
4. Some Extent
5. Little or No Extent

	<u>Current Probability Amount Continued Federal Funding</u>	<u>Current EPA Regulations & Guidelines</u>	<u>State Philosophical Differences w/Intent of Federal Legislation</u>	<u>State Resources Required to Implement & Administer the Program</u>	<u>State Enabling Legislation Needed</u>
AK	5	5	1	5	5

Question 13. In your opinion, what is the primary reason your State does not plan to administer and enforce a Hazardous Waste Program?

AK Too much effort for too little gain.

Question 14. How much positive or negative impact has the lack of final EPA regulations had on your RCRA program planning?

Significant Positive Impact (0)

Positive Impact (4)

PA AL LA MT

Little or No Impact (6)

ME MS HI MA MN ID

Negative Impact (20)

VT MD KY OH KS WY AK
NY VA TN AR MO AZ OR
DE WV IL IA UT NV

Significant Negative Impact (16)

CT NC NM ND NH SC
OK SD RI IN TX
CA FL MI NE WI

Question 15. How much positive or negative impact has the RCRA requirement that each State must receive at least one half percent of the total annual grants for solid waste planning had on your RCRA program?

Significant Positive Impact (2)

SD AK

Positive Impact (13)

NH VT NC MT UT AZ ID
RI VA NM ND WY HI

Little or No Impact (28)

CT DE AL TN MN LA KS
ME MD FL IL OH OK MO
MA PA KY IN WI TX NE
NY WV MS MI AR IA OR

Negative Impact (3)

SC CA NV

Significant Negative Impact (0)

State	Question 16						Question 17		Number Positions Expected by October 1, 1979
	Total Number		Number 100% State Funding		Number 100% Federal Funding		Number Jointly Funded		
	PA	PF	PA	PF	PA	PF	PA	PF	
NC	16	11	11	11	5	0	0	0	18
SC	35	30	27	24	8	6	0	0	37
TN	38	34	17	16	21	18	0	0	41
IL	109	77	40	37	69	40	0	0	124
IN	20	14	0	0	0	0	20	14	27
MI	41	27	27	27	14	0	0	0	51
MN	31	25	15	15	14	8	2	2	29
OH	28	24	0	0	0	0	28	24	34
WI	77	44	54	36	23	8	0	0	77
AR	13	11	8	7	4	3	1	1	25
LA	13	5	5	5	0	0	8	0	11
NM	9	7	5	4	2	1	2	2	9
OK	18	13	6	6	12	7	0	0	21
TX	58	52	26	26	22	18	10	8	80
IA	10	8	0	0	0	0	10	8	10
KS	6	5	0	0	0	0	6	5	8
MO	27	24	8	8	19	16	0	0	32
NE	10	8	0	0	0	0	10	8	10
MT	7	7	0	0	0	0	7	7	8
ND	5	5	1	1	4	4	0	0	5
SD	7	5	4	3	2	1	1	1	9
UT	7	6	3	3	4	3	0	0	7
WY	5	5	0	0	0	0	5	5	5
AZ	12	12	3	3	9	9	0	0	16-20
CA	110	95	80	NR	20	NR	10	NR	100
HI	4	4	NR	NR	NR	NR	NR	NR	4
NV	5	5	2	2	3	3	0	0	9
AK	10	9	8	7	1	1	1	1	11
ID	14	10	0	0	0	0	14	10	14
OR	33	27	24	20	9	7	0	0	34

Question 16. Please provide the following information regarding the number of professional positions in your RCRA program as of January 1, 1979.

Key:

PA Positions Authorized
 PF Positions Filled
 NR No Response

Note: Numbers Have Been Rounded

Question 17. In total, how many authorized professional positions do you expect your program to have by October 1, 1979?

6-17

State	Question 16						Question 17		Number Positions Expected by October 1, 1979
	Total Number		Number 100% State Funding		Number 100% Federal Funding		Number Jointly Funded		
	PA	PF	PA	PF	PA	PF	PA	PF	
CT	19	17	NR	NR	NR	NR	NR	NR	19
ME	5	2	0	0	5	2	0	0	7
MA	23	18	14	11	9	7	0	0	23
NH	7	7	3	3	4	4	0	0	9
RI	5	3	0	0	0	0	5	3	5
VT	6	6	0	0	0	0	6	6	8
NY	107	90	44	38	63	52	0	0	118
DE	6	3	1	1	5	2	0	0	6
MD	13	13	4	4	9	9	0	0	17
PA	30	28	2	2	2	0	26	26	42
VA	13	7	11	6	2	1	0	0	13
WV	11	9	6	6	5	3	0	0	9
AL	14	12	3	3	10	8	1	1	14
FL	26	22	0	0	0	0	26	22	36
KY	63	49	NR	NR	0	0	NR	NR	74
MS	11	10	3	3	8	7	0	0	14

Question 18. Have you had any difficulties filling authorized positions on a timely basis?

Yes (40)

CT	VT	VA	MS	IN	LA	KS	UT
ME	NY	WV	NC	MI	NM	MO	WY
MA	OR	AL	SC	OH	OK	NE	CA
NH	MD	FL	TN	WI	TX	MT	HI
RI	PA	KY	IL	AR	IA	SD	ID

No (6)

DE	ND	NV
MN	AZ	AK

Question 19. To what extent, if any, has each of the following been an obstacle to filling positions on a timely basis?

Key:

1. Very Great Extent
2. Substantial or Great Extent
3. Moderate Extent
4. Some Extent
5. Little or No Extent

61-9

State	State Salary	Ceilings On Authorized Staff	State-wide Freeze	State-wide Personnel Reductions	State Civil Service Procedures	Limited Recruiting Efforts	State Residency Requirement	Availability of Disciplines Needed	Perceived temporary nature of Federally supported positions
CT	3	3	2	2	3	4	5	2	4
ME	1	3	3	3	5	5	4	5	5
MA	1	2	1	3	1	5	5	5	4
NH	3	5	5	5	4	5	5	5	2
RI	3	5	5	5	5	4	5	1	3
VT	1	2	4	2	3	4	5	3	4
NY	1	2	5	4	3	4	5	1	2
MD	1	3	4	4	1	5	5	4	3
PA	3	2	1	1	3	4	5	3	2
VA	1	1	4	1	3	5	5	1	1
WV	1	2	3	4	1	5	5	3	3
AL	1	1	1	5	1	3	2	1	2
FL	2	1	5	5	1	4	5	3	1
KY	2	4	5	5	2	5	3	2	2
MS	2	3	5	5	1	3	5	5	2
NC	4	4	2	4	4	2	4	2	3
SC	2	4	5	3	5	1	5	3	5
TN	1	4	4	4	4	4	4	1	2
IL	2	3	5	5	2	4	5	2	3

<u>State</u>	<u>State Salary</u>	<u>Ceilings On Authorized Staff</u>	<u>State-wide Freeze</u>	<u>State-wide Personnel Reductions</u>	<u>State Civil Service Procedures</u>	<u>Limited Recruiting Efforts</u>	<u>State Residency Requirement</u>	<u>Availability of Disciplines Needed</u>	<u>Perceived temporary nature of Federally supported positions</u>
IN	2	5	5	5	2	3	5	2	5
MI	3	4	4	5	2	2	5	2	3
OH	1	3	5	3	2	3	5	5	4
WI	2	5	5	5	2	4	3	2	5
AR	1	2	4	4	4	3	5	3	4
LA	1	4	5	5	5	5	5	2	2
NM	3	3	3	5	3	5	5	4	3
OK	2	2	5	5	4	3	5	2	2
TX	2	5	5	5	5	5	5	2	4
IA	1	1	5	5	5	4	5	2	1
KS	2	4	5	5	4	5	5	1	3
MO	2	5	5	5	4	4	5	3	4
NE	3	5	5	5	5	4	5	2	5
MT	2	1	1	1	5	5	5	3	1
SD	2	2	2	5	5	5	5	2	3
UT	4	4	5	4	4	3	5	2	1
WY	1	5	5	5	5	5	5	1	5
CA	5	5	2	5	5	5	5	5	5
HI	5	5	5	5	2	5	5	5	2
ID	3	5	5	5	5	5	5	3	4
OR	2	5	3	5	1	4	5	2	3

Question 20. In your opinion, what has been the major barrier to filling positions?

- CT (a) Low salary for qualified professionals; (b) Statewide freeze (temporary).
- ME (a) Non-competitive state salaries--3 Federal positions have been vacant for an average of 7 months because State cannot find people willing to accept low salary.
- MA (a) State Civil Service procedures; (b) State salary structure.
- NH (a) Temporary status of job.
- RI (a) Availability of disciplines.
- VT (a) Some difficulty in filling middle management positions.
- NY (a) Delays in obtaining EPA program grant with subsequent delay in establishing positions.
- MD (a) State salary structure; (b) State Civil Service procedures.
- PA (a) Freeze policies.
- VA (a) State salary structure; (b) Availability of professional skills.
- WV (a) Salaries; (b) Civil Service procedures.
- AL (a) Salary; (b) State funding availability.
- FL (a) State Civil Service procedures.
- KY (a) Low salaries; (b) Undependable Federal funding.
- MS (a) Our State Classification Commission; (b) Budget Commission.
- NC (a) Delays in receiving EPA award notice coupled with the State's inability to respond quickly to personnel needs.
- SC (a) Lack of time on the part of existing staff to recruit and evaluate applicants.
- TN (a) Salaries.
- IL (a) Qualified personnel willing to work at present salary rate.
- IN (a) Salary; (b) Availability of specific disciplines; (c) State personnel procedures and assistance.
- MI (a) State Civil Service--regulatory requirements; (b) Qualifications of applicants.
- OH (a) State salary structure.
- WI (a) Tedious procedures that must be followed.
- AR (a) State salary structure; (b) Ceilings on staff levels.
- LA (a) Low State salaries.
- NM (a) Internal red tape; (b) State personnel regulations.
- OK (a) Inadequate salaries; (b) Lack of individuals with expertise.
- TX (a) Lack of qualified applicants; (b) Non-availability of disciplines needed.

IA (a) Ceilings on authorized staff; (b) Federal Funding;
(c) Salary structure.
KS (a) State salary structure; (b) The availability of disciplines needed.
MO (a) Low State salary.
NE (a) Available disciplines.
MT (a) Statewide freeze; (b) Temporary nature of Federally supported positions; (c) Ceilings on authorized staff levels; (d) Statewide personnel reduction.
SD (a) Lack of competitive salary; (b) Location of job.
UT (a) Funding stability; (b) RCRA funds; (c) State tax reform.
WY (a) Salary; (b) Finding qualified, experienced people.
CA (a) Statewide freeze on all hirings.
HI (a) State Civil Service procedures.
ID (a) Salary; (b) Disciplines needed.
OR (a) Cumbersome Civil Service procedures; (b) Lack of good applicants willing to work at State salaries and for "government" in general.

Question 21. For the two year period ending December 31, 1978, please enter below: (a) the approximate number of professional staff that have left your program voluntarily to take employment elsewhere, and (b) the approximate number of those who left who had three or more years of experience.

Question 22. If you have had professional staff leave during the past two years, what are the major reasons most often cited for leaving?

<u>State</u>	<u>Question 21</u>		<u>Question 22</u>
	<u>Number Who Left</u>	<u>With 3 Years Experience</u>	<u>Reasons Cited for Leaving</u>
CT	6	5	(a) More challenging opportunities in energy field; (b) Head specific sections of other States' programs; (c) Higher salaries.
ME	3	2	(a) Money.
MA	1	0	(a) Civil Service; (b) Salary.
NH	2	0	(a) Other higher paying State job; (b) Private company.
RI	0	0	
VT	2	2	(a) To seek private business ventures.
NY	6	3	(a) Higher salaries in industry; (b) Better prospects for advancement elsewhere.
DE	0	0	
MD	1	0	(a) Take better job (salary).
PA	4	4	(a) Salary inadequacies; (b) promotion limitations.
VA	4	3	(a) Salary
WV	3	2	(a) Salary; (b) Frustration with overall support.
AL	1	0	(a) Salary.
FL	0	0	
KY	17	12	(a) Better salary opportunities.
MS	3	0	(a) Salaries.
NC	1	0	(a) Seeking a position requiring greater engineering detail and less administrative duty.
SC	4	4	(a) More money; (b) Opportunities for overall professional growth.

Question 21

Question 22

<u>State</u>	<u>Number Who Left</u>	<u>With 3 Years Experience</u>	<u>Reasons Cited for Leaving</u>
TN	3	2	(a) Salaries--with little hope for improvement.
IL	17	2	(a) Expand horizons; (b) More money.
IN	5	2	(a) Salary; (b) Advancement; (c) personal fulfillment.
MI	4	1	(a) Different positions; (b) More salary; (c) Private enterprise
MN	10	4	(a) Got better, higher paying jobs.
OH	3	3	(a) Higher salary; (b) Greater opportunity for advancement.
WI	10	10	(a) Salary.
AR	7	2	(a) Better pay; (b) New program opportunities.
LA	1	1	(a) Better pay.
NM	4	1	(a) Dissatisfaction.
OK	6	5	(a) For better paying positions; (b) To work in an area better suited to their educational background.
TX	5	2	(a) Better opportunities; (b) Promotion.
IA	0	0	
KS	1	1	(a) Lack of opportunity for personal advancement.
MO	2	2	(a) Low salary; (b) Future opportunities.
NE	1	1	(a) Better job offers; (b) Money, responsibility, etc.
MT	2	2	(a) Frustration because of EPA requirements that have slowed solid waste progress considerably in this State; (b) Lack of enforcement on both the State & local level.
ND	0	0	
SD	5	3	(a) Better offers.
UT	2	2	(a) Employment advancement.
WY	0	0	
AZ	2	0	(a) Better job opportunity (permanent status-higher pay).
CA	10	5	(a) Temporary positions ending; (b) Move to private industry; (c) Advancement.
HI	0	0	

Question 21

Question 22

<u>State</u>	<u>Number Who Left</u>	<u>With 3 Years Experience</u>	<u>Reasons Cited for Leaving</u>
NV	1	1	(a) More money.
AK	2	0	(a) No response.
ID	5	5	(a) To enter the private sector of business.
OR	0	0	

Question 23. Overall, how would you characterize your relationship with EPA regional staff?

	<u>Number of States Responding</u>
Very Good	20
Good	20
Neither Good nor Bad	4
Poor	1
Very Poor	1

Question 24. To what extent, if at all, do you feel the EPA headquarters' staff understands the problems you face as a State program director in administering your program?

	<u>Number of States Responding</u>
Very Large Extent	0
Substantial Extent	3
Moderate Extent	11
Some Extent	19
Little or No Extent	13

Question 25. Overall, how does the current level of EPA headquarters' staff understanding of your problems impact on the effectiveness of your program?

	<u>Number of States Responding</u>
Significant Positive Impact	0
Positive Impact	6
Little or No Impact	8
Negative Impact	26
Significant Negative Impact	6

Question 26. To what extent, if any, has EPA monitoring of your performance under RCRA assisted you in improving program performance?

	<u>Number of States Responding</u>
Very Large Extent	0
Substantial Extent	2
Moderate Extent	9
Some Extent	14
Little or No Extent	21

Question 27. To what extent, if any, do you feel your viewpoint as a State program director is given adequate consideration in the following EPA processes?

	<u>Regulation Making Process</u>	<u>Policy Making Process</u>
Very Great Extent	0	0
Substantial or Great Extent	5	2
Moderate Extent	9	8
Some Extent	19	18
Little or No Extent	12	17

Question 28. Please enter the names of the organizations that you feel best represent your views to: a. the U.S. Congress and b. the EPA.

<u>Organizations</u>	<u>U.S. Congress</u>	<u>EPA</u>
National Governors Association (NGA)	22	27
None	12	8
Congressional Delegation	4	0
EPA	3	0
EPA Regional Staff	0	2
National Solid Waste Management Association (NSWMA)	3	1
State Agencies	2	4
Other (Organizations named only once)	3	8
No Response	1	0

Note: Responses are not additive due to multiple State responses.

Question 29. Name the organization(s) you are most likely to contact when you need information or assistance to carry out your program responsibilities:

<u>Organizations</u>	<u>States Responding</u>
EPA Regions	20
EPA	16
National Governors Association (NGA)	13
Counterpart agencies in other states	7
National Solid Waste Management Association (NSWMA)	5
Association of State & Territorial Solid Waste Management Officials (ASTSWMO)	2
Other (Organizations named only once)	16
None	1

Note: Responses are not additive due to multiple State responses.

SECTION 7
DIRECTORS OF STATE IMPLEMENTATION
OF THE SAFE DRINKING WATER ACT
QUESTIONNAIRE RESPONSES

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RESPONSES TO THE SURVEY OF
STATE IMPLEMENTATION OF THE
SAFE DRINKING WATER ACT

STATES RESPONDING (40)

Alaska	AK	Missouri	MO
Arizona	AZ	Montana	MT
Arkansas	AR	Nebraska	NE
California	CA	Nevada	NV
Colorado	CO	New Hampshire	NH
Connecticut	CT	New Jersey	NJ
Delaware	DE	New Mexico	NM
Florida	FL	New York	NY
Hawaii	HI	North Carolina	NC
Idaho	ID	North Dakota	ND
Illinois	IL	Ohio	OH
Iowa	IA	Oklahoma	OK
Kansas	KS	Rhode Island	RI
Kentucky	KY	South Carolina	SC
Louisiana	LA	Texas	TX
Maine	ME	Vermont	VT
Maryland	MD	Virginia	VA
Michigan	MI	Washington	WA
Minnesota	MN	West Virginia	WV
Mississippi	MS	Wisconsin	WI

U.S. GENERAL ACCOUNTING OFFICE

Survey Of State Implementation Of
The Safe Drinking Water Act



General Instructions

The U.S. General Accounting Office is studying the problems faced by the States in implementing and administering Federal environmental programs. The purpose of this questionnaire is to obtain information on your program(s) and to determine the significance of the problems State environmental program managers face. We are sending similar questionnaires to the directors of the air pollution control, drinking water, pesticides, solid waste and water pollution control programs in all 50 States as well as to the administrator of each State's environmental agency.

While the questions that follow are based largely on our discussions with program officials in seven States, we have attempted to provide a format that will be readily adaptable to all States. If you feel that the format of any question does not fit your situation, please add the necessary explanatory notes. Moreover, feel free to make any additional comments on your program, this questionnaire or related topics.

If you have any questions, please call Donald Hunter at (617) 223-6536.

After completing the questionnaire please return it in the self-addressed postage paid envelope by January 19, 1979.

NOTE: Throughout this questionnaire, EPA refers to the Federal Environmental Protection Agency.

Thank you for your cooperation.

RESPONDENT INFORMATION:

1. Please provide the name, title and telephone number of the person completing this questionnaire.

NAME: _____

TITLE: _____

TELEPHONE: _____
(Area Code) Number

2. Other than administering the Safe Drinking Water Act (SDWA) are you responsible for managing any other programs? (Check one)

1. No (GO TO QUESTION 4)

2. Yes

3. About what percent of your time is devoted to administering the SDWA program? (Enter percent) _____ %

4. As Director, what type of position do you hold? (Check one)

1. Elective

2. Appointed by the Governor

3. Appointed by the Department or Agency head

4. Civil service

5. Other (Please specify) _____

5. How long have you held your current position? (Enter years/months)

_____ years _____ months

6. Which of the following best describes the position of your program in the States' organizational structure? (Check one)

1. Separate department
2. Part of State Health department
3. Part of State environmental agency
4. Other, (please specify) _____

7. How many community and non-community drinking water systems do you have in your State? (Enter number; if estimated place an "E" after the number)

Community systems _____

Non-community systems _____

MANAGEMENT OF FEDERAL ENVIRONMENTAL PROGRAMS

8. To what extent, if at all, is each of the factors listed below an obstacle to managing your program to meet the objectives of the Safe Drinking Water Act? (Check one box per line)

	1) Very great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Deadlines imposed by Federal legislation					
2. Availability of technology to support Federal legislation					
3. Obtaining State enabling legislation					
4. Time it takes to issue EPA regulations and guidelines					
5. Amount of flexibility in current EPA regulations and guidelines					
6. Clarity of current EPA regulations and guidelines					
7. Time it takes EPA to respond to technical questions and interpret its regulations and guidelines					
8. Quality of EPA response to technical questions and interpretation of its regulations and guidelines					
9. Extent of controls imposed on the State by EPA					
10. Philosophical differences between EPA and the State on program priorities and objectives					
11. Amount of Federal funding to support program administration costs					
12. Timing of Federal funding to support program administration costs					
13. Knowledge of the the amount of future Federal funds to support State programs administration costs					
14. Existing State policies to limit all program growth					
15. Amount of State funding you receive to support program administration costs					
16. Current level of Federal funds for municipalities to meet Federal environmental requirements					
17. Number of staff in State program					
18. Losses of experienced personnel					
19. Ability to fill personnel vacancies					
20. Current training programs available for State personnel					
21. Split responsibility for environmental programs within State government					
22. Current level of public support for environmental programs					
23. Current level of Governorial and State legislative support for environmental programs					

9. Please list below the three factors you feel currently have the greatest negative impact on your program.

a. _____

b. _____

c. _____

12. To what extent, if any, was or is each of the factors listed below obstacle to the passage of enabling legislation in your State? (Check one box per line)

1) Very slight extent
 2) Slight extent
 3) Great extent
 4) Moderate extent
 5) Little extent or no extent

1. Current amount of Federal funding					
2. Probability of continued Federal funding support					
3. Current EPA regulations and guidelines					
4. State philosophical differences with intent of Federal legislation					
5. State resources required to implement and administer the program					

LEGISLATION

10. Consider all provisions of the SWDA that are applicable to your program. To date, has your State enacted the necessary laws to implement all of those provisions? (Check one)

1. Yes (GO TO QUESTION 12)
2. No

11. Please list below the provisions for which your State still needs enabling legislation and the date by which you expect enabling legislation will be passed.

Provision legislation needed	Date passage expected
_____	_____
_____	_____
_____	_____

13. In your opinion what has been the major barrier, if any, to passage of State enabling legislation? (Please explain)

STATE ACCEPTANCE OF PROGRAM RESPONSIBILITY

14. Does your office, EPA, or another State office currently have the primary responsibility for the following programs in your State? (Check one box per line)

	Your Office	EPA	Other
1. Underground Injection Control Program	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> _____ (please specify)
2. Surface Impoundment Assessment Study (Pits, Ponds and Lagoons Study)	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> _____ (please specify)
3. Surveillance of Surface Water Systems	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> _____ (please specify)
4. Enforcement of Surface Water Systems	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> _____ (please specify)
5. Surveillance of Ground Water Systems	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> _____ (please specify)
6. Enforcement of Ground Water Systems	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/> _____ (please specify)

15. If your office does not have primary responsibility for all programs listed in question 14, how much of a problem, if any, does this present to your implementation of the SDWA? (Check one)

- 1. Not a problem
- 2. Somewhat of a problem
- 3. Moderate problem
- 4. Substantial problem
- 5. Very great problem
- 6. Not applicable

16. Does your office have final site approval authority for the location of each of the following? (Check one box per line)

- | | Yes | No |
|-----------------------------------|--------------------------|--------------------------|
| 1. Land application of wastewater | <input type="checkbox"/> | <input type="checkbox"/> |
| 2. Wastewater sludge disposal | <input type="checkbox"/> | <input type="checkbox"/> |
| 3. Sanitary landfill | <input type="checkbox"/> | <input type="checkbox"/> |
| 4. Hazardous waste disposal | <input type="checkbox"/> | <input type="checkbox"/> |

17. In your opinion, do your State's current site approval processes for each of the following adequately protect groundwater supplies? (Check one box per line)

	1) Definitely yes	2) Probably yes	3) Uncertain	4) Probably no	5) Definitely no
1. Land application of wastewater					
2. Wastewater sludge disposal					
3. Sanitary landfill					
4. Hazardous waste disposal					

PROGRAM RESOURCES

18. Please provide the following information regarding the number of professional positions in your program as of January 1, 1979. (Enter numbers in space provided, if none, enter 0)

	<u>Positions Authorized</u>	<u>Positions Filled</u>
Total number	_____	_____
Number 100% State funding	_____	_____
Number 100% Federal funding	_____	_____
Number jointly funded	_____	_____

19. In total, how many authorized professional positions do you expect your program to have by October 1, 1979? (Enter total number of positions)

_____ Number positions

20. Based on the total staff you expect to have on board by October 1, 1979, how often do you feel your office will be able to monitor each source of drinking water in your State? (Enter number of months)

- 1. Community systems - once every _____ months
- 2. Non-community systems - once every _____ months

21. How adequate do you feel this anticipated monitoring frequency will be for each type of system? (Check one box per line)

	1) More than adequate	2) Adequate	3) Less than adequate
1. Community system			
2. Non-community systems			

22. Have you had any difficulties filling authorized positions on a timely basis? (Check one)

- 1. Yes
- 2. No (GO TO QUESTION 25)

23. To what extent, if any have each of the following been obstacles to filling positions on a timely basis? (Check one box per line)

	1) Very great extent	2) Substantial extent	3) Equal extent or less	4) Moderate extent	5) Little or no extent
1. State salary structure					
2. Ceilings on authorized staff levels					
3. Statewide freeze on all hirings					
4. Statewide personnel reductions					
5. State Civil Service procedures					
6. Limited recruiting efforts					
7. State residency requirement					
8. Availability of disciplines needed					
9. Perceived temporary nature of Federally supported positions					

24. In your opinion what has been the major barrier to filling positions?

.....

.....

.....

25. For the two year period ending December 31, 1978, please enter below: a. the approximate number of professional staff that have left your program voluntarily to take employment elsewhere, and b. the approximate number of those who left who had three or more years of experience. (Enter numbers in spaces provided, if none, enter 0)

a. Number who left

b. Number who left with three or more years experience

26. If you have had professional staff leave during the past two years what are the major reasons most often cited for leaving?

.....

.....

.....

.....

EPA-STATE RELATIONSHIPS

27. Overall how would you characterize your relationship with EPA regional staff? (Check one)

- 1. Very good
- 2. Good
- 3. Neither good nor bad
- 4. Poor
- 5. Very poor

27a. Why? (Please explain)

.....

.....

.....

28. To what extent, if at all, do you feel the EPA headquarters staff understands the problems you face as a State program director in administering your program? (Check one)

- 1. Very large extent
- 2. Substantial extent
- 3. Moderate extent
- 4. Some extent
- 5. Little or no extent

29. Overall, how does the current level of EPA headquarters staff understanding of your problems impact on the effectiveness of your program? (Check one)

- 1. Significant positive impact
- 2. Positive impact
- 3. Little or no impact
- 4. Negative impact
- 5. Significant negative impact

30. To what extent, if any, has EPA monitoring of your performance under SDWA assisted you in improving program performance? (Check one)

- 1. Very large extent
- 2. Substantial extent
- 3. Moderate extent
- 4. Some extent
- 5. Little or no extent

31. To what extent, if any, do you feel your viewpoint as a State program director is given adequate consideration in the following EPA processes? (Check one box per line)

	1) Very great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Regulation making process					
2. Policy making process					

32. Please enter below the name(s) of the organization(s), that you feel best represent your views to: a. the U.S. Congress and b. the EPA.

- a. U.S. Congress _____
- b. EPA _____

33. Please enter below the name of the organization(s) you are most likely to contact when you need information or assistance to carry out your program responsibilities.

OTHER

34. If you have any additional comments on any of the items in the questionnaire, or related topics not covered, please use the space below and additional pages if necessary. Thank you for your cooperation in completing this questionnaire.

RESPONSES TO QUESTIONNAIRES

Question 6. Which of the following best describes the position of your program in the State's organizational structure?

Separate Department (0)

Part of State Health Department (29)

CT	NY	WV	MI	OK	CO	CA
ME	DE	MS	MN	TX	MT	HI
RI	MD	NC	AR	KS	ND	NV
VT	VA	SC	LA	NE	AZ	ID
WA						

Part of State Environmental Agency (10)

NH	FL	IL	WI	IA
NJ	KY	OH	NM	AK

Other - Please Specify (1)

MO Department of Natural Resources.

Question 7. How many community and non-community drinking water systems do you have in your State? (Enter number; if estimated, place an "E" after the number).

<u>State</u>	<u>Community Systems</u>	<u>Non-Community Systems</u>	<u>State</u>	<u>Community Systems</u>	<u>Non-Community Systems</u>
CT	793	4000E	WI	1200	15000
ME	355	3000	AR	576	467
NH	450	2000E	LA	1015	1546
RI	100E	500E	NM	600E	600E
VT	370	2200E	OK	1115	1300E
NJ	760	10000E	TX	5000E	3000E
NY	3650	15000E	IA	1300E	1450E
DE	183	500E	KS	925	1045E
MD	625	5000E	MO	1250E	3-5000E
VA	2700E	9000E	NE	635	900E
WV	834	2200	CO	750E	2000E
FL	3100	4100	MT	557	1000E
KY	697	658	ND	316	603
MS	1700	1000	AZ	1050E	670E
NC	2974	14000E	CA	5500E	6000E
SC	1000E	1500E	HI	141	34
IL	2000E	30000E	NV	350E	700E
MI	1437	14000E	AK	439	400+E
MN	950E	6000E	ID	600E	1600E
OH	1725	15000E	WA	2536	1356

Question 8. To what extent, if at all, is each of the factors listed below an obstacle to managing your program to meet the objectives of the Safe Drinking Water Act?

Total Response: 40

	1) Very Great extent	2) Substantial extent	3) Moderate extent	4) Some extent	5) Little or no extent
1. Deadlines imposed by Federal legislation	9	13	8	5	5
2. Availability of technology to support Federal legislation	7	10	10	6	7
3. Obtaining State enabling legislation	2	4	5	13	16
4. Time it takes to issue EPA regulations and guidelines	4	7	13	12	4
5. Amount of flexibility in current EPA regulations and guidelines	15	15	4	5	1
6. Clarity of current EPA regulations and guidelines	3	13	9	11	4
7. Time it takes EPA to respond to technical questions and interpret its regulations and guidelines	8	7	10	7	8
8. Quality of EPA response to technical questions and interpretation of its regulations and guidelines	5	7	9	12	7
9. Extent of controls imposed on the State by EPA	9	15	9	7	0
10. Philosophical differences between EPA and the State on program priorities and objectives	9	9	9	6	7
11. Amount of Federal funding to support program administration costs	2	11	8	14	5
12. Timing of Federal funding to support program administration costs	12	11	6	6	5
13. Knowledge of the the amount of future Federal funds to support State programs administration costs	10	11	12	3	4
14. Existing State policies to limit all program growth	9	7	13	6	5
15. Amount of State funding you receive to support program administration costs	10	6	8	12	4
16. Current level of Federal funds for municipalities to meet Federal environmental requirements	10	6	4	12	8
17. Number of staff in State program	3	19	10	8	0
18. Losses of experienced personnel	9	9	7	6	9
19. Ability to fill personnel vacancies	13	11	7	5	4
20. Current training programs available for State personnel	2	4	13	8	13
21. Split responsibility for environmental programs within State government	2	2	6	9	21
22. Current level of public support for environmental programs	0	6	11	10	13
23. Current level of Governatorial and State Legislative support for environmental programs	1	8	10	9	12

Question 10. Consider all provisions of the SDWA that are applicable to your program. To date, has your State enacted the necessary laws to implement all of those provisions?

Yes (38)

CT	NY	FL	MI	LA	KS	ND	AK
ME	DE	KY	MN	NM	MO	AZ	ID
NH	MD	MS	OH	OK	NE	CA	WA
RI	VA	SC	WI	TX	CO	HI	
NJ	WV	IL	AR	IA	MT	NV	

No (2)

VT NC

Question 11. Please list below the provisions for which your State still needs enabling legislation and the date by which you expect enabling legislation will be passed.

VT Complete legislative authority in all areas--April 1979.
NC New Water Law--June 1979.

Question 12. To what extent, if any, was or is each of the factors listed below an obstacle to the passage of enabling legislation in your State?

Key:

- 1 Very Great Extent
- 2 Substantial or Great Extent
- 3 Moderate Extent
- 4 Some Extent
- 5 Little or No Extent

<u>State</u>	<u>Current Amount Federal Funding</u>	<u>Probability Continued Federal Funding</u>	<u>Current EPA Regulations & Guidelines</u>	<u>State Philosophical Differences With Intent of Federal Legislation</u>	<u>State Resources Required To Implement and Administer the Program</u>
CT	5	4	5	5	4
ME	5	4	3	1	3
NH	3	2	4	2	3
RI	5	5	5	5	5
VT	2	2	5	4	4
NJ	5	5	5	3	2
NY	5	5	5	5	5
DE	5	5	5	5	5
MD	4	4	5	5	1
VA	5	3	3	3	4
WV	4	1	3	3	5
FL	3	4	3	2	4
KY	4	4	4	4	4
MS	5	2	2	3	2
NC	4	2	2	1	1
SC	4	4	3	3	2
IL	5	5	5	5	5
MI	5	1	3	1	5
MN	5	1	4	4	4
OH	4	3	4	4	4
WI	3	2	2	3	5
AR	5	5	5	5	5
LA	5	5	1	1	5
NM	2	2	2	3	2
OK	5	1	5	5	4
TX	5	5	3	3	5
IA	2	1	1	2	1
KS	5	2	5	2	2
MO	3	2	2	2	3
NE	4	1	3	3	2

<u>State</u>	<u>Current Amount Federal Funding</u>	<u>Probability Continued Federal Funding</u>	<u>Current EPA Regulations & Guidelines</u>	<u>State Philosophical Differences With Intent of Federal Legislation</u>	<u>State Resources Required To Implement and Administer the Program</u>
CO	5	5	4	4	4
MT	5	3	1	3	2
ND	4	3	3	4	3
AZ	5	3	2	1	2
CA	5	5	5	2	2
HI	5	5	4	5	3
NV	1	1	2	2	3
AK	4	4	1	2	2
ID	4	4	3	3	3
WA	5	2	4	4	4

Question 13. In your opinion, what has been the major barrier, if any, to passage of State enabling legislation?

CT Nothing.
ME Attitude of State Legislature.
NH Probability of continuing Federal funding.
RI No response.
VT Cost of improving water systems (\$50 million in VT) and Federal timetable to be in compliance.
NJ Initially some question as to whether the State should assume primacy.
NY No legislative changes were required for New York State to accept primacy.
DE Enabling legislation existing prior to Safe Drinking Water Act.
MD Not applicable--legislation passed.
VA No response.
WV Justification for additional regulations and assurance of federal funding.
FL That it would require the State to spend more money for the program in the long run.
KY None.
MS Enabling legislation has been passed.
NC Requirement that State amend its laws and regulations to conform to federal laws and regs. in almost every detail. This does not allow a State to respond to its particular circumstances and conditions.
SC Many State legislators, in reading the public mood, are opposed to any new federal regulation or control.
IL Difficulty in having a minor change in legislation introduced and acted upon when major legislation is being considered.
MI Concern for what will happen to program--financially and EPA program requirements.
MN Probability of continued Federal support.
OH Efforts by public interest group, supported by USEPA, to eliminate any flexibility on part of Ohio EPA in dealing with USEPA. Where will money come from to pay for total expanded program.
WI Legislators concern over taking on another Federal program.
AR Adequate public health laws were existing prior to P.L. 93-523.
LA Not applicable.
NM Funding.
OK No response.

TX None, really.
IA None.
KS It passed in Kansas.
MO The fact that it is another Federally mandated program.
NE Resistance to Federal incentive assistance when program need is not locally recognized.
CO No barrier.
MT EPA regulations.
ND None.
AZ People are opposed to Federal control
CA Philosophical questions re: should the State participate.
HI The potential impact and financial responsibility on the agricultural industry in the State.
NV Continuing Federal funding.
AK Impact of program on small groups of people.
ID Feelings that government should be cut, not increased.
WA Legislation was not required. If it were necessary, the major problem would be reaction (rejection) of OSHA-type legislation, with the State being a pawn in the game.

Question 14. Does your office, EPA or another State office currently have the primary responsibility for the following programs in your State?

<u>State</u>	<u>Underground Injection Control Program</u>	<u>Surface Impoundment Assessment (Pits, Ponds & Lagoons Study)</u>
CT	Dept. of Environmental Protection	Your Office
ME	Dept. of Environmental Protection	Dept. of Environmental Protection
NH	Your Office	Your Office
RI	No program in Rhode Island	Dept. of Environmental Management
VT	Agency of Environmental Conservation	Agency of Environmental Conservation
NJ	Water Quality Planning & Management Element	Water Quality Planning & Management Element
NY	Dept. of Health	Your Office
DE	Dept. of Natural Resources & Environmental Control	Dept. of Natural Resources & Environmental Control
MD	Water Resources Administration, Dept. of Natural Resources	Water Resources Administration, Dept. of Natural Resources
VA	Your Office	Have contracted with State Water Control Board
WV	Dept. of Natural Resources	Dept. of Natural Resources
FL	EPA	Your Office
KY	None	Dept. of Natural Resources and Environmental Protection, Division of Water Quality
MS	No agency yet	Another Division of Board of Health
NC	Dept. of Natural Resources and Community Development	Dept. of Natural Resources and Community Development
SC	Your Office	Your Office
IL	IL EPA/Dept. of Land Pollution Control	IL EPA/Dept. of Land Pollution Control
MI	Natural Resources	Your Office
MN	EPA	MN Pollution Control Agency
OH	EPA	Your Office
WI	State Not Designated	Your Office
AR	Oil and Gas Commission	Pollution Control and Ecology Conservation Office
LA	Conservation Office	Conservation Office
NM	NM Oil and Gas Commission	NM Oil and Gas Commission
OK	Solid and Industrial Wastes, Dept. of Health	Solid and Industrial Wastes, Dept. of Health
TX	Water Resources Dept.	Water Resources Dept.
IA	EPA	University of Iowa
KS	Bureau of Water Quality - Oil Field and Geology	Bureau of Water Quality - Oil Field and Geology
MO	None	Outside Study

<u>State</u>	<u>Underground Injection Control Program</u>	<u>Surface Impoundment Assessment (Pits, Ponds & Lagoons Study)</u>
NE	Dept. of Environmental Control	Dept. of Environmental Control
CO	EPA	Your Office
MT	Your Office	Your Office
ND	Your Office	Your Office
AZ	EPA	Bureau of Sanitation
CA	State Water Resources Control Board	EPA
HI	EPA	State "208" Plan
NV	Division of Environmental Protection	Division of Environmental Protection
AK	Your Office	Your Office
ID	Water Resources	Your Office
WA	Dept. of Ecology	Dept. of Ecology

<u>State</u>	<u>Surveillance of Surface Water Systems</u>	<u>Enforcement of Surface Water Systems</u>
CT	Your Office	Your Office
ME	Your Office	Your Office
NH	Your Office	Your Office
RI	Your Office	Your Office
VT	EPA	EPA
NJ	Your Office	Your Office
NY	Your Office	Your Office
DE	Your Office	Your Office
MD	Your Office	Your Office
VA	Your Office	Your Office
WV	Your Office	Your Office
FL	Your Office	Your Office
KY	Your Office	Your Office
MS	Your Office	Your Office
NC	EPA	EPA
SC	Your Office	Your Office
IL	Your Office	Your Office
MI	Your Office	Your Office
MN	Your Office	Your Office
OH	EPA	EPA
WI	Your Office	Your Office
AR	Your Office	Your Office
LA	Your Office	Your Office
NM	Your Office	Your Office
OK	Your Office	Your Office
TX	Your Office	Your Office
IA	Your Office	Your Office
KS	Water Quality Bureau	Water Quality Bureau
MO	Your Office	Your Office
NE	Dept. of Environmental Control & Dept. of Games & Parks	Dept. of Environmental Control & Dept. Water Resources
CO	Your Office	Your Office
MT	Your Office	Your Office
ND	Your Office	Your Office
AZ	Your Office	Your Office
CA	Your Office	Your Office
HI	Your Office	Your Office
NV	Your Office	Your Office
AK	Your Office	Your Office
ID	Your Office	Your Office
WA	Your Office	Your Office

<u>State</u>	<u>Surveillance of Ground Water Systems</u>	<u>Enforcement of Ground Water Systems</u>
CT	Your Office	Your Office
ME	Your Office	Your Office
NH	Your Office	Your Office
RI	Your Office	Your Office
VT	EPA	EPA
NJ	Your Office	Your Office
NY	Your Office	Your Office
DE	Your Office	Your Office
MD	Your Office	Your Office
VA	Your Office	Your Office
WV	Your Office	Your Office
FL	Your Office	Your Office
KY	Your Office	Your Office
MS	Your Office	Your Office
NC	EPA	EPA
SC	Your Office	Your Office
IL	Your Office	Your Office
MI	Your Office	Your Office
MN	Your Office	Your Office
OH	EPA	EPA
WI	Your Office	Your Office
AR	Your Office	Your Office
LA	Your Office	Your Office
NM	Your Office	Your Office
OK	Your Office	Your Office
TX	Your Office	Your Office
IA	Your Office	Your Office
KS	Water Quality Bureau	Water Quality Bureau
MO	Your Office	Your Office
NE	Dept. of Environmental Control Conservation & Surveys Divi- sion, University of Nebraska	Dept. of Environmental Control & Dept. of Water Resources
CO	Your Office	Your Office
MT	Your Office	Your Office
ND	Your Office	Your Office
AZ	Your Office	Your Office
CA	Your Office	Your Office
HI	Your Office	Your Office
NV	Your Office	Your Office
AK	Your Office	Your Office
ID	Your Office	Your Office
WA	Your Office	Your Office

Question 15. If your office does not have primary responsibility for all programs listed in Question 14, how much of a problem, if any, does this present to your implementation of the SDWA?

Not a Problem (23)

RI	WV	MS	WI	NM	NE	AZ	NV
NJ	FL	MI	AR	OK	CO	CA	WA
VA	KY	OH	LA	TX	MT	HI	

Somewhat of a Problem (8)

CT	VT	IL	AK
ME	MD	MO	ID

Moderate Problem (3)

DE	MN	IA
----	----	----

Substantial Problem (1)

NC

Very Great Problem (0)

Not applicable (5)

NH	NY	SC	KS	ND
----	----	----	----	----

Question 16. Does your office have final site approval authority for the location of each of the following?

State	Land Application of Wastewater		Wastewater Sludge Disposal		Sanitary Land Fill		Hazardous Waste Disposal	
	Yes	No	Yes	No	Yes	No	Yes	No
CT		X		X		X		X
ME		X		X		X		X
NH	X		X			X		X
RI		X		X		X		X
VT		X		X		X		X
NJ		X		X		X		X
NY		X		X		X		X
DE		X		X		X		X
MD		X		X		X		X
VA		X		X		X		X
WV	X		X		X			X
FL	X		X		X		X	
KY		X		X		X		X
MS		X	X		X		X	
NC		X		X	X		X	
SC		X		X		X		X
IL	X		X		X		X	
MI		X		X		X		X
MN		X		X		X		X
OH		X		X		X		X
WI		X		X		X		X
AR	X		X			X		X
LA	X		X		X		X	
NM		X		X		X		X
OK		X		X		X		X
TX		X		X	X			X
IA	X		X		X			X
KS	X		X		X		X	
MO	X		X		X		X	
NE		X		X		X		X
CO	X		X			X		X
MT	X		X		X		X	
ND		X		X		X		X
AZ	X		X			X		X
CA		X		X		X		X
HI		X		X		X		X
NV		X		X		X		X
AK	X		X		X		X	
ID	X		X		X		X	
WA		X		X		X		X

Question 17. In your opinion, do your State's current site approval processes for each of the following adequately protect groundwater supplies?

Key:
 DY Definitely Yes
 PY Probably Yes
 U Uncertain
 PN Probably No
 DN Definitely No
 NA Not Applicable

<u>State</u>	<u>Land Application of Wastewater</u>	<u>Wastewater Sludge Disposal</u>	<u>Sanitary Landfill</u>	<u>Hazardous Waste Disposal</u>
CT	PY	PY	PN	DN
ME	PN	PN	DN	DN
NH	PY	PY	PN	U
RI	NA	U	U	U
VT	PY	PY	PY	PN
NJ	PY	U	U	U
NY	PY	U	PN	PN
DE	U	U	U	U
MD	PY	PY	PY	U
VA	DY	DY	DY	DY
WV	PY	DY	DY	U
FL	PY	PY	PY	PY
KY	DY	DY	DY	DY
MS	PY	PY	DY	PY
NC	PY	PY	DY	DY
SC	PN	PN	PN	PY
IL	PY	DY	DY	DY
MI	PY	U	PN	DN
MN	PN	PN	PN	PN
OH	PY	PY	PY	PY
WI	PY	PY	U	U
AR	DY	DY	PY	PY
LA	PY	PY	PY	PY
NM	DY	DY	PY	PY
OK	DY	DY	DY	DY
TX	DY	DY	DY	DY
IA	DY	DY	U	DN
KS	PY	PY	PY	PY
MO	DN	PY	PY	PN
NE	PY	PY	PY	U
CO	PN	PN	U	PN

<u>State</u>	<u>Land Application of Wastewater</u>	<u>Wastewater Sludge Disposal</u>	<u>Sanitary Landfill</u>	<u>Hazardous Waste Disposal</u>
MT	DY	DY	PY	PY
ND	NA	NA	NA	NA
AZ	PY	PN	DN	U
CA	PY	PY	PY	PY
HI	PY	PY	PY	DY
NV	U	U	U	U
AK	DY	DY	DY	DY
ID	PY	PY	PY	PY
WA	DY	PY	PY	U

Question 18. Please provide the following information regarding the number of professional positions in your program as of January 1, 1979.

Key:
 PA Positions Authorized
 PF Positions Filled

Note: All numbers have been rounded.

Question 19. In total, how many authorized professional positions do you expect your program to have by October 1, 1979?

State	Question 18						Question 19		
	Total Number		Number 100% State Funding		Number 100% Federal Funding		Number Jointly Funded		Number Positions Expected By
	PA	PF	PA	PF	PA	PF	PA	PF	October 1, 1979
CT	16	15	3	3	13	12	0	0	16
ME	6	5	4	4	2	1	0	0	7
NH	24	24	10	10	14	14	0	0	25
RI	10	7	3	2	7	5	0	0	10
VT	17	17	9	9	8	8	0	0	18
NJ	15	12	7	6	8	6	0	0	17
NY	133	113	19	14	59	49	55	50	135
DE	15	13	3	3	7	5	5	5	15
MD	12	12	2	2	10	10	0	0	12
VA	51	46	0	0	0	0	51	46	51
WV	26	13	9	7	17	6	0	0	26
FL	62	47	35	34	27	13	0	0	62
KY	23	19	0	0	0	0	23	19	23
MS	11	9	0	0	0	0	11	9	11
NC	40	33	26	23	14	10	0	0	42
SC	20	17	11	8	9	9	0	0	20
IL	31	26	0	0	0	0	31	26	36
MI	39	36	17	16	22	20	0	0	43
MN	28	28	18	18	10	10	0	0	28
OH	67	51	0	0	6	6	61	45	67
WI	26	23	0	0	0	0	26	23	32
AR	17	7	10	7	7	0	0	0	17
LA	38	26	0	0	0	0	38	26	38
NM	35	32	25	25	10	7	0	0	35
OK	22	22	0	0	6	6	16	16	22

State	Question 18						Question 19		
	Total Number		Number 100% State Funding		Number 100% Federal Funding		Number Positions Expected By October 1, 1979		
	PA	PF	PA	PF	PA	PF	PA	PF	
TX	99	87	39	37	60	50	0	0	99
IA	21	20	0	0	0	0	21	20	21
KS	23	18	0	0	0	0	23	18	15
MO	25	25	25	25	0	0	0	0	39
NE	15	12	0	0	0	0	15	12	15
CO	23	21	4	4	15	13	4	4	24
MT	5	5	0	0	0	0	5	5	5
ND	8	8	0	0	0	0	8	8	8
AZ	11	11	5	5	6	6	0	0	14
CA	100	85	76	64	24	21	0	0	115
HI	3	3	0	0	0	0	3	3	3
NV	10	8	3	3	7	5	0	0	10
AK ^{a/}	15	15	8	8	6	6	1	1	15
ID	9	9	0	0	0	0	9	9	10
WA	40	35	0	0	0	0	40	35	40

^{a/} Includes other department programs.

Question 20. Based on the total staff you expect to have on board by October 1, 1979, how often do you feel your office will be able to monitor each source of drinking water in your State?

Question 21. How adequate do you feel this anticipated monitoring frequency will be for each type of system?

Key:
 MTA More Than Adequate
 A Adequate
 LTA Less Than Adequate
 NR No Response

State	Question 20		Question 21	
	Community Systems (Months)	Non-Community Systems (Months)	Community Systems	Non-Community Systems
CT	12	36	A	LTA
ME	6	12+	A	A
NH	12	36	A	A
RI	twice every month	3	A	A
VT	12	12	LTA	A
NJ	12	48	A	LTA
NY	17	17	LTA	LTA
DE	12	24	A	A
MD	4	12	A	A
VA	12	12	LTA	LTA
WV	12	36	A	A
FL	24	36	LTA	LTA
KY	12	36	A	A
MS	12-36	12	A	A
NC	24	0	A	LTA
SC	12	36	A	LTA
IL	surface 24	NR	MTA	A
	groundwater 36			
MI	12	60	A	LTA
MN	15	36-48	A	A
OH	24	120	A	LTA
WI	12	60	A	A
AR	24	48	LTA	LTA
LA	1	3	A	A
NM	6	12	LTA	LTA

State	Question 20		Question 21	
	<u>Community Systems (Months)</u>	<u>Non-Community Systems (Months)</u>	<u>Community Systems</u>	<u>Non-Community Systems</u>
OK	3	12	A	A
TX	24	42	A	A
IA	36	NR	A	LTA
KS	24	NR	A	LTA
MO	4	12	A	LTA
NE	1	3	A	A
CO	3	12	A	A
MT	12	12	A	A
ND	24	24	LTA	LTA
AZ	24	36	LTA	LTA
CA	12	24	A	LTA
HI	1	1	MTA	MTA
NV	12	18	A	A
AK	24	48	LTA	LTA
ID	1	4	A	A
WA	36-60	36-60	LTA	LTA

Question 22. Have you had any difficulties filling authorized positions on a timely basis?

Yes (39)

CT	NJ	WV	SC	WI	KS	AZ	ID
ME	NY	FL	IL	AR	MO	CA	WA
NH	DE	KY	MI	LA	NE	HI	AK
RI	MD	MS	MN	OK	CO	NV	NM
VT	VA	NC	OH	TX	IA	MT	

No (1)

ND

Question 23. To what extent, if any, have each of the following been obstacles to filling positions on a timely basis?

Key:

- 1 Very Great Extent
- 2 Substantial or Great Extent
- 3 Moderate Extent
- 4 Some Extent
- 5 Little or No Extent

7-29

<u>State</u>	<u>State Salary</u>	<u>Ceilings on Authorized Staff</u>	<u>State-Wide Freeze</u>	<u>State-Wide Personnel Reductions</u>	<u>State Civil Service Procedures</u>	<u>Limited Recruiting Efforts</u>	<u>State Residency Requirement</u>	<u>Availability of Disciplines Needed</u>	<u>Perceived Temporary Nature of Federally Supported Positions</u>
CT	3	5	3	5	4	4	5	5	5
ME	1	1	1	1	3	5	5	4	4
NH	1	2	2	5	3	3	5	2	1
RI	2	5	4	5	5	4	5	3	4
VT	3	3	5	4	1	3	5	2	3
NJ	1	2	5	5	1	3	5	1	5
NY	2	2	5	5	2	2	5	3	4
DE	3	1	1	5	1	5	5	5	4
MD	1	1	1	2	1	1	5	1	4
VA	2	2	2	2	1	4	5	3	3
WV	1	4	2	5	3	3	5	1	2
FL	1	1	3	2	1	4	5	1	2
KY	1	3	5	5	5	5	5	5	5
MS	1	1	1	3	1	4	5	5	3
NC	1	3	3	3	2	4	5	1	1
SC	1	1	5	2	3	3	5	2	3
IL	2	3	3	5	4	5	5	1	3

7-30

<u>State</u>	<u>State Salary</u>	<u>Ceilings on Authorized Staff</u>	<u>State-Wide Freeze</u>	<u>State-Wide Personnel Reductions</u>	<u>State Civil Service Procedures</u>	<u>Limited Recruiting Efforts</u>	<u>State Residency Requirement</u>	<u>Availability of Disciplines Needed</u>	<u>Perceived Temporary Nature of Federally Supported Positions</u>
MI	4	4	5	5	3	5	5	1	5
MN	5	1	3	2	2	5	5	5	1
OH	1	4	5	5	5	4	5	1	5
WI	3	1	5	5	3	5	5	3	3
AR	1	1	3	5	5	5	5	1	3
LA	1	5	5	5	5	5	5	5	5
NM	3	1	5	5	2	5	5	5	2
OK	1	4	1	5	5	5	5	5	4
TX	2	5	5	5	5	5	5	1	4
IA	1	3	3	5	2	1	5	1	1
KS	1	5	5	5	4	4	5	1	5
MO	2	3	4	4	3	3	5	2	2
NE	2	3	5	5	4	2	5	5	1
CO	4	2	5	5	3	3	3	2	5
MT	3	1	1	1	4	4	4	2	3
AZ	1	5	5	5	1	4	5	3	5
CA	3	2	1	1	2	5	5	3	5
HI	1	4	1	5	1	5	4	5	3
NV	2	2	2	4	4	4	4	2	2
AK	4	5	5	5	1	4	3	3	4
ID	1	1	2	5	4	4	5	3	4
WA	2	1	1	2	2	2	5	1	5

Question 24. In your opinion what has been the major barrier to filling positions?

- CT (a) Inadequate salary; (b) Personnel Department takes too long to process job openings.
- ME (a) Freeze by State personnel.
- NH (a) Low salary structure.
- RI (a) Low salaries.
- VT (a) Lack of properly trained personnel (water supply; public health); (b) Slow personnel system.
- NJ (a) Civil Service procedures; (b) State salary structure; (c) Availability of engineers.
- NY (a) State Department of Civil Service and Budget Office approval.
- DE (a) Hiring freeze; (b) State personnel policies.
- MD (a) Salary.
- VA (a) Salary.
- WV (a) State salary structure; (b) Availability of disciplines needed.
- FL (a) Salaries; (b) Availability; (c) Authorization for positions by DOA; (d) Low priority of program.
- KY (a) Inadequate salaries for engineering & technical personnel.
- MS (a) State salary structure; (b) State Civil Service procedures.
- NC (a) Low salary structure; (b) No qualified people available.
- SC (a) State salary structure is not competitive with industry or Federal government for similar positions.
- IL (a) Lack of properly qualified engineers.
- MI (a) Lack of graduate engineers with some water supply training.
- MN (a) Ceilings on authorized staff levels; (b) Perceived temporary nature of Federally supported positions.
- OH (a) State salary structure; (b) Funding; (c) Authorization of table of organization.
- WI (a) State freeze on creating new positions. Have budget but cannot hire.
- AR (a) Inadequate salaries; (b) Incorrect position classification; (c) Inadequate salary increases; (d) Availability of Environmental Engineers.
- LA (a) Cannot find competent engineers who will work for the low state salary.
- NM (a) Delay in receiving grant monies and difficulties in carrying over grant monies; (b) State's slow processing of new employees; (c) Temporary classification of Federally supported positions.

- OK (a) Poor salary structure.
- TX (a) Shortage of engineering graduates.
- IA (a) Lack of adequate long term funding; (b) Lack of authorized positions; (c) difficulty in securing engineers with State salaries.
- KS (a) Inadequate salary to be competitive.
- MO (a) Salaries; (b) Availability of trained personnel; (c) Civil Service procedures.
- NE (a) Assured continuity of Federal funds and Legislative refusal to assume responsibility for financing Federally mandated activities.
- CO (a) Availability of disciplines needed.
- MT (a) Statewide freeze on hiring; (b) Availability of personnel adequately trained who are willing to work in Montana.
- AZ (a) Non-competitive salary with industry.
- CA (a) Hiring freeze brought on by Proposition 13.
- HI (a) State salary structure in relation to the responsibility incurred.
- NV (a) State salary structure.
- AK (a) Getting approval of positions through State system and the following State hiring procedures.
- ID (a) Low salary in engineering positions.
- WA (a) Availability of qualified candidates (aggravated by competition by other public and private employees, salary structure).

Question 25. For the two year period ending December 31, 1978, please enter below: a. the approximate number of professional staff that have left your program voluntarily to take employment elsewhere and b. the approximate number of those who left who had three or more years of experience.

Key: NR No Response
 Note: Numbers have been rounded.

Question 26. If you have had professional staff leave during the past two years, what are the major reasons most often cited for leaving?

Key: NR No Response

State	Question 25		Question 26
	Number Who Left	With 3 Years Experience	Reasons Cited for Leaving
CT	2	1	(a) To broaden experience; (b) Return to school.
ME	1	1	(a) Badgering by immediate supervisor.
NH	2	2	(a) Salary; (b) Fringe benefits.
RI	1	1	(a) Low salary.
VT	0	0	
NJ	3	2	(a) Better salaries elsewhere.
NY	0	0	
DE	0	0	
MD	6	6	(a) Salary; (b) Seek more challenging job.
VA	22	14	(a) Better salary; (b) Tired of bureaucratic red tape; (c) Tired of being policemen.
WV	7	4	(a) Inadequate salary; (b) Chance for advancement.
FL	5	3	(a) More money.
KY	4	4	(a) Inadequate salaries.
MS	1	1	(a) Salary structure; (b) Potential advancement.
NC	0	0	
SC	5	2	(a) In-house bureaucratic hassle; (b) Higher salary offer.

Question 25

Question 26

<u>State</u>	<u>Number Who Left</u>	<u>With 3 Years Experience</u>	<u>Reasons Cited for Leaving</u>
IL	3	2	(a) Better paying job.
MI	3	2	(a) Wanted to try public practice as opposed to State regulatory career.
MN	0	0	
OH	17	9	(a) Salary; (b) Other experience.
WI	7	1	(a) Advancement opportunity; (b) Pay
AR	9	6	(a) Salary; (b) Limited salary increases; (c) Limited professional growth; (d) Unacceptability of enforcing over-restrictive Federal regulations.
LA	NR	NR	NR
NM	2	0	(a) Salary
OK	15	10	(a) Poor salary structure.
TX	10	7	(a) Higher salaries in other fields.
IA	3	1	(a) Ability to make more money; (b) Frustration over program changes and complexity.
KS	3	3	(a) Salary.
MO	NR	NR	(a) Salary.
NE	1	1	(a) Disagreement with Federal approach for implementation of SDWA.
CO	2	2	(a) One transferred within department; (b) One didn't like required move.
MT	2	1	(a) One left to go into consulting for more varied experience; (b) Another to go into contracting and equipment sales.
ND	0	0	
AZ	5	2	(a) Better salary.
CA	5	3	(a) Return to graduate school; (b) Take another engineering job.
HI	0	0	
NV	0	0	
AK	2	1	(a) Promotion to position in water pollution control program; (b) Employee dissatisfied with regulatory and paper shuffling aspects of program.

Question 25

Question 26

<u>State</u>	<u>Number Who Left</u>	<u>With 3 Years Experience</u>	<u>Reasons Cited for Leaving</u>
ID	3	3	(a) Salary; (b) Desire a different challenge; (c) Promotional opportunities.
WA	2	2	(a) Opportunities for advancement (and thereby higher salaries).

Question 27. Overall, how would you characterize your relationship with EPA regional staff?

Number of States Responding

Very Good	22
Good	13
Neither Good Nor Bad	2
Poor	3
Very Poor	0

Question 28. To what extent, if at all, do you feel the EPA headquarters staff understands the problems you face as a State program director in administering your program?

Number of States Responding

Very Large Extent	2
Substantial Extent	5
Moderate Extent	6
Some Extent	9
Little or No Extent	18

Question 29. Overall, how does the current level of EPA headquarters staff understanding of your problems impact on the effectiveness of your program?

	<u>Number of States Responding</u>
Significant Positive Impact	5
Positive Impact	4
Little or No Impact	6
Negative Impact	16
Significant Negative Impact	9

Question 30. To what extent, if any, has EPA monitoring of your performance under SDWA assisted you in improving program performance?

	<u>Number of States Responding</u>
Very Large Extent	3
Substantial Extent	1
Moderate Extent	7
Some Extent	9
Little or No Extent	20

Question 31. To what extent, if any, do you feel your viewpoint as a State program director is given adequate consideration in the following EPA processes?

	<u>Regulation</u> <u>Making Process</u>	<u>Policy</u> <u>Making Process</u>
Very Great Extent	0	0
Substantial or Great Extent	3	1
Moderate Extent	8	11
Some Extent	10	9
Little or No Extent	19	19

Question 32. Please enter below the name(s) of the organization(s), that you feel best represent your views to: a. the U.S. Congress and b. the EPA.

<u>Organizations</u>	<u>U.S. Congress</u>	<u>EPA</u>
Conference of State Sanitary Engineers (CSSE)	26	31
American Water Works Association (AWWA)	14	9
State Congressional Delegation	4	0
State Liaison Group	0	3
None	3	0
Other (Organizations named only once)	7	7

Note: Responses not additive due to multiple State responses.

Question 33. Please enter below the name of the organization(s) you are most likely to contact when you need information or assistance to carry out your program responsibilities.

<u>Organization</u>	<u>Number of States Responding</u>
EPA Region	18
Conference of State Sanitary Engineers (CSSE)	15
EPA	13
American Water Works Association (AWWA)	11
Other State Program Directors	2
None	2
Other (Organizations named only once)	10

Note: Responses not additive due to multiple State responses.

(087160)



10/10/10

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